

FOOD AND DRUG ADMINISTRATION

CENTER FOR TOBACCO PRODUCTS

TOBACCO PRODUCTS SCIENTIFIC ADVISORY COMMITTEE
MEETING

MARCH 30, 2010

NTSB CONFERENCE CENTER

429 L'ENFANT PLAZA

WASHINGTON, D.C.

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21

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1 P R O C E E D I N G S

2 DR. SAMET: Good morning. Let's get
3 started.

4 I'm John Samet from the University of
5 Southern California, and the Chair of the Tobacco
6 Products Scientific Advisory Committee, which you
7 are now going to hear referred to as TPSAC, with the
8 addition of an "I" that's not there.

9 Thank you for joining us. I think -- by
10 way of introduction I think that we all know that
11 this is a historic moment for the FDA and for public
12 health. There have been long foreseen need for
13 regulation of tobacco products as a way to improve
14 the public -- public's health.

15 The work of many has led us to this
16 moment, the first meeting; Congress, of course,
17 which passed the Act; the public health tobacco
18 control communities that have provided scientific
19 evidence and considered policy approaches to tobacco
20 and public health.

21 There have been many giants who have
22 contributed to this effort over the years. Some, of

1 course, no longer with us. Like, for example,
2 Dr. Julius Richmond, former surgeon general; John
3 Slade, Ron Davis, and others who we know well, and
4 we know that there will be great interest on the
5 part of many not only in the United States, but
6 around the world in terms of the consequences of the
7 new FDA Center and its actions.

8 I need to read some additional statements
9 with regard to today's meetings for topics such as
10 those being discussed at today's meeting. There are
11 often a variety of opinions, some of which are quite
12 strongly held. Our goal is that today's meetings
13 will be a fair and open forum for discussion of
14 these issues, and that individuals can express their
15 views without interruption. Thus, as a general
16 reminder, individuals will be allowed to speak into
17 the record only if recognized by the Chair. We look
18 forward to a productive meeting.

19 In the spirit of the Federal Advisory
20 Committee Act and the Government and the Sunshine
21 Act, we ask that the Advisory Committee members take
22 care that their conversations about the topic at

1 hand take place in the open forum of the meeting.

2 We are aware that members of the media are
3 anxious to speak with the FDA about these
4 proceedings. However, FDA will refrain from
5 discussing the details of this meeting with the
6 media until its conclusion.

7 Also, the Committee is reminded to,
8 please, refrain from discussing the meeting topic
9 during breaks or lunch. Thank you.

10 With that, let me ask for introductions of
11 those sitting around the table starting, I think,
12 with Dr. Croyle.

13 DR. CROYLE: Bob Croyle. I'm the Director
14 of the Division of Cancer Control and Population
15 Sciences at the National Cancer Institute here as
16 the ex officio representative of the National
17 Institutes of Health.

18 DR. BAUER: My name is Ursula Bauer. I'm
19 the Director of the National Center for Chronic
20 Disease Prevention and Health Promotion at the
21 Centers for Disease Control and Prevention, here
22 representing Dr. Frieden, Director of CDC.

1 DR. HECK: Hi, I'm Dan Heck, a principal
2 scientist at the Lorillard Tobacco Company. I'm
3 here representing the tobacco manufacturers.

4 DR. LAUTERBACH: I'm John Lauterbach. I'm
5 owner of Lauterbach & Associates, LLC, a company
6 that specializes in the chemistry and toxicology of
7 tobacco products. And I'm here representing the
8 small business tobacco manufacturers.

9 MR. HAMM: I'm Arnold Hamm. I'm
10 representing the United States Tobacco Growers.

11 DR. BENOWITZ: Neal Benowitz, Professor of
12 Medicine, University of California, San Francisco.
13 I'm an internist, clinical pharmacologist, and
14 medical toxicologist.

15 MS. DeLEEuw: I'm Karen DeLeeuw, and I am
16 from the Colorado Department of Public Health and
17 Environment. I'm representing state government.

18 MS. STARK: I'm Cristi Stark. I'm the
19 Acting Designated Federal Official.

20 DR. CLANTON: I'm Mark Clanton, a
21 pediatrician and currently Chief Medical Officer,
22 the High Plains Division of the American Cancer

1 Society.

2 DR. HATSUKAMI: I'm Dorothy Hatsukami,
3 University of Minnesota, Professor of Psychiatry.

4 DR. WAKEFIELD: Melanie Wakefield,
5 Director of the Centre for Behavioural Research in
6 Cancer at The Cancer Council Victoria, in Melbourne,
7 Australia.

8 DR. HENNINGFIELD: I am Jack Henningfield,
9 Vice President, Research and Health Policy, Pinney
10 Associates, and professor in the Department of
11 Psychiatry at the Johns Hopkins University School of
12 Medicine. And my specialty is diction and
13 pharmacology.

14 DR. NEZ HENDERSON: Good morning. My name
15 is Patricia Nez Henderson. I am the Vice President
16 of Black Hills Center for American Indian Health, a
17 small nonprofit American Indian community based
18 organization.

19 DR. CONNOLLY: Good morning. My name is
20 Gregory Connolly. I am Professor at the Harvard
21 School of Public Health, and the Acting Director of
22 the Division of Public Health Practice.

1 DR. HUSTEN: Hello, I am Dr. Corinne
2 Husten. I'm senior medical advisor in the Center
3 for Tobacco Products at FDA.

4 DR. DEYTON: Good morning. I am Lawrence
5 Deyton, Director of the Center for Tobacco Products
6 at FDA.

7 DR. SAMET: Thank you. Let me turn next
8 to Cristi Stark.

9 MS. STARK: Okay. I'm going to now read
10 the Conflict of Interest Statement. The Food and
11 Drug Administration is convening today's meeting of
12 the Tobacco Products Scientific Advisory Committee
13 under the authority of the Federal Advisory
14 Committee Act, FACA, of 1972. With the exception of
15 the industry representatives, all members, temporary
16 voting members, temporary nonvoting members, and the
17 guest speakers are special government employees,
18 SGEs, or regular federal employees from other
19 agencies and are subject to Federal conflict of
20 interest laws and regulations.

21 The following information on the status of
22 this Committee's compliance with Federal ethics and

1 conflict of interest laws covered by, but not
2 limited to, those found at 18 U.S.C. Section 208 and
3 Section 712 of the Federal Food, Drug and Cosmetics
4 Act, FD & C Act, is being provided to participants
5 in today's meeting and to the public.

6 FDA has determined that members and
7 temporary voting members of these committees are in
8 compliance with Federal ethics and conflict of
9 interest laws.

10 Under 18 U.S.C. Section 208, Congress has
11 authorized FDA to grant waivers to special
12 government employees and regular federal employees
13 who have potential financial conflicts when it's
14 determined that the Agency's need for particular
15 individual services outweighs his or her potential
16 financial conflict of interest.

17 Under Section 712 of the FD & C Act
18 Congress has authorized FDA to grant waivers to
19 special government employees and regular federal
20 employees with potential financial conflicts when
21 necessary to afford the Committee essential
22 expertise.

1 Related to the discussion of today's
2 meeting, members and temporary voting members of
3 this Committee have been screened for potential
4 financial conflicts of their interests of their own,
5 as well as those imputed to them, including those of
6 their spouse's or minor children; and for purposes
7 of 18 U.S.C. Section 208, their employers. These
8 interests may include investments, consulting,
9 expert witness testimony, contracts, grants, gratis,
10 teaching, speaking, writing, patents and royalties,
11 and primary employment.

12 Today's agenda involves, one, receiving
13 presentations on the background and overview of the
14 FDA Center for Tobacco Products, the Family Smoking
15 Prevention and Tobacco Control Act -- known as the
16 Tobacco Control Act -- and the Tobacco Products
17 Scientific Advisory Committee.

18 Two, receiving presentations on and
19 discussing the published literature on menthol as it
20 relates to the demographics of users; preferential
21 use by persons initiating tobacco use; the health
22 effects of menthol and cigarettes; the effects of

1 menthol on addiction and cessation; marketing and
2 consumer perceptions about menthol cigarettes; the
3 sensory qualities of menthol cigarettes; and the
4 effects of menthol on how cigarettes are smoked.

5 And three, receiving preliminary
6 information about topics that we discussed at future
7 meetings, including the establishment of a list of
8 harmful and potentially harmful tobacco product
9 constituents, including smoke constituents.

10 These discussions are preliminary to the
11 preparation of the Tobacco Products Scientific
12 Advisory Committee's required report to the
13 Secretary of Health and Human Services regarding the
14 impact of use of menthol in cigarettes on the
15 public's health.

16 This is a particular matters meeting
17 during which general issues will be discussed.
18 Based on the agenda for today's meeting and all
19 financial interest reported by the Committee members
20 and temporary voting members no conflict of interest
21 waivers have been issued in connection with this
22 meeting.

1 To ensure transparency, we encourage all
2 Committee members and temporary voting members to
3 disclose any public statements that they have made
4 concerning the issues before the Committee.

5 With respect to FDA's invited industry
6 representatives, we would like to disclose that
7 Drs. Daniel Heck and John Lauterbach, and Mr. Luby
8 Hamm are participating in this meeting as non-voting
9 industry representatives, acting on behalf of the
10 interest of the tobacco manufacturing industry, the
11 small business tobacco manufacturing industry, and
12 tobacco growers respectively. Their role at this
13 meeting is to represent these industries in general
14 and not any particular company.

15 Dr. Heck is employed by Lorillard Tobacco
16 Company. Dr. Lauterbach is employed at Lauterbach &
17 Associates, LLC; and Mr. Hamm is retired.

18 FDA encourages all of the participants to
19 advise the Committee of any financial relationships
20 that they may have with any firms at issue. Thank
21 you.

22 Now, at this point I would like to remind

1 everyone present to, please, silence your cell
2 phones if you have not already done so. I would
3 also like to identify the FDA press contact.

4 Kathleen Quinn if you are here present,
5 please stand. Thank you.

6 DR. SAMET: Okay. Thank you. I think we
7 have been joined by Dr. Clark. If you could just do
8 a quick introduction.

9 DR. CLARK: I am Westley Clark from the
10 Substance Abuse and Mental Health Services
11 Administration. I'm the Director in the Center for
12 Substance Abuse Treatment.

13 DR. SAMET: Okay. Thank you. And today
14 we're honored by having for our first meeting with
15 us both Dr. Howard Koh, Assistant Secretary for
16 Health; and Dr. Margaret Hamburg, the Commissioner
17 of the FDA.

18 I'm pleased to introduce Dr. Koh, the 14th
19 Assistant Secretary for Health, a position in which
20 he oversees the department's Office of Public Health
21 and Science, the commission core of the U.S. Public
22 Health Service, and the Office of the Surgeon

1 General, along with serving as Senior Public Health
2 Advisor to the Secretary.

3 Dr. Koh has a long record on tobacco
4 control. As the Massachusetts Commissioner of
5 Public Health, he was a national leader on smoking
6 cessation and in developing cutting edge public
7 health programs related to tobacco use.

8 Today at the request of Secretary
9 Sebelius, Dr. Koh is leading a department-wide
10 tobacco control working group committed to realizing
11 a vision of a society free of tobacco-related death
12 and disease.

13 I would add that many of us have had the
14 pleasure of working with Dr. Koh on a variety of
15 activities over the years. Welcome, and look
16 forward to your remarks.

17 DR. KOH: Thank you so much. Welcome,
18 everyone. It's an honor to be with you. I want to
19 start with tremendous thanks to so many here.
20 First, to my wonderful colleague and friend,
21 Dr. Peggy Hamburg, Commissioner of the FDA.

22 Under Commissioner Hamburg's leadership we

1 are seeing tremendous strides in moving that agency
2 toward a true public health mission. And her role
3 and the role of Dr. Sharfstein, Dr. Deyton, and many
4 others in the new Center for Tobacco Products is
5 really very, very exciting in this new
6 administration.

7 Dr. Deyton and his team at the new center
8 have done extraordinary work, and we're very proud
9 of their efforts in launching this Committee today,
10 among other things; and he will continue to be a
11 great leader in the area of tobacco control and
12 regulation, and public health for the future.

13 I want to offer my special thanks to
14 members of this new Tobacco Products Scientific
15 Advisory Committee. You are experts. You are
16 leaders in the world who have been recognized for
17 your talents and your insights and your judgment.
18 And we are absolutely thrilled to welcome you here
19 today and to have you as partners working together
20 to end suffering due to tobacco dependence in this
21 country.

22 The timing of this meeting is absolutely

1 extraordinary. It's an extraordinary time to
2 mobilize leadership in science and prevention for a
3 healthier nation.

4 First, as we all know, the President has
5 just signed a new health reform law that expands
6 health coverage to millions of Americans; and that
7 law has a special emphasis on prevention.

8 We also know that the President and
9 Congress has invested \$1 billion and more in
10 prevention and wellness funding through the Recovery
11 Act. Another sign of a commitment to prevention.

12 So we are here today to keep before us
13 this dedication to prevention and wellness, and
14 remind ourselves that our collective goal in public
15 health through meetings such as this is to help each
16 person reach what is known as their highest
17 attainable standard of health. The highest
18 attainable standard of health. And we need to do
19 that through the efforts of this Committee and the
20 efforts of everyone in this room.

21 We know the FDA is going to continue to be
22 a leader in these efforts moving beyond its

1 traditional approaches of enforcing regulation and
2 standards of safety and effectiveness through
3 reaching higher public health standards in a broader
4 public health approach. Again, we thank them for
5 their leadership.

6 Also, at moments like this I think of the
7 World Health Organization definition of health,
8 which reads a complete -- a state of complete
9 physical, mental and social well-being, and not
10 merely the absence of disease or infirmity. I love
11 that definition. A state of complete physical,
12 mental and social well-being, and not merely the
13 absence of disease and infirmity.

14 And the best way to reach these goals for
15 all people in our country is through prevention and
16 through public health, and that's what today's
17 Committee and today's meeting is all about.

18 In short, our good health is a gift and we
19 need to protect that gift through the work of this
20 group that's gathered here.

21 As you heard from our Chairman, I have a
22 particular commitment to this area as a physician

1 who has cared for patients for over 30 years, as a
2 former state health commissioner, as a researcher,
3 as a former professor, and now as the Assistant
4 Secretary for Health. And in fact, my very first
5 day on the job as Assistant Secretary was on
6 June 22nd, 2009 after being nominated by the
7 President and being confirmed by the United States
8 Senate.

9 And on that day, Monday, June 22nd,
10 2009, I found myself in the Rose Garden observing as
11 the President signed the Family Smoking Prevention
12 and Tobacco Control Act into law. So for me that
13 was a sign that public health and prevention had
14 entered a new era; and to be part of that is a
15 tremendous privilege for me personally.

16 That's why it was an honor to join
17 Commissioner Hamburg and FDA leaders last September
18 when the FDA announced a ban on cigarettes with
19 flavors characterizing fruit, candy or clove, which
20 science has shown are often a gateway to smoking for
21 children and adolescents. That's why it was a
22 privilege for me to join Commissioner Hamburg and

1 FDA leaders last week when the FDA issued a final
2 rule that contains a broad set of federal
3 requirements designed to significantly curb access
4 to, and the appeal of cigarettes and smokeless
5 tobacco products to children and adolescents in our
6 country.

7 We all know that this new rule becomes
8 effective on June 22, 2010, the first anniversary of
9 the Family Smoking Prevention and Tobacco Control
10 Act. So, in short, we are entering a new era of
11 tobacco prevention and control in this country.

12 As you heard from Dr. Samet, in November
13 of 2009 Secretary Sebelius charged the department
14 with developing a department-wide strategic action
15 plan for tobacco control, and charged our workgroup
16 to develop a plan to realize the vision of a society
17 free of tobacco-related death and disease.

18 I want to thank my colleagues from Health
19 and Human Services, particularly Rosie Henson, Cliff
20 Douglas, Simon McNab (phonetic), and many others who
21 have worked this plan, which is nearing completion.
22 We want to achieve a vision where we have a

1 healthier people. And as the Assistant Secretary
2 for Health I also oversee the Healthy People
3 Process; and we have four Healthy People 2020
4 tobacco control goals: To reduce tobacco use by
5 adolescents and adults; to reduce the initiation of
6 tobacco use among children, adolescents, and young
7 adults; third, to increase recent smoking cessation
8 success by adult smokers; and fourth, to reduce the
9 proportion of nonsmokers exposed to secondhand
10 smoke.

11 We want to do this all at the department
12 while also actively supporting the FDA's newly
13 acquired role as a public health agency that
14 regulates the sale, distribution, advertising and
15 promotion of tobacco products. So in short, this is
16 quite a day for public health. We want to thank you
17 for your commitment to prevention and to public
18 health, and to making the next generation healthier.
19 Thank you very, very much.

20 DR. SAMET: Thank you, Dr. Koh.

21 I'm pleased to introduce the Commissioner
22 of the Food and Drug Administration, Dr. Peggy

1 Hamburg. She is exceptionally well qualified to
2 lead the nation's premiere regulatory agency given
3 her training and experience as a physician,
4 scientist, and public health executive.

5 Dr. Hamburg has served as Commissioner of
6 New York City Department of Health and Mental
7 Hygiene, and is Assistant Secretary for Policy and
8 Evaluation in the U.S. Department of Health and
9 Human Services. Her commitment to science was clear
10 on the day that President Obama signed the Family's
11 Smoking Prevention and Control Act, and it continues
12 in full force today as she takes time out from her
13 schedule to join us as we set out on our mission.

14 I know I speak for all our Committee
15 members who are grateful for Dr. Hamburg's vision
16 and leadership in establishing the Center for
17 Tobacco Products at the FDA, and for helping to
18 create the TPSAC and being involved in selecting us.
19 Dr. Hamburg.

20 DR. HAMBURG: Thank you very, very much.
21 Thank you all for being here today, and for your
22 willingness to serve on this very important

1 Scientific Advisory Committee.

2 Thank you, Dr. Koh, also for your
3 leadership within the department, and your
4 willingness over many, many years to be out front on
5 important issues that really matter to health and
6 well-being. This is an historic day. We also must
7 recognize that we have a lot of work before us.

8 So I will try to be relatively brief so
9 that you all can, in fact, roll up your sleeves and
10 get down to the job before you. I hope that you are
11 ready and eager to dive into this enormous task,
12 this great public health challenge that we have all
13 been charged with tackling together. There is an
14 enormous amount to be done, and clearly, the issues
15 won't all be easy. We, I think, can all agree on
16 that.

17 But as Dr. Samet mentioned, we come here
18 today standing on the shoulders of giants who have
19 done important work before us. People that
20 committed their lives to working on the tobacco
21 issue, and people that committed their lives to
22 working on broader public health issues. But it is

1 inspiring and I think that we all should, you know,
2 have a real sense that today and going forward we
3 are engaging in work that will have real and
4 enduring value to our generation, and importantly to
5 the generations that come.

6 I was talking this morning with Dr. Dayton
7 about the story of Dr. John Snow. And those of you
8 in public health know, of course, this story; but
9 let me mention it for those of you who don't.
10 Because I think that it is a wonderful story that we
11 should bear in mind as we think about the decisions
12 that will affect the lives of so many Americans.

13 Dr. Snow was a physician practicing in
14 London during the middle part of 19th century. A
15 time when the city was facing a series of severe
16 cholera epidemics. Epidemics that were very
17 disruptive not just to health, but to the social
18 fabric of the city and the emotional well-being of
19 the people in that community; and, of course, in
20 others also hit by the problem of cholera.

21 Most doctors back then believed that
22 cholera was caused by what people called bad air

1 miasma; but Dr. Snow had a theory of his own. He
2 suspected that the disease had one common origin.
3 So he painstakingly plotted, mapped out each and
4 every case of cholera in the city. And sure enough
5 he found through this work that every case of
6 cholera could be traced back to a single water pump.

7 So Dr. Snow, I think, was very important
8 in that he had a simple solution to a complicated
9 and dangerous epidemic. And his solution was
10 science based. He looked at the information, and he
11 asked critical questions, and he didn't allow
12 himself to be overwhelmed by mythologies about the
13 cause of disease or the emotionally laden nature of
14 the disease in a community, in a population.

15 When he acted on his information and they
16 removed the handle of the pump, the rates of cholera
17 dramatically went down. It was a brilliant public
18 health move, and it marked a new era in public
19 health. I think that it is a clear and compelling
20 example of bringing science to bear on important
21 health problems. An example of how informed action
22 can make a difference to the health and well-being

1 of populations, and can bring true informed
2 scientific understanding to a set of issues that can
3 be very complex and confusing.

4 I mention this, because we too are on the
5 cusp of a new era in public health. You, the
6 members of this Committee, have a chance to make
7 history. You have a chance to provide scientific
8 input and expertise as we address one of the most
9 pressing public health problems of our day. You
10 have a chance to weigh in on implementing the
11 Tobacco Control Act. You have a chance to help us
12 at the FDA take the handle off the proverbial pump.
13 So that together we can fight lung cancer, coronary
14 heart disease, strokes, emphysema, and the
15 staggering half million or so deaths caused by
16 tobacco every year.

17 This Committee is not charged with
18 interpreting the Tobacco Control Act. Believe me,
19 we have a whole retinue of lawyers and policy makers
20 that are helping us with those important tasks of
21 helping to draft the Regulations, et cetera. But
22 your role is really unique, and it is really, really

1 important. Probably more important than what all
2 our lawyers and regulatory experts are doing,
3 because you provide the scientific foundation that
4 will guide FDA in the crafting these Regulations,
5 which includes examining the effects of altering
6 nicotine yields in tobacco products; and determining
7 whether there are threshold levels below which
8 nicotine yields don't produce dependence.

9 The overarching mission of this Committee
10 is to provide the advice, information, and
11 recommendations necessary to effectively regulate
12 tobacco products. Today you are jumping into the
13 science with a meeting that will be focused on
14 menthol in cigarettes, an area that has been much
15 discussed. There has been important scientific
16 work; but where I think we need the input of this
17 Committee to help guide us as we move forward in our
18 Regulations and our actions at the FDA.

19 But, of course, your work won't stop with
20 grappling with that important issue. You will be
21 asked over months and years to come to help us
22 explore other important safety dependence or health

1 issues as they emerge. And I'm sure that you all
2 understand, but I want to underscore it once again,
3 that the FDA regulation of tobacco products is a
4 science based, science driven process. It must be.
5 And you are the men and women mandated to provide us
6 with the best available science.

7 So on behalf of all of us at FDA, I want
8 to extend my sincere appreciation to all of you for
9 your commitment and for your service, and to an
10 issue that is so important to our nation and to the
11 world. And as FDA embarks on its regulation of
12 tobacco products, we are working closely with
13 partners around the world. We have colleagues with
14 us today.

15 But I think that -- that what you are
16 doing, while a national effort, does represent an
17 international activity; and I think it's humbling
18 but important to recognize that as well. That in
19 many aspects you are setting standards and
20 delineating pathways that will be followed by many
21 others around the world.

22 So it's a big task and it's one that I

1 know all of you are committed to or you wouldn't be
2 here; but we thank you. We want to support you in
3 your efforts in anyway that we can. I especially
4 want to thank Dr. Samet for taking on the role of
5 Chair, which is such a key position. And I know he
6 brings the skills and dedication to lead you all in
7 extraordinary ways.

8 I also want to thank Dr. Deyton and his
9 amazing team for the work that they have done to
10 stand up the new Center for Tobacco Products, to
11 begin to implement the tobacco legislation, as
12 Dr. Koh indicated; and for putting together the
13 Scientific Advisory Committee, and this first
14 meeting. I know that it is going to be a
15 fascinating challenging undertaking. I am very
16 pleased to be here as you kick off a very full day,
17 and I wish you all the best of luck. Thank you.

18 DR. SAMET: Thank you, Dr. Hamburg. And
19 I'm sure a month from now or a year from now, and
20 several years from now we will know just how big an
21 undertaking we are all going after.

22 With that, I will turn to Dr. Deyton, and

1 let me do an introduction. First, he was selected
2 after a national search by Dr. Hamburg as the first
3 Director of the Center for Tobacco Products in
4 September of 2009. He comes to FDA after a
5 distinguished career in public health where he has
6 been a researcher at the National Institute of
7 Allergy and Infectious diseases, and served in the
8 Office of the Assistant Secretary for health -- of
9 the Department of Health and Human Services, and its
10 original office of smoking and health.

11 Dr. Deyton also served as the Chief Public
12 Health and Environmental Hazards Officer for the
13 Department of Veterans Affairs where one of its
14 priorities was revitalization of the VA's smoking
15 and tobacco use cessation programs. Under his
16 leadership current smoking among veterans enrolled
17 in the cessation program fell from 33 percent in
18 1999 to 22 percent in 2007.

19 I also think it's significant and
20 representative of his commitment to health and
21 well-being of others in that he sees and treats
22 patients at a VA clinic every week -- apparently not

1 today. It's with great pleasure that I introduce
2 Dr. Deyton.

3 DR. DEYTON: Thank you very much,
4 Dr. Samet, and thank you all for being here today.
5 There really are very many people to thank for
6 helping to get this meeting together; and there are
7 many people who have been involved in the creation
8 of this organization, the Tobacco Products
9 Scientific Advisory Committee, or TPSAC, as we call
10 it.

11 Two people who deserve special recognition
12 for their incredible ongoing support are
13 Commissioner Peggy Hamburg, and Assistant Secretary
14 for Health, Dr. Howard Koh, who you have just heard
15 from. They recognize, as all of us around the table
16 and at the Center for Tobacco Products, that the
17 Tobacco Control Act not only represents a new
18 commitment to protecting Americans from the danger
19 of tobacco. It also embodies a new strategy to
20 promote public health. That's why as soon as the
21 President signed The Family Smoking Prevention and
22 Tobacco Control Act, Dr. Hamburg and Deputy

1 Commissioner, Josh Sharfstein moved quickly to
2 create the Center for Tobacco Products, and to begin
3 the work of fulfilling FDA's new responsibility in
4 Tobacco Product Regulation.

5 At the same time Dr. Koh began to organize
6 HHS-wide tobacco control activities to ensure FDA
7 had optimal support from and integration with our
8 sister public health agencies. And I'm proud to say
9 that in mid-August, not long after the Center was
10 created, I had the honor of becoming its first
11 employee.

12 Since then, our work has been to build an
13 organization with the staff necessary to take the
14 first steps of FDA's tobacco product regulation by
15 implementing the law based on the best science.

16 The Tobacco Control Act is simply an
17 amazing piece of legislation. Drs. Koh and Hamburg
18 have already described the critical importance of
19 the public health mission embodied in it. At it's
20 core is the understanding that by adding important
21 regulatory authority to the scientific base, and the
22 public health tools already in place supporting

1 tobacco control, we can further reduce the
2 tremendous toll of disease, disability, and death
3 caused by tobacco products.

4 That's why the FDA's new authorities
5 include restricting the marketing of tobacco
6 products to minors, banning the manufacture, sell,
7 distribution of cigarettes with certain candy and
8 characterizing flavors, requiring new graphic
9 warning labels for cigarettes and smokeless tobacco,
10 prohibiting the marketing measures that mislead
11 consumers. And for the first time ever,
12 establishing tobacco product standards.

13 Also, by requiring good manufacturing
14 practices for tobacco manufacturing facilities,
15 requiring FDA approval of any products claiming to
16 have a modified risk, requiring industry reporting
17 of tobacco product ingredients and constituent data,
18 and educating Americans about tobacco product
19 constituents that are harmful or potentially harmful
20 to their health, and the health of others. And, of
21 course, using our enforcement authorities, for FDA
22 to act quickly and effectively to remove products

1 that are in violation, and to enforce all the
2 provisions of the Family Smoking and Prevention and
3 Tobacco Control Act.

4 The Tobacco Control Act gives us an
5 ambitious agenda, but the Center for Tobacco
6 Products has hit the ground running to implement it.
7 You will hear more details about our enabling
8 legislation from the Center's senior counsel,
9 Catherine Lorraine in just a moment.

10 I want to highlight the incredible work
11 our staff has done since Dr. Hamburg launched the
12 Center in August. We created the Tobacco Product
13 User Fee Program and begin collecting user fees that
14 supports the work of the Center. As I mentioned,
15 and as Dr. Hamburg and Koh mentioned, we implemented
16 the ban on the manufacture, sell, and distribution
17 of cigarettes with certain candy and fruit
18 characterizing flavors. We issued the final
19 guidance on industry registration with the FDA, and
20 submission of listings of their products and
21 ingredients and constituents of those products. We
22 established a new office to assist small tobacco

1 manufacturers in their compliance with the Tobacco
2 Control Act.

3 And as you know, and as was just discussed
4 this month, just last week, we reissued the 1996
5 rule, which mandates a range of actions not only to
6 reduce the access of cigarettes and smokeless
7 tobacco to kids, but also their attractiveness.

8 And today has begun the process of
9 contracting with each state and territory to assist
10 FDA in enforcing the provisions of the 1996 rule.
11 But these aren't the only priorities we have had at
12 the Center for Tobacco Products. Of course one of
13 the most important activities has been organizing
14 and launching this meeting and this group.

15 And let me say this as plainly as I can;
16 we at the FDA absolutely require your collective
17 voice, the Tobacco Products Scientific Advisory
18 Committee; your scientific expertise, and your
19 advice to guide us.

20 You have some specific assignments
21 outlined in the law, which the Center's senior
22 medical advisor, Dr. Corrine Husten, will review in

1 just a short while. These include your assessments
2 at this meeting, advising us on the issue of
3 menthol, which Dr. Hamburg talked about; and you
4 will have other assignments in the near future.

5 We will also be turning to you in the
6 future for your best scientific advice on any number
7 of issues important to the FDA responsibilities for
8 tobacco product regulation.

9 So, in short, we need you to be exactly
10 what you are, the best and the most experienced
11 minds representing a wide array of expertise and
12 disciplines. And I want to add that the expertise
13 and advice we need isn't limited to the voting
14 members of the Committee.

15 As you know, the Tobacco Control Act
16 requires that this Committee have three non-voting
17 members representing the tobacco industry. And
18 there is a reason for that. It's because a
19 precondition for designing effective regulatory
20 measures is understanding the industry to be
21 regulated, and the tobacco industry is no exception.

22 So successful implementation of the

1 Tobacco Control Act requires engaging the various
2 components of the tobacco industry directly, fairly,
3 and with transparency. And I should add that at the
4 Center for Tobacco Products we already have done so
5 in certain ways. I know the input the Center
6 received from a wide variety of companies, large and
7 small, was helpful in establishing a system for
8 industry registration with FDA, and submission of
9 listings of tobacco products. And it's my hope that
10 that experience can help set the tone for this
11 committee's work in the months and years to come.

12 The bottom line is that when Congress
13 passed, and President Obama signed the Tobacco
14 Control Act into law, it was with the understanding
15 that the traditional approach to product regulation
16 wasn't relevant in the case of tobacco. In this
17 instance, FDA's traditional standards of safety and
18 effectiveness don't work; but a public health
19 population health standard does, and that is what
20 the Tobacco Control Act requires us to use.

21 So under the guidance of the Tobacco and
22 Control Act, and with your scientific advice we are

1 creating a new standard, and its application to our
2 authorities to regulate tobacco products. And with
3 the goal of that standard is to reduce the
4 tremendous toll of disease, disability, and death
5 caused by tobacco products.

6 Can we succeed?

7 There is not a doubt in my mind that we
8 can; but only if we're guided by the best science.
9 And that's why the work of this Committee is so
10 fundamental to FDA's mission. The advice you give
11 us based on the science and the science alone will
12 help us at FDA shape regulations and programs that
13 will literally save people's lives and make America
14 a healthier nation; but that's not all. We're also
15 striving to create a transparent process that
16 Americans know they can trust. That's always
17 critical at any regulatory system, but history tells
18 us it is absolutely fundamental to regulating
19 tobacco products.

20 When Americans look at the advice you
21 present to FDA, they're going to know that everyone
22 had a seat at the table, and different stakeholders

1 were heard. But they are also going to know
2 something else, that in the end the advice you gave
3 us to improve and protect the health of all
4 Americans was built on a solid foundation of
5 science.

6 So I commit the full support and resources
7 of the Center for Tobacco Products to assist you,
8 the Tobacco Products Scientific Advisory Committee,
9 in the work that you will be doing; and I thank you.

10 I thank you for agreeing to join this
11 Committee. I thank you for the work you are about
12 to commence; and thank you for fulfilling what is
13 now your role in implementing the Family Smoking
14 Prevention and Tobacco Control Act. Thank you very
15 much and good luck.

16 DR. SAMET: Thank you, Dr. Deyton, and
17 look forward to getting on with the work.

18 Our next speaker is Catherine Lorraine
19 from the Center, who will provide an overview of the
20 Family Smoking Prevention and Tobacco Control Act.

21 MS. LORRAINE: Good morning. It's a real
22 pleasure to be here with Assistant Secretary Koh,

1 our Commissioner, Dr. Hamburg, with this
2 distinguished Committee, and my colleagues from the
3 FDA on this very historic day.

4 I'm going to help set the stage for
5 today's discussions and presentations by giving a
6 very short overview of the Family Smoking Prevention
7 Tobacco Control Act, say a few words about our goals
8 at the FDA, and -- overeager clicker here -- and
9 talk a little bit about our accomplishments, and
10 highlight some upcoming regulatory milestones in our
11 future.

12 Our goals are very clear at the FDA. We
13 want to prevent youth from using -- ever beginning
14 to use tobacco products. We want to help adults who
15 use those products quit as quickly as possible to
16 improve their health; and we want to help the public
17 understand the contents of tobacco products, and the
18 serious and awful, in many cases, consequences of
19 using those products. And importantly for this
20 Committee we want to help develop the science base
21 and begin meaningful product regulations.

22 The scope of our authority under the

1 Tobacco Control Act is quite clear. FDA is given
2 authority to regulate tobacco products, which are
3 defined as products made or derived from tobacco and
4 intended for human consumption.

5 It's important to note that tobacco
6 products do not include drugs or devices which are
7 regulated under separate provisions of the Federal
8 Food, Drug and Cosmetic Act. The Act now recognizes
9 the FDA as the primary federal authority regulating
10 tobacco products with respect to manufacturing,
11 marketing, and distribution.

12 The statute is modeled in great part on
13 the medical device amendments to the Food, Drug and
14 Cosmetic Act. It contains a variety of provisions
15 that relate to pre-market review, post-market
16 surveillance, performance, standing, testing, and
17 reporting of ingredients; adverse event reporting;
18 and requires new warning labels, among other things.

19 I'm going to start now and just give a
20 very brief highlight of a number of provisions in
21 the Act. Section 904 is a provision that requires
22 manufacturers and others to provide a variety of

1 information, including ingredients and constituents
2 of tobacco products to the Agency. It also requires
3 manufacturers to report a variety of different types
4 of health information in their possession about
5 tobacco products. And this provision requires the
6 Agency to establish a list of harmful or potentially
7 harmful constituents of tobacco products.

8 Section 905 is a core provision in the Act
9 for us. It requires manufacturers to list and
10 register -- list their products and register their
11 establishments with us. This gives us the
12 information that we need to send our field force out
13 to conduct inspections, which must be done on a
14 biannual basis.

15 Section 907 is the authority to establish
16 tobacco product standards. This gives the Agency
17 the ability to set standards regarding the content
18 and design of tobacco products. It is the provision
19 of the law that directs the referral of the issue of
20 menthol and tobacco products to this Advisory
21 Committee.

22 The Secretary is not allowed under this

1 law to either ban a class of tobacco products or to
2 reduce the level of nicotine in tobacco products to
3 zero. That authority is reserved to the Congress.

4 Section 910 is the provision that allows
5 the regulation of new tobacco products, and all new
6 products are required to submit an application to
7 the Agency for review and order unless these
8 products have been determined to be substantially
9 equivalent to already commercially marketed
10 products. The application must require -- will
11 require a variety of different information about the
12 composition of the product, the labeling of the
13 product, and health effects of the product, among
14 other things.

15 911 is the authority to regulate modified
16 risk tobacco products. And these are described in
17 the statute as those that are sold and distributed
18 to reduce the harm or the risk of tobacco-related
19 disease. And the information that must be submitted
20 to the Agency must clearly demonstrate that. There
21 will be -- there are special rules that apply to
22 products whose sole claim is to reduce or eliminate

1 harmful substances. Applications received under
2 this provision are automatically referred to this
3 Committee for their review.

4 Section 919 is the authority to collect
5 user fees from the industry, and all activities to
6 implement this statute must be funded by user fees.
7 We began collection of those fees in October; and
8 that activity will be ongoing to support all the
9 activities of the Center.

10 Section 201 gives the Agency the authority
11 to establish new warnings and -- including graphic
12 images for cigarette products. These requirements
13 go into effect in June of 2011. The new addition to
14 the law here will be the requirement that there be
15 color graphics that accompany these warnings
16 depicting the negative consequences of tobacco use.

17 Smokeless tobacco products are also
18 subject to new warning requirements, with the
19 addition of rotational warning plans that have to be
20 submitted to the Agency for our approval; and
21 smokeless tobacco products will now no longer be
22 able to be advertised on any medium subject to the

1 jurisdiction of the Federal Communications
2 Commission.

3 Some of the accomplishments of the
4 Center -- I will just briefly go over them.
5 Dr. Deyton eluded to a number of them. But the law
6 was signed into effect by the President on
7 June 22nd, 2009, a very warm day in June. In July
8 and through the summer we held a number of listening
9 sessions with various stakeholders. We established
10 the Center officially, found a home for ourselves.

11 In September we were very fortunate to
12 have Dr. Deyton begin as the first director of our
13 Center, and we implemented the flavor ban on certain
14 candy, herb, and fruit flavors characterizing
15 cigarette products.

16 Throughout the fall we were in developing
17 and issuing guidance for industry about ways that
18 they could implement -- that they could comply with
19 the requirements in the law, especially those
20 related to Section 904 and 905. And in March, as
21 Dr. Deyton also mentioned, we issued the 1996 Rule
22 on youth access and advertising. And we are -- we

1 have announced a competition for states to apply for
2 contracts to help us enforce the provisions of those
3 regulations.

4 This coming June, June 22nd, specifically,
5 will be a very important month for us. It will be
6 the first anniversary of the signing of the law into
7 effect by the President. It also is the date on
8 which descriptors, such as light, low, and mild
9 become illegal on tobacco products. It is the date
10 on which the new warning statements for smokeless
11 tobacco goes into effect; and it is the effective
12 date of the 1996 Rule.

13 I want to just end by giving you our web
14 site where I urge you to go. We have a wealth of
15 information there on provisions of the law and the
16 activities of the center, and you can sign up for
17 automatic notification of certain actions. So
18 please do visit us at our web site. Thank you very
19 much.

20 DR. SAMET: Thank you. We will move on
21 now to Dr. Corinne Husten who is going to provide an
22 overview of the TPSAC itself. And we're actually

1 running so far ahead that maybe we could move into
2 your second presentation if that works before --
3 before break.

4 DR. HUSTEN: I think that's great.

5 What I would like to do, first, is to just
6 talk about what's in the statute specifically
7 regarding the Tobacco Products Scientific Advisory
8 Committee. As you know, this statute requires the
9 Secretary to establish a 12-member Advisory
10 Committee, which is -- as was mentioned, is
11 affectionately known as TPSAC, because that's too
12 many words to keep saying everytime.

13 The members are to be appointed with very
14 specific criteria. The members need to be
15 individuals who are technically qualified by
16 training and experience in medicine, medical ethics,
17 science, or technology involving the manufacture,
18 evaluation, or use of tobacco products; and we're
19 also required to have a committee of diversified
20 professional backgrounds. And I would like to note
21 that this criteria applies to all members, both
22 voting and non-voting members.

1 The Committee is to have some very
2 specific types of voting members, including seven
3 individuals who are physicians, dentists, science,
4 or health care professionals in a variety of
5 disciplines.

6 One individual who is an officer or
7 employee of a state or local government, or
8 potentially also the federal government; and one
9 individual who is a representative of the general
10 public.

11 There are also, as was mentioned, three
12 non-voting members, including an individual
13 representing the tobacco manufacturing industry; one
14 representing the small business tobacco
15 manufacturing industry; and one individual
16 representing tobacco growers.

17 No member of the Committee who is a voting
18 member can, during the time while on the Committee
19 or in the 18 months prior to serving on the
20 Committee, have received any salary, grants, or
21 other payments, or support from the tobacco
22 industry. There are other federal conflict of

1 interest statutes, regulations, and guidance that
2 apply as you heard with our conflict of interest
3 statement that was read at the beginning of the
4 meeting.

5 There are some specific duties of the
6 Advisory Committee that are laid out in the law.
7 The TPSAC shall provide advice, information, and
8 recommendations to the Secretary on the effects of
9 alteration of nicotine yields from tobacco products;
10 whether there is a threshold below which nicotine
11 yields do not produce dependence; and reviewing
12 other safety, dependence, or health issues related
13 to tobacco products as requested by the Secretary.

14 Then there are other provisions in other
15 parts of the statute that include the impact of the
16 use of menthol in cigarettes on the public health,
17 which is the topic of this first meeting. The
18 nature and impact of use of dissolvable tobacco
19 products on public health; and as you heard, any
20 application submitted for a modified risk tobacco
21 product.

22 The menthol report has some very specific

1 requirements. Immediately upon establishment of the
2 TPSAC, the Secretary shall refer to the Committee
3 for report and recommendation the issue of the
4 impact of the use of menthol in cigarettes on public
5 health, including its use among children, African
6 Americans, Hispanics, and other racial and ethnic
7 minorities.

8 There are some other specific things that
9 the Committee needs to take into account that I will
10 reserve for the next talk that gives more specifics
11 about the menthol report.

12 There is also a second report that the
13 Committee is required to produce, and that's a
14 report and recommendations on the use of and the
15 impact of dissolvable tobacco products on the public
16 health, including such use among children. That
17 report is due no later than two years after the
18 establishment of the Committee; and so that report
19 is due March 23 in 2012.

20 Any questions specifically about the
21 statutory requirements of the Committee?

22 Okay. Then maybe I can move into some of

1 the specifics of the menthol report.

2 As I eluded to, there is a specific
3 requirement in the statute for the Committee to
4 evaluate the impact of use of menthol in cigarettes
5 on public health. As I mentioned, it was a topic
6 that had to be immediately referred to the
7 Committee, so that's why it's the topic of our first
8 meeting.

9 There are some very specific things that
10 the Committee is asked to address in its review of
11 this topic. One is, the risks and benefits to the
12 population as a whole, including both nonusers and
13 users of tobacco products. The increased or
14 decreased likelihood that existing users of tobacco
15 products will stop using. The increased or
16 decreased likelihood that those who do not use
17 tobacco products will start using such products.
18 The technical achievability of any recommendations;
19 and the potential for any recommendations to have
20 effects on adolescent and adult users, and
21 non-tobacco users; and the creation of significant
22 demand for contraband.

1 So the statute has some very specific
2 considerations that we are asking the Committee to
3 take into account when they develop their reports
4 and recommendations.

5 The report on menthol is due not later
6 than one year after the establishment of the TPSAC;
7 and so it's due March 23rd, 2011. And what we
8 wanted to make sure that this first meeting -- since
9 the Committee was just established there wasn't a
10 lot of time, obviously; we wanted to prepare some
11 materials so the Committee had some information to
12 start addressing this topic.

13 And so what we did is we looked at the
14 published research that we could find on some
15 specific topics related to menthol that could be
16 presented to the Committee and would get a start on
17 what's out there in terms of the published
18 literature so the Committee could start thinking
19 about what other information they need to complete
20 this report.

21 So you are going to hear a series of
22 presentations on a series of topics related to

1 menthol in cigarettes, including the use of menthol
2 by various demographic groups; menthol cigarettes
3 and smoking initiation; the marketing of menthol and
4 consumer perceptions; menthol sensory qualities and
5 topography; menthol's effect on nicotine dependence;
6 menthol smoking cessation behavior; and the health
7 effects on mentholated cigarettes.

8 But the primary purpose of this first
9 meeting is to really -- for the Committee to start
10 thinking about and telling us what you will need and
11 what approach you want to take to completing the
12 report within the statutorily required deadline of
13 one year.

14 We had also -- since we want to have a
15 second meeting relatively soon -- hopefully in the
16 summer -- we wanted to also put on the table at
17 least some considerations that we were thinking
18 about for topics for the second meeting, but,
19 obviously, this is a topic for you to discuss, and
20 what you want to happen at the second meeting. But
21 our initial considerations were that we felt we
22 would provide to you an analysis of tobacco industry

1 documents in the Legacy Tobacco Documents Library on
2 menthol cigarettes and nicotine dependence; menthol
3 cigarettes and initiation; and marketing and
4 consumer perceptions.

5 And that the second meeting would also
6 have a substantial amount of time devoted to
7 industry presentations with an emphasis especially
8 on unpublished data and a focus on marketing of
9 menthol cigarettes, initiation, consumer perception,
10 nicotine dependence, and cessation.

11 Again, this is just put out there for your
12 consideration. We want to hear from you about what
13 you really want to have as topics for the second
14 meeting, and other information that you need; but we
15 needed to do some preparation if we were going to
16 have a meeting in the summer, and so we started at
17 least moving forward with some analysis of the
18 tobacco industry documents in the Legacy database.

19 So the questions that ultimately you will
20 need to address in your final report are, what is
21 the impact of menthol cigarettes on public health,
22 including such use among children, African

1 Americans, Hispanics, and other racial and ethnic
2 minorities? And what recommendations, if any, does
3 TPSAC have for FDA regarding menthol cigarettes?

4 Now, obviously, those aren't questions
5 that you can answer at this first meeting, because
6 you don't have full information; but I wanted to
7 make sure that you kept those two questions in mind
8 as you are thinking about what you will need in the
9 subsequent meetings; and to -- you know, for us to
10 prepare for you.

11 The specific questions for this meeting
12 that we would like you to address are, are there any
13 specific questions around menthol that the industry
14 should address at the next meeting, since we do want
15 industry presentations at the second meeting since
16 there wasn't really the ability to give industry
17 time for this meeting to make presentations?

18 What other information does the Committee
19 need in order to meet its statutory requirements?

20 Are there agenda items that should be
21 included in future meetings pertaining to menthol?

22 And what support does the Committee need

1 to complete its report and recommendations by the
2 statutory deadline?

3 So we will bring these questions back to
4 you when you get to the discussion period; and
5 again, the primary focus of this meeting is really
6 to tell us what you need in order to get this report
7 done.

8 So any questions about your initial charge
9 with -- which is to start working on developing this
10 report which is due in a year.

11 DR. SAMET: Okay. So this is the
12 opportunity for the Committee to ask clarifying
13 questions. Greg.

14 DR. CONNOLLY: Thank you very much. It
15 was an excellent presentation. On your slide,
16 initial approach to the second meeting, you
17 referenced -- go back to that slide -- you reference
18 analysis of tobacco industry documents in the Legacy
19 Document Library. Just for a point of
20 clarification, has FDA been delivered other
21 documents that were not placed in the Legacy
22 Document Library when the Attorney General settled

1 the court case?

2 DR. HUSTEN: We have no documents. What
3 we have -- we prepared for this meeting a review of
4 published literature -- at least what we could find
5 in the published literature on the various topics;
6 and then we have asked -- we have a contract to
7 start looking at these specific issues in the Legacy
8 Document Database.

9 DR. CONNOLLY: Thank you.

10 DR. HUSTEN: Any other clarifying
11 questions?

12 DR. WAKEFIELD: Corinne, just for
13 background, the -- the articles and so forth that
14 you have provided us with, could you give a bit of
15 an overview about how you came across those
16 articles? You got to use search terms and that sort
17 of thing.

18 DR. HUSTEN: Actually, the very first
19 presentation after the presentation on the
20 demographics will actually walk you through exactly
21 how we found the documents that we have. If you
22 wouldn't mind just defraying that; because it

1 probably flows, you know, better as you start to
2 see. Then we will also talk about each topic, how
3 many articles we found. Obviously, you know, if we
4 have missed any articles we will want you to let us
5 know that.

6 DR. WAKEFIELD: Yes. And I guess a
7 follow-up question is that's fine for what we have
8 now. I guess all of us probably know there is work
9 in progress that's going on right now, articles that
10 may get accepted for publication. What's the
11 process of bringing new information before the
12 Committee and ensuring that we are up-to-date so
13 that our report includes the very latest research?

14 DR. HUSTEN: Well, we certainly can
15 continue to monitor the published articles. We
16 would also ask you as you are aware of things to let
17 us know, so that we can make sure we aren't missing
18 anything that you need.

19 DR. SAMET: I have two questions, and they
20 relate to, I think, interpretation of our charge.
21 So menthol cigarettes versus menthol in cigarettes
22 as one. And I know -- noted in my background

1 reading that menthol is a -- commonly used in
2 cigarettes -- some cigarettes that are mentholated
3 have a higher concentration. So what is our charge?
4 As read specifically it's menthol cigarettes.

5 Then, the other question just is one
6 simply of a more complicated matter of
7 interpretation; what is the impact of menthol
8 cigarettes on public health implies a comparison to
9 something. Presumably a world in which menthol
10 cigarettes don't exist.

11 I mean, the big word for this is
12 counterfactual. And what -- how is that thought
13 of -- how have you thought of that. Perhaps, we
14 should have some discussion. Both matters --
15 menthol in cigarettes versus menthol cigarettes, and
16 then the question of comparison for impact.

17 DR. HUSTEN: Well -- and to some extent
18 those are decisions that you are going to have to
19 make, because we all have just what's in the statute
20 to guide us. Certainly, as we were looking at the
21 literature we were comparing the mentholated
22 cigarettes to the nonmenthol cigarettes, as they're

1 generally considered. So cigarettes that have
2 menthol more as an unique flavor compared to ones
3 that are considered the nonmenthol cigarettes, even
4 if they all contain some menthol.

5 Certainly, around health effects, you
6 know, it's a more general question about just the
7 effects of menthol in cigarettes. I think a lot of
8 this, again, is something that the Committee is
9 going to have to wrestle with a little bit, because
10 we're all within the constraints of the statute.

11 As far as the public health piece, I think
12 the critical questions there are the questions about
13 any information about the impact on current users in
14 terms of, you know, their ability to quit or not
15 quit, or the likelihood of nonusers to start to use.
16 Because in the statute that's the general
17 provision -- when it talks about the public health
18 or the population effect -- that is often referred
19 to.

20 DR. SAMET: Okay. I think that these are
21 probably two issues that the Committee will need to
22 delve into a little bit as we have our discussion.

1 Considering, presumably, if a recommendation were
2 made that menthol should be removed from cigarettes,
3 or that menthol cigarettes should not be marketed,
4 that that would extend to all menthol in all
5 cigarettes, as opposed to mentholated cigarettes.

6 DR. HUSTEN: Well, I think -- you know,
7 there are a variety of ways that it could go. It
8 could be all menthol, or it could be more -- if it's
9 enough to be a characterizing flavor.

10 DR. SAMET: Okay. I think we will
11 probably need to learn more about this as we move
12 forward.

13 Are there other clarifying questions, or
14 perhaps nonclarifying questions?

15 Okay. Then, I think we have arrived 25
16 minutes early at break time. That said, I think
17 let's stick to 15 minute break times. And I need to
18 remind the Committee members that there should be no
19 discussion of the meeting topic during the break
20 amongst ourselves or with any members of the
21 audience. So let's reconvene about five after
22 10:00. Thank you.

1 (Whereupon, a recess was taken.)

2 DR. SAMET: If I can ask everyone to be
3 seated, please, we're going to move on. I think our
4 15 minutes is up.

5 Our next presenter is Dr. Ralph Caraballo
6 from the CDC. He is epidemiology Branch Chief in
7 the Office of Smoking and Health. He will be
8 talking about the use of menthol cigarettes by
9 demographic groups.

10 DR. CARABALLO: Thank you. Thank you to
11 the Committee for the opportunity to discuss the
12 epidemiology of menthol cigarette use in the United
13 States. My name is Dr. Ralph Caraballo, Chief of
14 the Epidemiology Branch in the Office on Smoking and
15 Health, Centers for Disease Control and Prevention
16 in Atlanta, Georgia.

17 Let me, before I start my presentation,
18 say that the findings and conclusions in these
19 presentation are mine, and do not necessarily
20 represent the official position of the Center for
21 Disease Control and Prevention.

22 Today I'm going to provide you with an

1 overview of the use of menthol cigarettes among
2 various U.S. demographic groups. I will begin by
3 describing two relevant publications and the main
4 data source which I will be using.

5 Then I'm going to describe current
6 patterns in menthol cigarettes use, first in terms
7 of absolute numbers of menthol cigarette smokers;
8 and then broken down by race, age, and gender.

9 Next, after briefly summarizing recent
10 trends in overall cigarette use among U.S.
11 adolescents and adults, I will describe trends in
12 menthol cigarette use from 2004 to 2008 for
13 adolescents and adults broken down by age, gender,
14 and income, with age and gender analysis further
15 broken down by racial/ethnic group. After recapping
16 the major findings, I will conclude by briefly
17 discussing limitations of the data on this topic.

18 I want to start by calling your attention
19 to two recent publications on menthol use in the
20 United States. These publications provide a good
21 summary of demographic patterns of menthol cigarette
22 use in this country and several methodological

1 issues relate to self-reporting of menthol cigarette
2 use.

3 In 2004, Gary Giovino and colleagues
4 published an overview of the demographics of menthol
5 cigarette use in the United States. His analysis
6 provided a detailed review of cigarette brand
7 preferences and patterns of menthol cigarette use
8 among youth and adults in the United States, drawing
9 on data from the 2000 National Survey on Drug Use
10 and Health, or NSDUH for short; and from 1998 to
11 2000, Monitoring the Future Survey.

12 However, much of the NSDUH data presented
13 in this paper looked at the combined U.S. population
14 age 12 years or older. In November of 2009, the
15 U.S. Substance Abuse and Mental Health Services
16 Administration, or SAMHSA, published a report on
17 menthol cigarette use in this country drawing on
18 2004 to 2008 data from NSDUH.

19 Most of these publications are very good
20 background resources on the demographics of menthol
21 cigarette use in the United States and on available
22 data sources.

1 In my presentation I will be focusing on
2 the NSDUH as my primary data source. Even though
3 other surveys, including the Monitoring the Future
4 Survey, the National Youth Tobacco Survey, and the
5 National Health and Nutrition Examination Survey
6 collect some information on menthol cigarette use or
7 on cigarette brand smoked by smokers, I chose to
8 present data from the NSDUH survey because it has a
9 larger sample size, which allows for more precise
10 estimates of menthol cigarette use, and because it
11 is a data source that captures information on
12 menthol cigarette use for both adolescents and
13 adults for racial/ethnic groups other than African
14 Americans, Whites and Hispanics.

15 I will provide more recent and detailed
16 data which expands on 2009 NSDUH report. In my
17 presentation, when possible, I will present NSDUH
18 data broken down by gender and race/ethnicity for
19 adolescents age 12 to 17 years; young adults, age 18
20 to 25 years; and adults, age 26 years or older.

21 Before discussing the NSDUH data, I want
22 to briefly describe the methods used in this survey,

1 and to share the wording of the question that it
2 uses to assess menthol cigarette use.

3 The NSDUH provides nationally
4 representative data. It is a household survey which
5 collects information on the U.S. civilian,
6 non-institutionalized population aged 12 years and
7 older.

8 The NSDUH had more than 68,000 respondents
9 in 2008. In terms of response rates, 89 percent of
10 selected households completed the screener, with
11 74 percent of selected persons completing the
12 interview. The surveys have similar sample sizes
13 and response rates in 2004 through 2008.

14 The NSDUH includes two questions that are
15 relevant to cigarette use. One question reads, were
16 the cigarettes you smoked during the past 30 days
17 menthol? Prior to 2004, this question was worded
18 differently. As a result, we can only look at data
19 for the years 2004 to 2008, which limits the number
20 of data points we have available to track trends
21 over time.

22 The NSDUH survey also asked about the

1 specific brand that respondents smoked in the past
2 30 days. However, we did not use that question to
3 track menthol cigarette use, given that many leading
4 cigarette brands have menthol and nonmenthol
5 subbrands, and these details are not collected in
6 the survey.

7 So now let's go to who is smoking in the
8 United States -- who is smoking menthol cigarettes?
9 How many Americans smoke menthol cigarettes?

10 On average, in each year, using NSDUH
11 survey -- oh -- on average in each year, using the
12 NSDUH survey, it is estimated that there were one
13 point -- let me go back -- there were about 1.1
14 million adolescent menthol cigarette smokers aged 12
15 to 17 years in the United States in the combined
16 years 2004 to 2008. It also estimated that, on
17 average, there were about 18.1 million U.S. adult
18 menthol cigarette smokers aged 18 years or older
19 during those years.

20 Thus, combining these figures, the average
21 total number of menthol cigarette smokers in the
22 United States was approximately 19.2 million each

1 year over this period.

2 So now we turn to answering the question,
3 who smoked menthol cigarettes in this country?

4 I want to start by examining patterns of
5 menthol cigarettes use broken down by racial/ethnic
6 group. I will look at this topic in two ways:
7 First, in terms of the prevalence of menthol
8 cigarette use within cigarette smokers of each
9 racial and ethnic group; then, in terms of
10 proportion of all cigarette smokers in the United
11 States who belong to each racial or ethnic group.

12 In other words, in the first analysis the
13 denominator will be all cigarette smokers, including
14 both menthol and nonmenthol cigarette smokers within
15 each racial/ethnic group; while in the second
16 analysis, the denominator will be all menthol
17 cigarette smokers in the United States.

18 One obvious and important finding is that
19 African American smokers are far more likely to
20 smoke menthol cigarettes than smokers of other U.S.
21 racial or ethnic groups.

22 Among adolescents aged 12 to 17 years,

1 clear differences were observed among racial/ethnic
2 groups in the proportion of menthol cigarette
3 smokers among all cigarette smokers. About seven of
4 ten African American smokers in this age group
5 reported smoking menthol cigarettes, followed by
6 about half of multi-race and Asian smokers.

7 Overall, the bar on the far right shows
8 that almost half of adolescent smokers aged 12 to 17
9 years reported smoking menthol cigarettes in the
10 past 30 days.

11 Clear differences among racial/ethnic
12 groups in the proportion of menthol cigarette
13 smokers among all cigarette smokers were also
14 observed among adults. About eight of ten African
15 American adult smokers reported smoking menthol
16 cigarettes, followed by about half Native Hawaiian
17 and other Pacific Islander adult smokers.

18 Again, looking at the bar to the far
19 right, overall, about three of ten adult smokers
20 reported smoking menthol cigarettes.

21 Now, we will turn to the second lens for
22 looking at menthol cigarette use by racial/ethnic

1 groups. In this approach, the denominator is all
2 U.S. menthol cigarette smokers. From this
3 perspective, we see that even though African
4 Americans have a very high prevalence of menthol
5 cigarette use, Whites make up the majority of both
6 adult and adolescent menthol cigarette smokers in
7 the United States.

8 The pie chart on the left shows that
9 members of minority racial/ethnic groups account for
10 almost half of all adult menthol cigarette smokers.
11 Still, the majority of adult menthol cigarette
12 smokers are White, followed by a substantial
13 proportion of African Americans and smaller
14 proportions of Hispanics and other racial or ethnic
15 groups.

16 The pie chart on the right show the
17 distribution of adult smokers who reported smoking
18 nonmenthol cigarettes. Whites make up about
19 80 percent of these smokers, followed by Hispanics
20 and smaller proportions of African Americans,
21 American Indians and Alaskan Natives, Native
22 Hawaiians and other Pacific Islanders, Asians, and

1 others.

2 Among youth aged 12 to 17 years, we
3 observed somewhat similar patterns as among adults.

4 The pie chart on the left shows smokers of
5 menthol cigarettes aged 12 to 17 years broken down
6 by racial/ethnic group. The pie chart on the right
7 shows smokers in this same age group reported
8 smoking nonmenthol cigarettes; again, disaggregated
9 by racial/ethnic group.

10 As was the case among adults, a large
11 majority of nonmenthol cigarette smokers are white,
12 followed by African American, Hispanics, and other
13 racial and ethnic groups.

14 Now, we will take a look at menthol
15 cigarette use among all smokers broken down by age
16 groups. The information I will present shows that
17 proportionately adolescents are more likely to smoke
18 menthol cigarettes than adult smokers.

19 For example, this slide from the 2009
20 SAMHSA report shows that younger smokers are more
21 likely to smoke menthol cigarettes. A higher
22 proportion of cigarette smokers smoke menthol

1 cigarettes among adolescents than among young adults
2 or older adults. This inverse relation between age
3 and smoking menthol cigarettes is statistically
4 significant.

5 This graph shows that the proportion of
6 menthol cigarette smokers among all cigarette
7 smokers is higher among adolescent than among adults
8 in most, but not all, racial or ethnic groups.

9 Among White, Hispanic, Asian, and
10 multi-racial youth, the proportions of adolescent
11 cigarette smokers reporting smoking menthol
12 cigarettes are significantly higher than among
13 adults. However, the proportion of African American
14 adolescent cigarette smokers reporting smoking
15 menthol cigarettes is significantly lower than the
16 corresponding proportion for African American adult
17 smokers. This observed difference requires
18 additional study.

19 However, the most significant point here
20 is that very high proportions of both African
21 American adolescents and adult smokers smoke menthol
22 cigarettes.

1 Turning now to gender, the scientific
2 literature consistently shows that females are more
3 likely to smoke menthol cigarettes than males, as
4 illustrated by this slide from the SAMHSA report.
5 This slide shows that this gender difference is
6 present across racial/ethnic groups for respondents
7 aged 12 years and older. A higher proportion of
8 female smokers than male smokers smoke menthol
9 cigarettes among African Americans, Whites and
10 Hispanics.

11 The lack of a significant gender
12 difference in the other racial/ethnic groups
13 probably result from the lack of precision of the
14 estimates for this populations due to small sample
15 size.

16 Even with the NSDUH survey's relatively
17 large sample size, when subdivided by
18 race/ethnicity, the margins of error for these
19 groups become larger, making it more difficult to
20 detect statistically significant differences.

21 Next, I'm going to present data on overall
22 trends in cigarette smoking prevalence among youth

1 and adults in the United States. Here I am going to
2 talk about cigarette use in the U.S, thus, the
3 denominator includes both smokers and nonsmokers.

4 These data will provide a backdrop for our
5 discussion of trends in menthol cigarette use.

6 In general, cigarette smoking prevalence
7 in this country has been declining for both
8 adolescents and adults over the past ten to 15
9 years.

10 The Department of Health and Human
11 Services uses the Youth Risk Behavior Survey as its
12 data source to track cigarette smoking prevalence
13 among 9th through 12th grade students for Healthy
14 People 2010 objective 27-2b. Based on this survey,
15 cigarette smoking among 9th through 12th grade
16 students fell by 40 percent from 1997 to 2003; from
17 36.4 percent to 21.9 percent. This survey shows a
18 point decline in smoking prevalence of 7.5 percent
19 from 1991 to 2007. 2009 data from this survey will
20 be available later this year.

21 The Department of Health and Human
22 Services uses the National Health Interview Survey

1 to track U.S. adult cigarette smoking prevalence
2 from Healthy People 2010, objective 27-1a. This
3 survey shows that adult smoking prevalence fell
4 significantly from 1998 to 2004, but has remained
5 relatively unchanged since then. Even with
6 population growth, this decline in smoking
7 prevalence resulted in an estimated 1.2 million
8 fewer U.S. adult smokers in 2008 than in 1998.

9 Now, we will discuss trends in menthol
10 cigarette use for the period from 2004 to 2008. I
11 will start by looking at trends by age. Here, and
12 in the remainder of my presentation, I will once
13 more be relying on data from the NSDUH.

14 I will present trend data for Africa
15 Americans, Whites and Hispanics only. The reason
16 that I am not looking at other racial groups here is
17 that the annual numbers of respondents for these
18 group are too small to yield precise estimates.

19 So I will begin with adolescents. The
20 proportion of adolescents cigarette smokers smoking
21 menthol cigarettes has increased significantly in
22 recent years. Among all past-month smokers aged 12

1 to 17 years, the proportion of smokers of menthol
2 cigarettes increased significantly from 43.4 percent
3 in 2004 to 48.3 percent in 2008, for an 11 percent
4 increase over four years.

5 This increase in the proportion of
6 adolescent cigarette smokers who smoke menthol
7 cigarettes reflects an increase in menthol cigarette
8 youth among White adolescents, represented by the
9 blue line, who were the only racial/ethnic group to
10 show a significant increase over this period.

11 Now, we will turn to trends among adults.
12 An overall increase in past-month menthol cigarette
13 use was also observed for adults. Because there are
14 many more adult smokers than adolescent smokers, the
15 estimates for adults are more statistically precise
16 than those for adolescents. As a result, a smaller
17 point prevalence difference is more likely to be
18 statistically significant for adults than for
19 adolescents.

20 This graph shows a slight, but significant
21 increase in the proportion of menthol cigarette
22 smokers among all past-month adult smokers. This

1 proportion increase from 30.2 percent in 2004 to
2 33.8 percent in 2008, for a 13 percent increase over
3 four years. This compared to the 11 percent
4 significant increase in this proportion observed
5 among adolescents during the same period.

6 These increases occurred in a period when
7 the overall prevalence of cigarette smoking among
8 adolescents was slowly declining, while adult
9 smoking prevalence has been stagnant over the last
10 few years.

11 Based on the NSDUH data, the proportion of
12 all adult cigarettes smokers aged 18 to 25 years who
13 smoked menthol cigarettes, represented by the dark
14 blue line, increased from 34.1 percent in 2004 to
15 40.3 percent in 2008, for a significant 17 percent
16 increase.

17 The proportion of adult smokers, aged 26
18 years and older who smoked menthol cigarettes,
19 represented by the light blue line, increased from
20 29.1 percent in 2004 to 32.2 percent in 2008, for a
21 borderline significant increase of 10 percent.

22 Next, I will review the data on trends in

1 menthol cigarette use by gender. The NSDUH report
2 shows that the proportion of male cigarette smokers
3 aged 12 years or older who smoked menthol cigarettes
4 increased significantly from 26.9 percent in 2004 to
5 30.8 percent in 2008. The proportion of female
6 cigarette smokers in this age range who smoked
7 menthol cigarettes increased from 35.9 percent in
8 2004 to 37.5 percent in 2008, a nonsignificant
9 increase.

10 Significant increases in past-month
11 menthol cigarette use were observed among White and
12 Hispanic male smokers, aged 18 and older from 2004
13 to 2008, according to NSDUH data. The proportion of
14 all White adult male past-month cigarette smokers
15 who smoked menthol cigarettes, represented by the
16 light blue line, increased significantly from
17 18.5 percent in 2004 to 21 percent in 2008.

18 The proportion of all Hispanic adult male
19 past-month cigarette smokers who smoked menthol
20 cigarettes, represented by the green line, increased
21 significantly from 22.7 percent in 2004 to
22 29.5 percent in 2008.

1 In contrast, the proportion of African
2 American adult male past-month cigarette smokers who
3 smoked menthol cigarettes, represented by the purple
4 line, did not change significantly, standing at
5 83 percent in both 2004 and 2008. However, it is
6 important to note that this proportion was already
7 very high in 2004, potentially creating a ceiling
8 effect.

9 In contrast, among adult women, no
10 significant changes were observed in the proportion
11 of African American, White, or Hispanic past-month
12 cigarette smokers who smoked menthol cigarettes
13 during this period.

14 This proportion increased
15 non-significantly from 86.3 percent in 2004 to
16 91.9 percent in 2008 among African American adult
17 female cigarette smokers.

18 Again, as with African American men, it is
19 important to note that this proportion was already
20 very high in 2004.

21 Nonsignificant increases from 38.9 percent
22 to 41.4 percent, and from 26.7 percent to

1 28.9 percent in this proportion were observed for
2 Hispanic and White women, respectively, over this
3 period. Even though nonsignificant, these trends
4 point to potential increases in the near future.

5 Finally, increases were also observed
6 during 2004 to 2008 period in the proportion of
7 adult smokers who smoke menthol cigarettes among
8 certain specific family income brackets.
9 Specifically increases in this proportion were
10 observed among respondents with family incomes
11 between \$20,000 and \$49,999, and with incomes of
12 \$75,000 or more, represented by the blue and orange
13 lines respectively.

14 It is also important to note that
15 respondents with family incomes below 50 percent,
16 represented by the blue and green lines, were
17 proportionally more likely to smoke menthol
18 cigarettes than respondents with higher family
19 incomes.

20 Thus, to summarize, during 2004 to 2008 in
21 terms of overall absolute numbers, we saw that 1.1
22 million adolescents smoked menthol cigarettes; that

1 18.1 million adults smoked menthol cigarettes; and
2 that a total of 19.2 million Americans smoked
3 menthol cigarettes.

4 In terms of racial or ethnic group, we
5 observed that almost half of adult menthol cigarette
6 smokers came from minority racial/ethnic groups.
7 That the great majority of African American
8 adolescents and adult cigarette smokers smoked
9 menthol cigarettes; and that the proportion of
10 cigarette smokers who smoke menthol cigarettes
11 increased significantly from 2004 to 2008 among
12 White adolescents, White men, and Hispanic men. And
13 Whites made up the majority of U.S. menthol
14 cigarette smokers.

15 In terms of age, almost half of adolescent
16 cigarette smokers smoke menthol cigarettes. Three
17 of ten adult cigarettes smokers smoke menthol
18 cigarettes. Younger smokers were more likely to
19 smoke menthol cigarettes. And from 2004 to 2008,
20 the proportion of menthol cigarette smokers among
21 all cigarette smokers increased in each of the three
22 age groups considered; 12 to 17 years; 18 to 25

1 years, and 26 years or older.

2 In terms of gender, we saw that female
3 smokers were more likely to smoke menthol cigarettes
4 than male smokers. That the proportion of cigarette
5 smokers who smoked menthol cigarettes increased
6 significantly among males aged 12 years or older,
7 but not among -- but not among their female
8 counterparts.

9 In terms of income, we saw that adult
10 smokers with family incomes of less than \$50,000
11 were more likely to smoke menthol cigarettes than
12 adult smokers with higher family incomes. That the
13 proportion of adult cigarette smokers who smoke
14 menthol cigarettes increased significantly among
15 adult smokers with family incomes between \$25,000
16 and \$49,999; and that the proportion of adult
17 cigarette smokers who smoked menthol cigarettes also
18 increased significantly among adult smokers with
19 family incomes of \$75,000 or more.

20 I want to conclude by talking briefly
21 about the limitations of the data that this analysis
22 is based on. One issue that has been discussed in

1 the scientific literature is that both youth and
2 adult smokers may under-report menthol cigarette
3 use.

4 For example, the 2004 paper by Giovino and
5 colleagues I cited earlier, which examined this
6 issue using NSDUH data from 2000, when the NSDUH
7 menthol cigarette question was worded differently,
8 found that among all smokers aged 12 years and
9 older, 7.9 percent of respondents who reported
10 smoking a primarily menthol cigarette brand like
11 Newport, Kool, or Salem also reported in the
12 question about menthol cigarette use that they did
13 not smoke a menthol cigarette.

14 In this paper they also found that
15 4.2 percent of respondents who reported smoking a
16 cigarette brand like Winston, that is only available
17 in non-menthol form, also reported in the question
18 about menthol cigarette use that they did smoke a
19 menthol cigarette.

20 The same paper by Giovino and colleagues
21 also mentioned that these discrepancies in
22 self-reported menthol cigarette use were higher for

1 adolescent smokers aged 12 to 17 years than for
2 adult smokers; although, they did not provide
3 specific data on this issue. However, this issue
4 should not impact the trend analysis I have
5 presented.

6 Another limitation of this analysis the
7 NSDUH data I have presented come from annual
8 cross-sectional surveys. While the NSDUH survey
9 provides a good picture of the demographic of
10 menthol cigarette use, we are currently unable to
11 perform confirmatory analysis using cigarette brand
12 information from other surveys.

13 So this concludes my presentation. Thank
14 you very much.

15 DR. SAMET: Okay. Thank you,
16 Dr. Caraballo.

17 What we are going to do now is move on to
18 the presentation by Dr. Deirdre Lawrence on Menthol
19 Sensory Qualities and Topography. The audience, I
20 believe, does not yet have the copy of the slides,
21 which will be on the main table outside.

22 Dr. Lawrence, thank you.

1 DR. LAWRENCE: Hello. My name is
2 Dr. Deirdre Lawrence, and I'm an epidemiologist at
3 the National Cancer Institute, a tobacco control
4 research branch. I am currently on detail at FDA's
5 new Center for Tobacco Products. This is the first
6 of several presentations summarizing the published
7 scientific literature.

8 Today, I will tell you about the
9 literature on menthol sensory properties, and its
10 possible effects on smoking topography. So your
11 first question might be, what is topography? What I
12 mean by this is it's a -- it's a quantifiable
13 component of smoking behavior often referred to as
14 puffing behavior. The most commonly used measures
15 in the literature included the number of puffs per
16 cigarettes, and the puff volume, often reported as
17 molliers. Other measures included puff duration,
18 puff flow, and interpuff intervals.

19 This slide demonstrate some instruments
20 that have been used to actually measure topography.
21 As you can see on the top right, as well as on the
22 right-hand side, these are desk-top instruments that

1 can be used in a laboratory setting where the
2 smoker -- I'm going to try to use a pointer here --
3 maybe not -- where the cigarette is -- of choice is
4 inserted into the device, and the smoker is able to
5 smoke; and the machine actually captures the puff
6 profile.

7 On the bottom left corner, you will see a
8 handheld portable device where the cigarette is
9 smoked in a more naturalistic environment, and the
10 measurements are recorded.

11 This is a screen shot of what the puff
12 profile might look like. It shows you the number of
13 puffs; the puff volume, which is the area under the
14 curve; the puff duration over time, as well as the
15 interpuff intervals.

16 We are post literature. There are three
17 main research questions that we were trying to
18 answer. One is, what are the properties or the
19 sensory properties related to menthol? How do these
20 properties actually contribute to the experience of
21 smoking? And do these properties actually alter the
22 smoking behavior for the smoking topography?

1 You have heard mentioned earlier today
2 about the NCI bibliography, and I brought a copy
3 here so you can actually see what it looks like. I
4 was one of the team members responsible for putting
5 together this bibliography, and it's available on
6 the NCI web site, and it serves as a resource. It
7 has 343 abstracts related to menthol and tobacco;
8 and I believe there is a question about the search
9 terms. And on Roman numeral page five it describes
10 what the search terms were.

11 We used several databases, including Pub
12 Med, Scopist, as well as the Web of Knowledge. The
13 search terms included menthol cigarettes,
14 mentholated cigarettes, menthol tobacco, mentholated
15 tobacco, menthol smokers, and menthol; and several
16 terms related to disease and health. And there is a
17 long list -- I won't read them all -- but terms such
18 as addiction, nicotine, marketing, cancer,
19 biomarkers, environmental tobacco smoke, et cetera.

20 I will be happy to answer any questions
21 later about this methodology.

22 This was used as a basis for us to get

1 started in terms of summarizing the scientific
2 literature around menthol. The search was done up
3 until mid-January -- sorry -- the middle of 2009.
4 So at FDA when we decided to take this on, we
5 actually updated the bibliography by using the same
6 research terms and identified some additional
7 articles using the same methodology. So those were
8 included in these presentations, as well as the
9 White papers that we were developing around the
10 literature.

11 For this presentation and -- for most of
12 them, actually -- the review articles were quite
13 helpful in terms of providing background information
14 and providing additional sources for research
15 articles we might have missed in our search; but we
16 primarily focused on the primary research articles.
17 And for this presentation 26 articles were relevant
18 to sensory properties in topography.

19 For the ease of the presentation here, I
20 have just displayed several of the reviews that have
21 been published about menthol's pharmacology and
22 sensory qualities. There are only a few published

1 topography studies; six to be exact. And there is a
2 great deal of variability between these studies.

3 For example, the study design varies from
4 study to study. Some employed -- in some studies
5 the smokers smoked both menthol and nonmenthol
6 cigarettes, which is sometimes referred to as
7 cross-over studies. And other studies the smokers
8 actually smoked their preferred brand, their
9 preferred cigarette.

10 There are also differences in how the
11 smokers were asked to smoke. Some smokers smoked
12 ad libitum, or as they normally would; whereas,
13 others had to follow a rapid smoking procedure where
14 they had to take puffs every 15 seconds. This was
15 to control for variability between puffs. And only
16 one study actually varied the nicotine -- I'm sorry,
17 the menthol content to see if there was an effect on
18 topography. So as a result, a comparison was
19 difficult between the studies, but I will show you
20 what we have found.

21 First of all, what are the sensory
22 properties of menthol? One is flavor or taste.

1 Menthol is naturally found in peppermint oil or
2 cornmint oil, and it stimulates the olfactory and
3 taste receptors, and seems to be known for its
4 characteristic mint flavor and smell.

5 In a baseline questionnaire among menthol
6 smokers, 60 percent responded that they would pay
7 more money for a menthol cigarette than for a
8 nonmenthol cigarette. This could suggest that
9 flavor or taste may play a role. They also gave
10 taste as one of the three main reasons for smoking
11 menthol cigarettes.

12 One of the most well-known properties
13 associated with menthol includes the sensation --
14 the cooling sensation that's often felt. When
15 menthol is applied to the skin or mucosal surface it
16 causes a sensation of cool or warm, which is
17 attributed to the stimulation of thermal receptors.
18 Menthol's affect on temperature sensation is likely
19 mediated through a family of channels -- or family
20 of proteins caused the transient receptor potential,
21 or TRP. Menthol actually activates the TRP 8, the
22 cold activated receptor; as well as TRP 3, the warm

1 activated receptor.

2 It's important to note that this sensation
3 of cold or warmth is determined by the activity of
4 the thermal receptors on the skin and mucosal
5 surface, not by actual change in temperature.

6 These receptors are found in the upper
7 respiratory track, the nose and larynx; and menthol
8 delivery contributes to the sensory receptors in the
9 mouth and throat. Menthol emulations stimulate
10 trigeminal cold receptors resulting in a cooling
11 sensation without a change of physiological
12 temperature, as I said earlier. And menthol can
13 both increase the sensation of cold in the oral
14 cavity; menthol also enhance or attenuate feelings
15 of warmth.

16 Menthol effects on respiration seem to be
17 complex, and not as well understood. Menthol has
18 been used as a decongestant. And studies show that
19 subjects exposed to menthol report a perceptive of
20 increased nasal air flow. However, objective
21 measurements do not actually show physical
22 decongestant activity or any changes in airway

1 resistance.

2 In animal experiments menthol inhibited
3 ventilation, or the drive to breathe, and could
4 increase breath hold time in humans. Menthol can
5 act as a cough suppressant; and additional animal
6 studies show that menthol could reduce mucous
7 clearance in bronchial dilation.

8 Menthol has both an analgesic, also known
9 as pain killing, as well as anesthetic properties
10 resulting in a reversible loss of sensation.
11 Several mechanisms have been proposed for menthol's
12 analgesic effects, such as the TRP receptors that I
13 mentioned earlier; as well as the activation of the
14 kappa opioid system. Again, these are proposed
15 mechanisms.

16 Menthol is an irritant, but tolerance can
17 be developed after repeated exposure. In addition,
18 it's been shown that nicotine can actually reduce
19 the irritant properties of nicotine -- menthol can
20 reduce the nicotine's -- let me slow down. In
21 addition, it's been shown that menthol can reduce
22 nicotine's irritant properties.

1 Perceived strength is complex like taste,
2 and is derived through a variety of factors like
3 irritants, temperature, and taste. Menthol can
4 produce a varying degree of irritation and changes
5 in temperature perceptions. It's important to note
6 that irritation may not necessarily be bad. For
7 example, spicy food may be considered an irritant by
8 some, but liked by others.

9 The studies -- there was a study that
10 showed that cigarettes with descriptors indicative
11 of lower machine derived tar yields -- that is ultra
12 light or light -- had more menthol and higher rates
13 of menthol to tobacco than other cigarettes. Ultra
14 light cigarettes have greater amounts than mild or
15 nonmenthol flavored cigarettes.

16 The author proposed that menthol may be
17 used to offset production in smoke delivery, or
18 perceived strength in low yield cigarettes.

19 In a separate study, menthol smokers
20 reported that menthol cigarettes are more soothing
21 to the throat than nonmenthol cigarettes.

22 Now, I will describe some of the published

1 findings that have analyzed publicly available
2 tobacco industry documents.

3 In a review -- a published review of
4 publicly available documents, Kreslake and
5 colleagues showed that the tobacco industry
6 developed different kinds of products with different
7 levels of menthol. One was a low content menthol
8 cigarette thought to mask the taste of tobacco and
9 reduce throat scratch.

10 The other category had a higher menthol
11 content for increased perceived strength in menthol
12 flavor. And it was stated that these might be for
13 those who seek out the specific menthol flavor and
14 associated physical sensation.

15 This study also showed that smoking status
16 was associated with the overall liking of menthol
17 concentrations with heavy smokers preferring higher
18 levels of menthol, and moderate smokers preferring
19 moderate levels.

20 Wayne and Connolly published a study
21 analyzing the publicly available tobacco industry
22 documents to assess tobacco industry research on the

1 sensory properties of menthol, and the proposed
2 possible uses as identified in tobacco industry
3 documents.

4 I actually borrowed their table four,
5 simplifying it slightly for ease of presentation.
6 And as you can see, it describes menthol's
7 properties that were also identified in the
8 scientific literature, such as cooling, anesthetic
9 or analgesic effect, impact or perceived strength,
10 as well as sensory.

11 We see that there are similar properties
12 reported for menthol in both the published
13 scientific literature, as well as published analyses
14 of the publicly available tobacco industry
15 documents.

16 These properties include cooling sensation
17 of ease of respiration, flavor, et cetera. It's
18 proposed that these properties could result in
19 larger puff volumes, increased frequency, or number
20 of puffs per cigarette, greater intensity of
21 smoking, et cetera; or that the inhalation patterns
22 could be altered due to increased breath hold time.

1 So the question is, what do the studies
2 tell us?

3 There were six comparative studies
4 examining whether there is increased puff volume or
5 puff frequency associated with menthol cigarettes
6 versus nonmenthol cigarettes. These were all done
7 in the 1990's. The first four studies were all men.
8 Three of the four through -- I'm sorry -- drew their
9 sample from inpatients undergoing treatment for drug
10 and alcohol dependence.

11 They employed a cross over design where
12 menthol and non-menthol smokers participated in a
13 rapid smoking procedure, which, again, this was a
14 controlled smoking procedure, taking puffs every 15
15 or 30 seconds. In one session the smokers smoked
16 menthol cigarettes. In a separate session the
17 smokers smoked non-menthol cigarettes.

18 In the study done by Dr. Jarvik, the
19 subjects were recruited from the community; and
20 smokers were able to smoke as they normally would,
21 instead of using the rapid smoking methodology.

22 The two later studies were done among

1 women only, and they were women from the community;
2 and they were able to smoke as they normally would.

3 The hypothesis was that smokers smoking
4 menthol cigarettes would have a higher puff volume
5 than smoking -- than smoking non-menthol cigarettes.

6 However, one study showed that menthol
7 significantly increases the puff volume. In the
8 1996 Ahijevych study it reported the higher volume,
9 but it was not significant.

10 The McCarthy study reported that study
11 participants inhaled almost 40 percent more smoke
12 when smoking nonmenthol cigarettes than when smoking
13 menthol cigarettes.

14 Again, with puff frequency or number of
15 puffs per cigarette, authors hypothesized that
16 because of menthol cooling and anesthetic effects,
17 smokers would take more puffs from menthol
18 cigarettes, than from nonmenthol cigarettes.

19 Two of the studies showed fewer puffs per
20 cigarette for menthol cigarettes; and three of the
21 studies found no significant effect for the menthol
22 cigarettes.

1 Puff volume and number of puffs were the
2 most frequently used measures of topography; but
3 there were a few others that were reported. I just
4 wanted to let you know about them.

5 For example, in Dr. Jarvik's study, as I
6 reported earlier, it was found that there was
7 decreased puff volume and few puff numbers per
8 cigarette among menthol smokers; but the puff flow
9 rate was significantly lower during menthol
10 cigarette smoking. There were no significant
11 differences in the other measures, such as puff flow
12 and puff duration.

13 In the Ahijevych study amongst women, it
14 was found, again, that larger puff volumes among
15 menthol smokers; but there were no significant
16 difference in the other measures, such as puff
17 duration and interpuff interval.

18 I spent most of the time describing
19 topography as being a quantifiable measure. Some of
20 the studies did have self-reported topography. In a
21 perspective study menthol and nonmenthol smokers
22 reported similar puff numbers for cigarettes, depth

1 of inhalation, and length of cigarette smoke.

2 Similarly, in a separate study, subjective
3 rating of harshness did not differ between menthol
4 and nonmenthol smokers. However, one study did
5 report that menthol smokers felt they could inhale
6 from menthol cigarettes more easily and deeply than
7 nonmenthol cigarettes. So the self-reported
8 measures are not consistent.

9 There are few limitations to keep in mind
10 when examining the results from these studies. One
11 is that they all had small sample sizes with the
12 exception of one study, which contained 95
13 participants. Most had less than 40.

14 There were not a large enough sample size
15 to allow for inter and intra individual differences
16 in smoking behavior. Most were gender specific.

17 Puffing topography among men yielded
18 smaller volumes of menthol cigarettes; whereas,
19 among women, there was no significant difference
20 noted in one study, and larger puff volumes were
21 described in the larger study. The results may not
22 be generalizable, because the subjects were drawn --

1 well, three of them were drawn from drug and alcohol
2 treatment centers.

3 In summary, the sensory properties of
4 menthol have been well-documented and include
5 flavor, cooling and warming, respiratory, and
6 analgesic effects. Also, the key component in the
7 perceived strength of the cigarette. Because of
8 these properties many researchers have hypothesized
9 that menthol may alter topography by way of
10 increased breath holding, large inhaled volume, et
11 cetera; but the effects on topography are inclusive.

12 For puff volume most of the studies showed
13 a depressive effects or no effect. The larger
14 study, which was among women only, showed an
15 increase in puff volume among menthol smokers.

16 For puff number per cigarette three
17 studies showed fewer puffs among menthol studies,
18 and three showed no effect. No effect was found
19 when menthol content was varied, and self-report
20 assessments were not consistent.

21 Thank you.

22 DR. SAMET: Okay. Thank you.

1 I think we're going to take the
2 opportunity being ahead of schedule to have
3 clarifying questions now, I think, actually -- don't
4 go away -- on both of these presentations.

5 So Ralph, if you could come up as well;
6 and we can move through questions on both of these
7 presentations, I think that will fit together just
8 fine.

9 So questions from the Panel. Melanie.

10 DR. WAKEFIELD: Thanks, Ralph, for a very
11 interesting presentation.

12 I know that there is data on smoking
13 prevalence from the Monitoring the Future Surveys,
14 as well as teenagers. I'm not sure whether that
15 data includes menthol consumption.

16 DR. CARABALLO: No, they have the brand
17 only -- you know, what brand of cigarette they
18 smoke.

19 DR. WAKEFIELD: Right. Just your comment
20 at the end, you were unable to perform confirmatory
21 analysis with other data sets. Does that mean that
22 you couldn't access other data sets, or that you did

1 access it and --

2 DR. CARABALLO: Well, we were able to
3 access other data sets. We looked at NHANES,
4 Monitoring The Future, et cetera. The problem is
5 that no survey that I know about collects
6 information both self-reported and then something
7 that is confirmatory like the bar codes on the side
8 of the cigarettes, or even the picture of the
9 specific brands, so we know exactly what they smoke.
10 That's not available.

11 DR. WAKEFIELD: So what you are saying is
12 the data that you used is the best data to really
13 look at this question of what's going on?

14 DR. CARABALLO: Yes, in terms of sample
15 size and racial/ethnic groups having enough of them;
16 yes.

17 DR. SAMET: Neal.

18 DR. BENOWITZ: One of the things that was
19 most striking to me was the difference in the
20 prevalence of menthol smoking amongst adolescents
21 versus adults. The question is, are there any data
22 that would allow us to sort out whether this is a

1 cohort effect, or whether this is a time shift?

2 In other words, do adolescents start to
3 smoke menthol cigarettes, and then switch to other
4 cigarettes for the most part? Or are adolescent in
5 the last ten years different than adolescents ten
6 years before that?

7 DR. CARABALLO: Yes. Excellent question.

8 All data that is out there -- at least
9 that I'm aware of -- are cross sectional in nature.
10 The ones that -- the few surveys that collect
11 menthol information are cross-sectional in nature.

12 I know that Dr. Joshua Rising is
13 presenting in initiation, and with cross-sectional
14 data -- I'm not going to give, you know, his
15 presentation or his results -- but with
16 cross-sectional data, he was able to look at those
17 who reported start smoking in the last year, as
18 opposed to more than a year.

19 However, that doesn't answer your question
20 of the switching part. There is no data that I know
21 about that have that kind of information. The
22 prospective data that you can follow the case and

1 know if they're switching from menthol to nonmenthol
2 or vice versa.

3 DR. SAMET: Jack. Jack.

4 DR. HENNINGFIELD: Thank you. I have a
5 question for each of you.

6 Dr. Caraballo, this goes back to something
7 Dr. Samet mentioned earlier, and that is the
8 cigarettes -- a lot of cigarettes contain menthol.
9 I guess by convention when you are talking about
10 menthol cigarettes, you are talking about cigarettes
11 that are branded, marketed; and I guess the way I
12 look at it is, or otherwise characterized as
13 menthol. People are self-reporting, so they have
14 got to know on the basis of the branding, marketing,
15 or characterizing.

16 DR. CARABALLO: Correct.

17 DR. HENNINGFIELD: Do we have any evidence
18 that -- or population or individual effects on
19 menthol levels that are not branded or
20 characterized? In other words, cigarettes
21 containing menthol versus those that do not contain
22 menthol in that category of cigarettes that are not

1 characterized as menthol.

2 DR. CARABALLO: I'm not sure I follow the
3 whole question -- what specifically is your
4 question?

5 DR. HENNINGFIELD: So we have a category
6 of -- we have cigarettes that are not branded as
7 menthol; some of which contain menthol and some of
8 which don't.

9 DR. CARABALLO: Right.

10 DR. HENNINGFIELD: Do we have any
11 population data to separate smokers of those two
12 categories of cigarettes?

13 DR. CARABALLO: Hum. We will have to look
14 at the specific brands. I know that Celebuki has
15 done some studies on that. The only data set that
16 I'm aware of that have specific data only for
17 adults, 20 years or older, is the NHANES. They
18 collect the side -- the bar code on the side of
19 cigarette packs, so you will know exactly what brand
20 of cigarettes this person smoke.

21 Now, in terms of what is the menthol level
22 in cigarettes that, let's say, they are not labeled

1 as menthol, but they still have some menthol in them
2 and trying to analyze that data, I think that it is
3 possible to do that.

4 I know that NHANES have a problem in the
5 sense that sample size they collect information in a
6 two years basis, so they aggregated the data that
7 they collect from two years, and still the number of
8 smokers that you have is not enough. Obviously,
9 when you start disaggregating by specific brands,
10 you know, then the numbers become smaller and
11 smaller.

12 So I know what you are saying. No one
13 that I know other than Celebuki has published some
14 articles about that, but I don't know if anyone has
15 done that kind of analysis or even if it's feasible
16 to do it at the present time.

17 DR. HENNINGFIELD: So in principal if we
18 were able to identify either on the basis of public
19 information or information requested from the
20 tobacco industry as to what brands have what levels,
21 in principal, would be possible to do such analyses.

22 DR. CARABALLO: I think so, yes.

1 DR. HENNINGFIELD: For other speakers,
2 this is something I'm going to be coming back to,
3 because I think one of the things I'm trying to sort
4 out is any level of menthol versus characterizing
5 branded or marketed.

6 And not completely unrelated, I have a
7 question for Dr. Lawrence. This gets into -- and
8 this is a question I will also have for other
9 speakers, because we're going to be learning a lot
10 about the effects of menthol -- or apparent effects
11 on initiation, dependence, development, cessation.
12 You have been talking about physiological and
13 behavioral effects, sensory effects.

14 So in all of those areas what I'm trying
15 to figure out is what information we have on dose
16 response thresholds. When I mean dose response, it
17 is either the total content of menthol, or perhaps
18 the concentration of menthol that is in the
19 cigarettes. And this, obviously -- I think,
20 obviously, gets to issues if you are going to try to
21 think about a performance standard, what would it
22 look like? Is there any basis for limitations based

1 on evidence of menthol dose effects? So in all the
2 work that you have looked at, what do we -- what can
3 you conclude, if anything, about menthol
4 dose-response effects?

5 DR. LAWRENCE: I cannot conclude anything
6 about menthol dose response, unfortunately. Only
7 one of the studies that I found actually varied the
8 dose of menthol, and found no effect in terms of
9 topography. Dr. Hoffman is going to be talking
10 later today about the health effects that were
11 provided; but I don't know if there is anything
12 about dose response there either.

13 DR. HENNINGFIELD: Well, I was -- because
14 I was intrigued by the difference -- apparent
15 preferences in tenured smokers versus moderate. So
16 there must be something. And I wonder if there is
17 another area were if, on the basis of your analysis,
18 there may be information in the literature, in the
19 documents, or if this is another area were we may
20 need to turn to the industry which adds menthol to
21 find out what the basis is for how much is added.

22 DR. LAWRENCE: Yeah, I think you are

1 right. We can go back and look at the literature;
2 maybe we missed something. But I think you are
3 right, they're other sources of information; and
4 perhaps, the industry can provide some good
5 information around that.

6 DR. SAMET: Patricia.

7 DR. NEZ HENDERSON: I have two questions.
8 One for Dr. Caraballo and one for Dr. Lawrence.

9 Dr. Caraballo, in terms of age of
10 initiation we know that in many of the studies that
11 African Americans actually have later age of
12 initiation. Do we have any data that will break it
13 down by age of initiation in terms of menthol use --
14 menthol cigarette use?

15 DR. CARABALLO: That would be Dr. Rising,
16 again, presentation. He is going to look at
17 initiation. In terms of data available, in terms of
18 age of initiation, yeah, it can be done with NSDUH
19 data. And he is going to be presenting one side
20 about that. You know, at what -- well, I'm not sure
21 it was age. It's at least those who started -- let
22 me clarify that; I don't think it's age. But he is

1 going to be presenting those who started smoking

2 less than a year ago.

3 In terms of age of initiation, information
4 is there, because they collect age information. And
5 they collect if, at the present time, in the past 30
6 days, they are smoking menthol.

7 What they do not have is when they started
8 smoking, they started smoking with menthol
9 cigarettes, which is the same question that
10 Dr. Henningfield was asking about; what are they
11 smoking? Are they switching or not switching?

12 DR. NEZ HENDERSON: Then for Dr. Lawrence,
13 do we have any data about topography among use and
14 Africa Americans?

15 DR. LAWRENCE: Yes. Yes. We do have some
16 information about topography among Africa Americans;
17 but they didn't necessarily compare menthol versus
18 nonmenthol. That was the challenge. And for
19 adolescents there was one study that looked at
20 adolescents. It was all menthol smokers. They were
21 trying to examine racial/ethnic differences; and
22 they found no racial/ethnic differences in terms of

1 topography. Because they didn't compare menthol
2 with nonmenthol, we didn't necessarily include it
3 here; but, perhaps, we should include it in the
4 White Paper.

5 DR. SAMET: Okay. Dan.

6 DR. HECK: We have touched on so many
7 topics already here almost simultaneously, it is
8 already getting difficult with these interdictionary
9 topics.

10 I think, Dr. Caraballo, with updating for
11 some statistical analysis, the NSDUH report figures
12 that we did, I think, integrate in just last fall;
13 and to -- and also, I appreciate your pointing out
14 the difficulty we have in distinguishing, you know,
15 smoking initiation among youth from the brand
16 preference reporting. And I wonder do you feel that
17 the revised question now in the NSDUH survey
18 regarding menthol, does it help to get at any of the
19 ambiguity that Dr. Giovino pointed out in 2004 in
20 terms of is there stability to use brands choice, or
21 is there an instability in menthol preference or
22 menthol identification? As a result of that,

1 perhaps, they can't buy cigarettes legally and are
2 obtaining them where they can.

3 DR. CARABALLO: Well, obviously, I haven't
4 looked at it in terms from the data analysis point;
5 but if you are asking me about my opinion --

6 DR. HECK: Yes.

7 DR. CARABALLO: -- I think that it is an
8 improvement from the question that was used before,
9 because before -- some of the kids seem to have a
10 problem, especially talking about youth 12 to 17.
11 They were having some confusion between -- the way
12 that the question was worded, you know. Do you
13 smoke menthol cigarettes or regulars? And they were
14 not clear between one and the other; and now the
15 regular part of the question was deleted.

16 Information, not from NSDUH, but from
17 other research I have performed in terms of
18 trajectories of cigarette smoking, when we are
19 talking about adolescents 12 to 17 who responded to
20 having smoked in the past 30 days, you are going to
21 have kids who smoke -- a proportion of them smoke
22 everyday. Some of them are occasional smokers.

1 Some of them are in the trend stage and the
2 experimental stage. So you have a cluster, an
3 aggregate of kids who are in different -- different
4 stages in their smoking.

5 For kids who haven't achieved daily
6 smoking -- or depends on where in the occasional
7 smoking they are. If they are just starting trying
8 experimenting, I would think that it's more
9 difficult for them to remember exactly what brand of
10 cigarettes they smoke in the past 30 days. If they
11 are bumming cigarettes. If they are, you know -- if
12 they are still not allowed, or they don't go to a
13 store and buy the cigarettes themselves. So there
14 is always going to be some degree of misreporting.

15 DR. HECK: Yes.

16 And partially to address what -- Jack
17 Henningfield's comment and something that
18 Dr. Lawrence mentioned. As we anticipated we might,
19 we have this situation where internal industry
20 documents have been discussed in published work. So
21 some of this information, such as Jack talked about,
22 might be more appropriate to come out at subsequent

1 meetings.

2 But there are -- I will just offer that
3 there are reasons -- you know, very pragmatic,
4 technical reasons on a -- for instance, the menthol
5 level in some of the lower yielding products is
6 higher. That's simply because the strategies used
7 to achieve lower smoke yields like filter
8 ventilation, filter efficiency, paper verosity tend
9 to reduce the menthol delivery more efficiently than
10 some of the tar measures. So that a higher level of
11 menthol is required to achieve a similar effect.

12 DR. SAMET: Thanks. Next, John.

13 DR. LAUTERBACH: I just want to point out
14 one thing, is that many nonmenthol smokers would
15 find any --

16 DR. SAMET: Clarifying question or --

17 DR. LAUTERBACH: Yes. Well, just a
18 clarifying point about the -- the question what
19 level or so is -- on Dr. Henningfield's question
20 about menthol versus no menthol versus different
21 levels. Maybe I need to rephrase the question
22 asking Dr. Henningfield what he meant by that.

1 DR. SAMET: Well, we're actually, at this
2 point, asking clarifying questions for our speakers.

3 DR. LAUTERBACH: Well, then, I guess the
4 question would be, do we consider all menthol
5 cigarettes the same?

6 DR. SAMET: Do either of you want to
7 address that question?

8 DR. CARABALLO: Okay. Are all of them the
9 same? I guess that depends in what we are talking
10 about. You know, same in terms of what?

11 Are they the same in terms of exposure in
12 the levels of the blood? Well, you know, I don't
13 know to what degree we can talk in here things that
14 have not been published, but we have a paper through
15 clearance right now in which we compare
16 self-reported menthol cigarettes and cotinine levels
17 in the blood taking into account height, weight,
18 race, ethnicity divided by -- because we know that
19 there are differences in metabolism between African
20 Americans and elimination.

21 We found no difference in terms of
22 exposure between menthol cigarettes and nonmenthol

1 cigarettes. That's only one study. It has not been
2 published; so it has to be peer reviewed, obviously.

3 So I guess that depends on if they are
4 similar or different in terms of what? In disease,
5 causing disease; in terms of causing to smoke. So
6 it will be -- need to be clarified further.

7 DR. SAMET: Ursula.

8 DR. BAUER: Ralph, I'm interested in the
9 apparent increase in use of menthol cigarettes over
10 time; and I have two questions. One, what do we
11 know about the availability or number of brands of
12 menthol cigarettes changing over time? Was there
13 more availability?

14 And the second question is, looking
15 specifically at the 26 and older group -- so that's
16 a group where brand preference is more or less firm.
17 Are you hypothesizing that in that 26 and older
18 group smokers are switching to menthol to account
19 for that little up tick, or is the pool shrinking,
20 but not shrinking evenly across the different --

21 DR. CARABALLO: If you look at
22 cross-sectional data -- and it depends on which

1 group we're talking about. If you look at
2 cross-sectional data, again, ideally the best thing
3 will be to have prospective data to know what
4 exactly is happening; but the pool of menthol users,
5 you know, proportionally -- proportionally seems to
6 be shrinking.

7 Numerically, it's increasing. Obviously,
8 the pool of kids smoking up to 17, numerically, is
9 much smaller than the group of 26 to whatever, 80 or
10 90. So numerically, there is a lot more menthol
11 smokers in the 26 older. Proportionally, as we saw
12 in the graph there, we see that proportionally there
13 are more menthol smokers in the younger groups.

14 DR. BAUER: What I'm asking is, in that 26
15 and older age group, are you suggesting that among
16 those smokers to account for that up tick in menthol
17 smoking --

18 DR. CARABALLO: Oh, I see what you are
19 saying.

20 DR. BAUER: -- people are switching to
21 menthol, or is the pool of total smokers declining,
22 but menthol smokers are not declining?

1 DR. CARABALLO: I would be guessing,
2 obviously, here knowing that most initiation happens
3 up to age 18 or 21. So by age 26 people have
4 already started smoking at younger ages. If that
5 pool is increasing in terms of menthol cigarette
6 use, I would assume that what that means is that the
7 pool from nonmenthol is decreasing. That people, as
8 you are saying, probably are switching; but that's
9 just an hypothesis.

10 DR. SAMET: Greg.

11 DR. CONNOLLY: Ralph, just to clarify
12 Jack's question on brand use. Your presentation
13 indicates that there is an inability to report brand
14 use by age with the NSDUH Survey. Is that correct?

15 DR. CARABALLO: No. What I meant was that
16 with the NSDUH we didn't use the brand information
17 because some brands, as you know, can be either
18 menthol or nonmenthol. We will have to replicate
19 what Gary Giovino did and only look at brands that
20 are only menthol; Newport, Kool, Salem; or brands
21 that are only nonmenthol, and cross tabulate it with
22 what was their response in terms of do you smoke a

1 menthol cigarette or not. We have not been able to
2 do that.

3 In terms of only using brands for
4 analysis, those brands that have both, you know,
5 menthol and nonmenthol, we will not know the answer
6 of what kind of cigarettes they smoke.

7 DR. CONNOLLY: Do you think it's
8 worthwhile to sort, let's say for the younger age
9 group, brands that we know that are mentholated or
10 not mentholated?

11 DR. CARABALLO: Well, we know that -- we
12 know that kids, adolescents basically smoke the most
13 advertised brands. So if we do that kind of
14 analysis, probably we are going to capture the vast
15 majority of them in terms of menthol use and
16 nonmenthol use.

17 DR. CONNOLLY: Let's look at a brand like
18 Newport versus Kool. Those are two dedicated
19 mentholated brands, where there is not
20 misunderstanding. Can we sort for the younger age
21 group differences in use between a Newport and a
22 Kool?

1 DR. CARABALLO: I believe so. If
2 numbers -- I don't know what the sample size for
3 both of them are; but we are talking about, you
4 know, starting with 68,000. And also data can be
5 aggregated over a number of years, 2004 to 2008,
6 because the questions were the same. So I believe
7 that yes. The answer is yes, it can be done.

8 DR. CONNOLLY: I think that would be
9 helpful for the Committee.

10 Second question is -- this is just a
11 clarifying question. From 2004 to 2008, the
12 sampling design didn't change in that survey.

13 DR. CARABALLO: Correct.

14 DR. CONNOLLY: So we feel comfortable,
15 even though it's cross-sectional, that's not a
16 limitation in that particular survey.

17 Then, Deirdre, in your presentation of the
18 human studies, do they control for tar and nicotine
19 levels in those cigarettes -- or nicotine levels, do
20 you know?

21 DR. LAWRENCE: I don't know. I don't
22 think so, but I don't know.

1 DR. CONNOLLY: And did the studies compute
2 total volume of smoke received by one cigarette?
3 Even though the puff may be larger the frequency is
4 altered, the total volume from that cigarette may be
5 altered or the number of cigarettes smoked per day.

6 DR. LAWRENCE: Yes. They took the total
7 volume for the cigarette. And for some of the
8 studies they took the average -- if they smoked
9 multiple cigarettes, they took the average total
10 volume for that cigarette -- per cigarette.

11 DR. CONNOLLY: Okay. I mean, I think to
12 Jack's point, it would be very helpful for the
13 Committee to have data that looks at actual menthol
14 content; and to the extent that the staff could look
15 at brands by menthol content, would it be in the raw
16 tobacco or in the smoke. I think that would be
17 helpful in the deliberation in trying to link
18 together the two data things. Thank you.

19 DR. SAMET: Okay. Mark.

20 DR. CARABALLO: Can I say something?

21 I guess it's more in terms of follow-up of
22 what Dr. Connolly just said. It's a question. Do

1 menthol concentration per specific brands may vary
2 year per year, or is it kind of constant so it
3 doesn't matter?

4 DR. SAMET: I think we will leave that as
5 a question to pose that it may be the kind of
6 question that we want follow-up information on.
7 Mark.

8 DR. CLANTON: You know, what's really
9 clear is that it appears that menthol, through it's
10 neurosensory impacts has a lot to do with sort of
11 the preference for a particular brand. It has a lot
12 to do with maybe even some persistence of a
13 particular brand; but it isn't clear, based on the
14 data, that menthol and menthol content has much to
15 do with persistence in terms of wanting to smoke
16 over and over again.

17 So my question is, are there studies that
18 are either planned or in the literature that are
19 looking at menthol nicotine as a system complex?
20 Because we know what effect nicotine has in terms of
21 persistence. Menthol may actually have more to do
22 with initiation than persistence. So are there any

1 studies planned on looking at them together?

2 Obviously, you can increase those levels
3 of each; but are there any studies looking at maybe
4 the two together?

5 DR. LAWRENCE: Not that I'm aware of. You
6 made me think of two studies that were done on
7 denicotinized cigarettes; but I can't remember the
8 results right now. So that's an important question
9 for us to take a look at. Thank you.

10 DR. SAMET: Jack, we are back to you.

11 DR. HENNINGFIELD: Thank you. What I'm
12 trying to sort out with my question is what
13 information you have that you think may be
14 available. What information we may be able to get
15 from other speakers, and what we may need from the
16 industry.

17 So here is a really basic one. In your
18 opening, talking about sources of menthol was a
19 reminder to me, what do we mean by menthol?
20 Whenever we talk about menthol, is it a single
21 molecular entity, or is it a category of substances
22 that -- where the term "menthol" is rather loosely

1 applied?

2 I know there are menthol analogues; there
3 are other substances. Is it just a singular
4 molecular entity that is used in all cigarettes, do
5 we know?

6 DR. LAWRENCE: You asked a very important
7 question. And the menthol -- to answer your
8 question, for the studies that we analyzed for this
9 particular presentation menthol was applied both in
10 the natural -- in it's natural form, peppermint oil,
11 cornmint oil; but there are also studies that used
12 synthetic menthol.

13 In terms of is it a family or class of
14 compounds, that's a good question. There are
15 different variations of menthol, and different
16 variations of -- different forms of menthol have
17 been found to have different effects; but I think
18 there is only one kind of menthol or one class of
19 menthol that is found in tobacco products.

20 DR. HENNINGFIELD: That's helpful.

21 And again, I will be asking all of the
22 speakers to consider this, because it seems like a

1 very simple task, you know, consider menthol; but
2 one of the things that we will have to figure out,
3 if not in these couple of days, through the report
4 development and so forth is, how is menthol defined?
5 What do we mean by menthol? And is it a category?

6 I have a follow-up question --

7 DR. SAMET: Excuse me, Jack. Let me ask,
8 Dan, if you can speak directly to this and clarify
9 it, that might be helpful.

10 DR. HECK: Yes, I might be able to help
11 some, Jack.

12 Brazilian menthol, natural menthol from
13 mint plants -- well, we use to call it Brazilian
14 menthol. It is sourced in other locations now.
15 There are the natural product of commerce expected
16 from mainly the corn mint plant, which is the
17 cousin -- botanical cousin of peppermint. It does
18 have other fractions -- you know, mint-like
19 fractions. It is more complex than a single "P."

20 The current synthetic -- this is "L"
21 menthol, the naturally occurring form. Currently,
22 you can get synthetic L menthol, you know, very,

1 very fewer. And in practical use in cigarette
2 industry both synthetic L menthol, and the natural
3 menthol from the plant that has some other
4 minty-like fractions.

5 The menthol, the isomer generally has kind
6 of a musty taste, and it is mainly used in topical
7 things like shaving cream, because it is not as
8 useful for flavor purposes; and it is not as --
9 actually, with the TRPMA receptor either.

10 DR. HENNINGFIELD: This is going to be
11 important because to the extent to which we talk
12 about the effects of menthol, to the extent to which
13 we try to figure out differences among studies, one
14 of the questions is, what type was used? And to the
15 extent to which we consider what, if anything,
16 should be done about menthol, we would have to know
17 what we're talking about.

18 My related question, though -- and again,
19 this will come to other speakers, as well as
20 Dr. Lawrence here on the hot spot right now -- so
21 thank you for putting up with this. You showed a
22 number of lines of information on both the effects

1 of menthol. And Dr. Heck mentioned that -- that in
2 lower yield cigarettes -- and I'm paraphrasing --
3 that you have to increase menthol to get the effect.

4 Based on what you have seen, what is the
5 effect? What effects of menthol on sensation,
6 perception, physiology, behavior are we most
7 confident of?

8 What is it that the -- for the smoker -- I
9 mean, it seems obvious they can tell when they have
10 got a menthol cigarette. I want to know what the
11 data are. What the --

12 DR. SAMET: Let me help you out, Deirdre.
13 If you can add anything to your presentation do so.
14 Otherwise, I think Jack is asking the kinds of
15 questions we are going to be delving into more
16 deeply in our work as we write the report.

17 DR. LAWRENCE: Right. Well, confidently,
18 which is the question that you asked me; I mean,
19 certainly the cooling effects and the temperature
20 changing effects have been well documented in both
21 human subjects, as well as animal studies. So that
22 we feel confident about. What does that mean?

1 That's a different question.

2 As well as the anesthetic properties or
3 the analgesic properties, those have been well
4 documented. Again, what does that mean?

5 So you are right, these are good questions
6 in terms of we have seen well-documented properties;
7 but how does that relate to topography? There are a
8 lot of unanswered questions.

9 DR. SAMET: Okay. Dr. Clark.

10 DR. CLARK: Yes. Thank you. I have two
11 questions. One for Dr. Caraballo.

12 You cite data from NSDUH. NSDUH is a
13 household survey. There are a large number of
14 people of color in institutions, principally jails
15 and prisons. Do we know much about cigarette
16 consumption in jails and prisons, or
17 institutionalized populations?

18 DR. CARABALLO: There are not many
19 studies, and I-- to tell you the truth, I did not
20 look into that. To see the few studies that are out
21 there if they look into what type of cigarettes they
22 smoke. So the answer is, I don't know. I don't

1 know the answer.

2 DR. CLARK: The other question, if you get
3 an opportunity to explore that, you want to look at
4 the characteristics of cigarette consumption in an
5 institutionalized setting, because it's a high
6 stress environment, and we assume it might be --

7 DR. CARABALLO: The use is very high.

8 DR. CLARK: Yes; right. Thanks.

9 For Dr. Lawrence, even though the ends of
10 the studies that you cited were small, did they
11 report any ethnicity or race in those ends?

12 DR. LAWRENCE: Yes, they did report race,
13 Black and White. So -- actually, I can go through
14 them quickly if you would like.

15 DR. SAMET: Probably take too long to do
16 that; but if you can just note that there are some
17 studies.

18 DR. LAWRENCE: Okay. So yes, there were
19 both Black and White subjects; but, again, reporting
20 out the difference by race and by menthol status was
21 not done.

22 DR. CLARK: Thank you.

1 DR. SAMET: Okay. Patricia.

2 DR. NEZ HENDERSON: Dr. Caraballo, one of
3 the statistics that really stood out for me is the
4 rate of menthol use among American Indians and
5 Alaskan native. We are usually -- you know, when we
6 look across that data set among smokers, American
7 Indians are usually the highest. Do you have any
8 idea in terms of your data set for both the youth
9 and among the American Indian, Alaskan native adults
10 why the rates are so low?

11 DR. CARABALLO: Well, when we are talking
12 about prevalence of cigarette smoking, obviously,
13 it's high, as was mentioned. In terms of why they
14 are not smoking menthol cigarettes; why they are
15 smoking nonmenthol cigarettes; I don't know. I know
16 that there are tribe differences. Some tribes, you
17 know, smoke more than others. I don't know if there
18 is intertribal differences in menthol cigarettes
19 use. So that would be one of those things that we
20 would like -- you know, have to look into.

21 Unfortunately, again, as you probably
22 know, these national data sets combine -- they just

1 ask, are you American Indian or Alaskan native?
2 They even aggregate Alaska natives, which their
3 cigarette smoking and smokeless tobacco use is very
4 different to American Indians. So that's the
5 problem. They aggregate everybody. So it's very
6 difficult or impossible to piece out what's going
7 on.

8 DR. SAMET: Let's see. Karen.

9 MS. DeLEEuw: Dr. Lawrence, one of the
10 things that struck me about your presentation was
11 the data that 60 percent of menthol smokers would
12 pay more money for a menthol cigarette, than for a
13 nonmenthol cigarette. That would potentially lead
14 to a hypothesis that they may, in fact, be less
15 price sensitive than nonmenthol smokers. Do you
16 have any additional information about either
17 race/ethnicity in terms of that sample of menthol
18 smokers, would be the first question? And two, was
19 there any additional information about pay more
20 money and what that meant?

21 DR. LAWRENCE: Yes, this study was a
22 two-page study. It was actually a letter to the

1 editor, and it didn't have a lot of details in it.

2 It did have both Black and White smokers, and -- I

3 missed your question. One more time, sorry.

4 MS. DeLEEUW: Were there any specifics

5 about what the definition of what pay more money

6 meant?

7 DR. LAWRENCE: No. Right. They just

8 asked -- it's a very qualitative study.

9 DR. SAMET: Neal.

10 DR. BENOWITZ: Couple questions for

11 Dr. Lawrence, and maybe Dr. Caraballo.

12 When you look at puffing behavior, two of

13 the aspects that are really important determinants.

14 One is ventilation and draw resistance; and the

15 second may be nicotine content. So I think to make

16 any sense out of the data one needs to look at the

17 interaction between menthol and ventilation, draw

18 resistance in context. Are such data available

19 anywhere?

20 DR. LAWRENCE: That's a good question.

21 The way that the studies were done, it was suppose

22 to control for the resistance with the flow meter.

1 So it was suppose to control for the resistance that
2 you described. But in terms of more detailed
3 information about various nicotine yields for
4 menthol versus nonmenthol, those studies were not
5 done.

6 DR. BENOWITZ: Okay. And the second
7 question, you talked about a Krakowski study that
8 suggested that heavy smokers, more than 20
9 cigarettes per day, preferred higher levels of
10 menthol; and moderate smokers preferred moderate
11 concentrations. But this seems to go against what
12 we know, which is African Americans, on average,
13 smoke fewer cigarettes than Whites; but they smoke
14 more menthol cigarettes. I wonder if you or Ralph
15 actually have data looking at the relationship
16 between menthol and cigarette consumption by race.

17 DR. CARABALLO: Yes. The study that I was
18 referring to that we were looking from the NHANES to
19 cotinine levels varied by menthol use versus
20 nonmenthol use. This is based on some reports, but
21 there was no difference between those of the same
22 race. Those who smoke menthol versus nonmenthol

1 cigarettes they were almost exactly the same.

2 So African Americans that reported of
3 menthol cigarettes self-reported almost the same
4 amount of African American of nonmenthol cigarettes;
5 similar for Whites, and similar for Mexican
6 Americans. So at least in that one study we found
7 no difference between the two.

8 DR. SAMET: Dorothy.

9 DR. HATSUKAMI: Dr. Lawrence, in your
10 description of the cross-over studies, were those
11 subjects nonmenthol smokers, menthol smokers, or a
12 combination?

13 DR. LAWRENCE: So the groups contain both
14 menthol and nonmenthol smokers. So we will put them
15 together in one group. So that group was asked to
16 smoke menthol cigarettes for a session; and that
17 same group was asked to smoke nonmenthol cigarettes.
18 So you had menthol smokers smoking menthol and
19 nonmenthol cigarettes; and the other way around.

20 DR. HATSUKAMI: Okay. Thank you.

21 Also, what was the relationship between
22 the smoking topography and biomarkers of the

1 exposure? Did they look at that as well?

2 DR. LAWRENCE: Yes; and Dr. Hoffman will
3 talk about that later. For some of the studies,
4 carboxyhemoglobin, I believe, was measured, as well
5 as carbon monoxide in terms of short-term biomarkers
6 of exposure. Dr. Hoffman will talk about that later
7 on today.

8 DR. HATSUKAMI: Then, Dr. Caraballo --
9 Ralph, in the studies that you had done, can you
10 measure trends in terms of number of cigarettes
11 smoked among the menthol smokers over time?

12 DR. CARABALLO: I believe that NSDUH
13 collects cigarettes per day. I'm not completely
14 sure. I would have to look into that; but if they
15 do, then the answer is yes.

16 DR. SAMET: Okay. Greg.

17 DR. CONNOLLY: Dr. Lawrence, in your slide
18 13 you state the sensory properties, and they
19 include analgesic effects, and local anesthetic
20 effects. The references seem to be to menthol
21 generally -- you don't have to do that. But my
22 question is, in the levels that we see in smoke, do

1 we see analgesic effects? And do we -- and do we
2 see local anesthetic effects? Do we know that?

3 DR. LAWRENCE: Oh, I see what you are
4 saying. As opposed to dermally applying?

5 DR. CONNOLLY: Yes, because the three
6 studies you reference do not allow cigarette
7 smoking.

8 DR. LAWRENCE: That's right. Yeah, we
9 don't know.

10 DR. CONNOLLY: Okay. And would we assume
11 there would a dose-response relationship between an
12 analgesic effect and a local anesthetic effect based
13 upon the dermal studies?

14 DR. LAWRENCE: Right.

15 DR. CONNOLLY: So I think those were
16 important questions that should be answered or
17 addressed.

18 DR. LAWRENCE: But it's an assumption.

19 DR. CONNOLLY: Well, the published
20 literature that you referenced for dermal studies
21 show that menthol has an analgesic effect.

22 DR. LAWRENCE: Yes.

1 DR. CONNOLLY: And has a local anesthetic
2 effect.

3 DR. LAWRENCE: Right.

4 DR. CONNOLLY: Now, it would be my
5 understanding that within the oral cavity there are
6 tactile receptors that are the same nature of dermal
7 receptors; and potentially they are the same
8 effects, but we don't know the levels.

9 DR. LAWRENCE: Right.

10 DR. CONNOLLY: Thank you.

11 DR. SAMET: Melanie.

12 DR. WAKEFIELD: Another question for
13 Ralph.

14 Given that we see there is some gradual
15 drift among all smokers towards menthol over time in
16 your data, are there some kind of gross effects of
17 the population level that might account for that in
18 terms of tobacco marketing, things like price
19 discounting of menthols, marketing of certain
20 mentholated brands that has become more vigorous
21 over time? What would you say on those?

22 DR. CARABALLO: I didn't look into that.

1 I know that there is going to be a marketing
2 presentation. So hopefully, they have looked into
3 that.

4 DR. SAMET: Okay. I just have a few
5 questions left, believe it or not. So Ralph,
6 question to you.

7 In terms of the interpretation of the
8 survey data from the question that you gave, I
9 cannot quite tell how people who might smoke both
10 menthol and nonmenthol brands would be counted.

11 DR. CARABALLO: I will have to look at the
12 question exactly. I will say in the past 30 days,
13 you know; I don't know if they use most frequently;
14 I don't think so. I will have to look at it again,
15 you know, the question from NSDUH.

16 That's a good question. I don't know
17 because of what I mentioned. I think that this will
18 be more of a problem with adolescents that may have
19 smoked both. We know that adults, the vast majority
20 of them, they're established smokers and they have a
21 brand preference, more settled, you know.

22 So I think that even though there may be

1 some misclassification of smoking both of them, that
2 will be, I assume, minimal among adults. It may be
3 much more of a problem among kids. Now, how to
4 compute that, how to characterize it -- what is the
5 magnitude of that, you know, we don't have that
6 information.

7 DR. SAMET: I think it would be useful if
8 you could clarify it. As I understand, again, the
9 question that was asked we would have to interpret
10 the responses as any contact with menthol -- the use
11 of menthol cigarettes in the last 30 days without
12 providing information on the proportion of smoking
13 that was menthol.

14 DR. CARABALLO: Right.

15 DR. SAMET: If you can help us, perhaps,
16 with follow-up on how to interpret those.

17 DR. CARABALLO: Sure. I think we can look
18 into -- just to get a sense. We may not get a
19 direct answer, but to get a sense looking at daily
20 smokers among -- let's say among youth, daily
21 smokers versus occasional smokers, see if there is
22 any differences there. That may tell of some sense,

1 you know between the two groups.

2 DR. SAMET: Thank you. Couple of quick
3 questions. Any -- did you find any papers related
4 to biomarkers of menthol itself? Has that
5 actually -- has anyone ever looked at that, or is
6 any such data available?

7 DR. LAWRENCE: I didn't see that. Did
8 you, Dr. Heck?

9 DR. HECK: I think Dr. Benowitz in his
10 2004 study was -- urinary menthol, we have had
11 trouble finding that same figure, which would be
12 plasma menthol or biomarker menthol excretion from
13 the smoking source only. It is surprisingly
14 relatively rarely done.

15 DR. SAMET: So this may be something we
16 want to return to after the biomarker presentation.

17 And then also in your studies -- again,
18 recognizing your professional background -- but did
19 you find anything on what happened to the external
20 receptors with prolonged exposure to menthol?

21 DR. LAWRENCE: The only thing that I saw
22 was there was this increased tolerance that we

1 talked about. So with menthol having an initial
2 irritating property; but then after applying menthol
3 over and over again, there was a tolerance
4 developed. That's the only thing that was
5 described.

6 DR. SAMET: And receptor numbers are
7 down -- regulations on receptors?

8 DR. LAWRENCE: That, I don't know.

9 DR. SAMET: Okay. Okay. Other questions
10 before we break for lunch?

11 Okay. Corinne.

12 DR. HUSTEN: I just wanted to clarify one
13 thing about the studies that we looked at, because I
14 think on -- some of the questions were related to
15 this. We primarily were searching for studies on
16 cigarettes. There is vast literature on menthol
17 itself as a compound. We didn't try to search that
18 whole literature, because we were unclear, for
19 example, if it's a property seen when it's applied
20 to the skin, and how relevant that was, or even
21 oral, since this is cigarettes and smoke.

22 We did do a little bit were we needed to

1 just sort of explain what menthol was, and a little
2 bit about its properties; but for the most part, the
3 analysis was restricted to studies related to
4 menthol cigarettes.

5 DR. SAMET: Okay. Thank you. Then, we
6 are on schedule for lunch. We will reconvene at
7 1:00. Again, let me remind the Committee members,
8 no discussion on the committee topic during lunch,
9 amongst ourselves, or perhaps any members of the
10 audience. So back at 1:00. Thank you.

11 (Whereupon, a lunch recess was taken and
12 the proceedings subsequently reconvened.)

13 DR. SAMET: And what we're going to --
14 what we're going to do is hear from Dr. Rising,
15 consumer perceptions of menthol cigarettes. Then we
16 will have clarifying questions. And then we will do
17 the three, initiation, dependence and cessation.
18 That will be Drs. Rising and Hoffman; and then
19 clarifying questions. Then the last will be the
20 potential health effects of menthol, and clarifying
21 questions. I think it's a somewhat logical grouping
22 of these. So Joshua.

1 DR. RISING: Okay. Thank you very much.

2 It's an honor to be before the Committee and the
3 audience here today. I will be talking next on the
4 perceptions and marketing of menthol cigarettes.

5 So the topics of interest that I will be
6 discussing during this particular presentation.

7 First, how do adults and youth smokers perceive
8 menthol cigarettes? We will also look to see what
9 data, if any, there is on racial and ethnic
10 subgroups and on women. We will be looking at the
11 potential role of marketing in the formation and
12 continuation of these perceptions. Then, finally,
13 we will look to see what's in the published
14 literature, if anything, on the tobacco industry
15 knowledge of these perceptions.

16 So before we get into some of the meat of
17 the talk, just some background introduction as to
18 why we're spending time talking about marketing and
19 perceptions during our session here today. So the
20 first of that understanding perceptions is important
21 to understanding utilization.

22 Certainly, if we're trying to understand

1 human behavior here, it is a very complex topic.
2 Then, it's important, as we try to understand that
3 human behavior, to understand the perceptions that
4 can be a factor in the decision making that goes
5 into these complex processes.

6 An important caveat is that perceptions
7 can certainly result from many different drivers.
8 So to give one example, and we will see some
9 evidence later today that menthol cigarettes are
10 often perceived as soothing. Now, there can be many
11 different reasons as to why that's the case.

12 Certainly, one could be a marketing
13 reason. Kind of the advertising campaigns lead
14 people to perceive them as soothing. Another could
15 be a biologic function, in that they produce a
16 physiologic reaction that is perceived as soothing
17 to the individuals who use those products.

18 Another driver could be family and
19 friends. Other people whose use the product say
20 that they are soothing. So it gets passed on in a
21 word-of-mouth sort of way. Many different drivers
22 go into the formation of perceptions.

1 Then one other caveat is that the research
2 that's been done that we will talk about today on
3 perception on menthol cigarettes often uses a
4 comparison. So when they ask people how do you
5 perceive menthol cigarettes, it's often in
6 comparison to something else. So nonmentholated
7 cigarettes, or a couple other examples that we will
8 get into, there is often a comparison that is used
9 in the research on perception.

10 A couple of limitations before we get into
11 this as well. You know, clearly like the other
12 topics we have seen, this is a challenging topic for
13 research; and trying to understand any causal
14 linkages is going to be very difficult.

15 There is not an extensive amount of
16 literature on this topic like with some of the other
17 topics with menthol. So, again, it makes it
18 difficult to draw conclusions.

19 Furthermore, the literature that we're
20 going to see is kind of also subject to further
21 limitations. Some of the literature we will be
22 talking about today is conducted with focus groups,

1 has small sample sizes. They have other reasons why
2 general likability of this particular literature
3 could be difficult. The final limitation, again, is
4 we are trying to understand human behavior, which we
5 certainly know is multi-factorial.

6 So, again, you kind of have seen this
7 slide before. After doing the initial literature
8 review from NCI and the recent additions, we
9 identified a total of 26 articles that were
10 specifically relevant to this particular
11 presentation on perceptions and marketing.

12 So the first thing that we looked for data
13 on is what were the perceptions of youth? What do
14 youth -- kind of defined in general as individual
15 under the age of 18 -- what do they think or
16 perceive of menthol cigarettes? And in the
17 literature review that we had done, we weren't able
18 to identify any studies that particularly answered
19 that research question.

20 Then moving on to adult perceptions. What
21 does literature say about the perceptions of adults
22 of menthol cigarettes?

1 So looking at the data, there were three
2 studies that looked at this question. The first was
3 a study of 213 menthol cigarette smokers at a
4 cessation clinic, and asked them why do you smoke
5 menthol cigarettes?

6 Kind of going down the list of the most
7 frequent perceptions that were involved in the
8 answers they gave, the first was menthol cigarettes
9 taste better. 83 percent of blacks, 74 percent of
10 Whites gave that response.

11 Next was, in response to that, menthol
12 cigarettes were more soothing to my throat. Again,
13 this is more soothing in contrast to nonmenthol
14 cigarettes. This was about half of both Blacks and
15 Whites.

16 Next was, I can inhale menthol cigarettes
17 more easily. There was a little bit of racial
18 difference in this answer, 48 percent of blacks and
19 21 percent of Whites.

20 Next, I can inhale menthol cigarettes more
21 deeply. About a third of Blacks and 10 percent of
22 Whites.

1 I have always smoked menthol cigarettes.
2 Almost two-thirds of Blacks, and 39 percent of
3 Whites gave that answer.

4 Menthol cigarettes are better for you.
5 Seven percent of Blacks, and five percent of Whites
6 gave that answer.

7 Then, a final one related to advertising.
8 Most of the advertising I see is for menthol
9 cigarettes. Ten percent of Blacks, and
10 three percent of Whites gave that answer as to why
11 they smoke menthol cigarettes. Again, this is why
12 you smoke menthol in comparison to non-mentholated
13 cigarettes.

14 The second study was a focus group of
15 Black smokers who were age 45 to 64; and they were
16 asked a variety of questions related to cigarette
17 smoking in general. Then, they were also asked to
18 specifically give their perceptions of menthol
19 cigarettes and of nonmenthol cigarettes.

20 The first -- so when asked about menthol
21 cigarettes, they described them as refreshing,
22 soothing, and smooth. Nonmenthol cigarettes were

1 described as strong and harsh.

2 They were asked to compare the safety of
3 different types of cigarettes. They were asked
4 about light cigarettes. They were asked about
5 menthol cigarettes, and then traditional nonmenthol
6 cigarettes. And, again, light was a term that was
7 used by the authors of the study.

8 And when asked to compare these, this
9 focus group felt that light cigarettes were the
10 safest of the three options; and that the
11 traditional nonmenthol were the most dangerous, and
12 that the menthol cigarettes were in the middle, in
13 between those two.

14 Then, this focus group also had a sense
15 that menthol ads were more prevalent in black
16 publications and black neighborhoods.

17 The third study also involved focus
18 groups. These focus groups that were of younger
19 individuals, 18 to 22 years old. The focus groups
20 were broken up by racial or ethnic groups. Some of
21 the focus groups had White individuals, some had
22 Hispanic individuals, and some had Black individuals

1 in the focus groups. Total of 16 groups were
2 conducted; and, as I said, they were segmented by
3 race and ethnicity.

4 So trying to boil down the results from
5 this particular study. So first non-Hispanic White
6 individuals in the focus groups in general felt that
7 menthols were less safe than were light
8 cigarettes -- again, light term used by the authors.
9 But when asked to compare menthol to nonlight,
10 nonmenthol cigarettes, they did not have consistent
11 safety perception.

12 Again, this was a study that was trying to
13 compile a lot of different opinions from the
14 individuals in the group, so there was a range of
15 opinions that they had.

16 Next, looking at black individuals in the
17 focus groups. They felt that menthols were less
18 safe or maybe equivalent to light cigarettes; but
19 didn't have consistent safety perceptions when
20 comparing menthol to nonlight, nonmenthol. And
21 black individuals compared to other ethnicities were
22 most likely to select the same risk option when

1 comparing different type of cigarettes.

2 Finally, looking at Hispanic individuals
3 in the focus group. Hispanic individuals, in
4 general, didn't have consistent safety perceptions
5 when comparing menthol to light or nonlight,
6 nonmenthol cigarettes. Those were the three studies
7 that we were able to identify in the literature that
8 looked at adult perceptions of menthol cigarettes.

9 Now, segue a little bit to some of the
10 marketing history of menthol cigarettes and see what
11 information, if any, that gives us to understand
12 some of the perceptions.

13 The literature has, in general, identified
14 four messages or themes that have appeared over the
15 years that have been used to advertise menthol
16 cigarettes. The first three of these appear
17 chronologically, and the fourth was more of a cross
18 cutting theme from a time perspective.

19 So the first real theme that was out there
20 was a healthy, medicinal one. We will get into a
21 little bit more detail on these. The second
22 chronologic theme was a fresh, refreshing, cool, and

1 crisp. The third was a lifestyle theme that focused
2 on youthfulness, silliness and fun. And the final
3 one was an ethnic awareness theme.

4 So the healthier medicinal theme. Early
5 marketing messages suggested using menthol
6 cigarettes when individuals had irritated throats or
7 had a cold. There was frequent use of the word
8 "soothing" in these particular advertisements. This
9 ended in the early 1950's.

10 The next one to come along after that was
11 the fresh, refreshing, cool and crisp theme. And
12 again, these were ads that would frequently appear
13 themselves in the -- the words would appear in ads
14 for menthol cigarettes. Often these ads had natural
15 themes that would accompany the text. People on
16 tubes, going down the river, hiking through forests;
17 the kind of very outdoorsy theme. '60 and '70 were
18 the primary time where this theme appeared.

19 The next theme of the literature
20 identified was this lifestyle one; and said that
21 this one really predominated from the 1970's onward;
22 and kind of a Newport theme. "Alive with pleasure"

1 is given as one example of this particular theme.

2 The final theme that the literature
3 identified for advertising menthol cigarettes was an
4 ethnic awareness theme. And the literature
5 describes this as really arising as a post World
6 War II marketing effort. It was targeted at
7 minority populations largely. It was often aligned
8 with sophistication or cool themes that appeared in
9 the advertisements and the literature. There was
10 extensive pop culture usage that accompanied these
11 particular ads, and there was some frequent use of
12 marketing firms that focused on specific racial and
13 ethnic groups as a part of this particular theme.

14 We will now take a look to see what data
15 there is on marketing, and whether there was
16 differential marketing of menthol cigarettes, or
17 differential response as we look to see what the
18 published literature had to say about the impact and
19 data on marketing.

20 As to the first, looked at promotional
21 offerings, which are the largest component of
22 advertising from tobacco companies in general. There

1 was one study we identified that found that menthol
2 cigarette smokers were more likely to use promotional
3 offers than were nonmenthol cigarette smokers. This
4 study found that almost 60 percent of menthol
5 cigarette smokers used promotional offers. I think
6 this particular study said everytime you see them
7 versus 49 percent of Camel smokers, and 34 percent of
8 Marlboro smokers.

9 In this particular study the confidence
10 interval for the menthol and Camel groups did
11 overlap; but the Marlboro one is the same for the
12 menthol cigarette group. It didn't aggregate menthol
13 and nonmenthol cigarettes and compare those two
14 particular groups.

15 One important caveat with this study in
16 looking at this analysis, it did not control for age,
17 or income, or ethnicity; which other studies have
18 found to be important factors in the use of
19 promotional offerings.

20 I should have said at very beginning what
21 do we mean by promotional offerings. In general,
22 they are things that are thought of, for example, as

1 dollar off discounts or multi-pack discounts. Some
2 different ways that can effect the price of the
3 cigarettes. This particular study was -- surveyed
4 about 4,500 individuals.

5 Next, we will look at what data there is on
6 marketing to Black individuals. There were three
7 studies that we identified from different time
8 periods that evaluated cigarette ads that appeared in
9 print media. In general, all these studies found
10 that cigarette ads appearing in publications that
11 targeted Blacks were more likely to promote menthol
12 cigarettes. Kind of as one examples, one of the
13 studies -- this was the Balbach study -- and
14 apologies if I mispronounce any of the authors' names
15 during this presentation -- but that study found that
16 "Ebony" was approximately 9.8 times more likely than
17 "People" to have an ad for menthol cigarettes.

18 Looking at other forms of advertising. We
19 found one study that looked at billboard advertising.
20 And the study found that in one urban location Black
21 neighborhoods were approximately twice as likely as
22 White neighborhoods to have ads for menthol

1 cigarettes. That was the Altman study.

2 Another one looked at point-of-sale
3 advertising. This was the Law study. And this found
4 that about one-third of the ads in Black neighbors,
5 the point-of-sale ads in the stores, were for menthol
6 cigarettes versus approximately 10 percent for White
7 neighbors. This was also statistically significant.

8 And then, finally, one study looked at
9 promotional offerings. The same study that we looked
10 at earlier did then do a separate analysis looking
11 just at Black individuals and their use of
12 promotional offerings. And it found that two-thirds
13 of Black smokers who smoked menthols used promotional
14 offerings, whereas only about a third of those who
15 did not smoke menthols use promotional offerings.

16 One other study on the impact or data on
17 advertising to Black individuals. One study that was
18 a retrospective recall of exposure to tobacco
19 advertising by low income black smokers in one urban
20 setting. About 70 percent of this group smoked
21 menthol. These were adults who were then asked to
22 recall their exposure to ads as children.

1 It is found that currently both men and
2 women were more likely to use menthol cigarettes if
3 they were exposed to menthol ads in the current time
4 period. And then the study found that women were
5 more likely to smoke menthol cigarettes if they
6 reported exposure to menthol ads as children.
7 Though, again, there certainly needs to be some
8 caveat with the difficulty of retrospective studies
9 such as this.

10 Now, turning our attention on the data on
11 marketing to Hispanic individuals. Some of the same
12 studies that we saw earlier also reported results for
13 Hispanic individuals. So the first one, the Landrine
14 study, found that the Spanish version of "People" was
15 about two and a half times more likely than the
16 English version to have a menthol ad.

17 You saw earlier the Altman study found that
18 Hispanic neighborhoods had more billboards for
19 menthol cigarettes than did White ones. It was
20 17 percent versus 11 percent; though, statistical
21 significance was not reported with this.

22 Then the final one, the Law study, on

1 point-of-sale study, also found that there were
2 higher rates of advertising for menthol cigarettes in
3 Hispanic neighborhoods than in White ones.

4 Moving to data on marketing to women. In
5 general, this was a topic in the literature that we
6 did not find very much research on at all. There
7 were certainly some comments in some of the papers
8 that noted that the ads for menthol cigarettes were
9 generally designed to appeal to women, but there
10 weren't specific studies that we identified that
11 looked at this in more detail.

12 We then looked to see what data there was
13 on the marketing of menthol cigarettes to youth and
14 young adults. Just as a brief point of background,
15 it's been well established in the literature that
16 youth are particularly susceptible to advertising;
17 and that both exposure to advertising and receptivity
18 to advertising are important contributing factors in
19 the initiation of smoking.

20 One study that we identified held five
21 focus groups with youth who are age 12 to 13 years
22 old. These focus groups had few current smokers.

1 This discussion was not focused on menthol cigarettes
2 specifically. One individual did start talking about
3 some of the ads that he had seen for menthol
4 cigarettes. You can read the quotation here that
5 gives his impression for the ad that he remembers.

6 Another study explored the prevalence of
7 menthol marketing to youth. This particular study
8 was -- explored the prevalence of advertising in
9 retail stores in Hawaii. The study found that a
10 menthol brand was the most widely advertised in
11 indoor and outdoor settings. The study also noted
12 that this was the same brand that was most widely
13 smoked by youth in Hawaii. The study does go on to
14 state the difficulty of drawing any causal
15 conclusions from this particular association, but did
16 note that the association existed.

17 One other study we identified that was
18 relevant to the marketing of the menthol cigarettes
19 to youth and young adults; the Mazis study asked
20 participants to judge the ages of models appearing in
21 cigarette ads. So it divided the cigarette ads into
22 two categories. There were menthol ads, and there

1 were ads for nonmenthol cigarettes. So it then asked
2 the participants in the study to estimate how much
3 they thought the models -- how old the models were
4 who were appearing in the ads.

5 This study found that the perceived age of
6 models in ads for nonmenthol cigarettes was about 32
7 years of age. But that the perceived age of models
8 in ads for menthol cigarettes was about 25 and a half
9 or 26 years of age.

10 We will now look to see what information
11 there was in the published literature on the publicly
12 available tobacco industry documents and consumer
13 perceptions.

14 So from a published review of these
15 publicly available industry documents, one document
16 stated about adult perceptions. There are
17 indications that menthols tend to be considered
18 generally better for one's health. That impression
19 refers not only to the health of the respiratory
20 tract, but the whole organism. The majority view is
21 that menthols are less strong than regular
22 cigarettes; and that a cigarette which is less strong

1 is better for a person's health. That's from a 1968
2 document.

3 Again, kind of looking to see what
4 information there was on perceptions of adults from
5 tobacco industry documents. Another published review
6 found information in industry documents that Black
7 smokers were more likely to believe the following
8 about menthol cigarettes. That they were better if
9 you smoke a lot. That menthol cigarettes were lower
10 in tar and nicotine. They were less likely to make
11 you cough. Menthol cigarettes were better when you
12 have a cold, and they were less irritating to the
13 throat. And this was from a 1979 document.

14 And continuing our exploration of
15 information on adult perceptions, from a published
16 review of publicly available industry documents, one
17 showed that there was some industry awareness of
18 varying desires of menthol smokers. That not all
19 menthol smokers were looking for the same experience
20 from their cigarettes.

21 All three major brands, Salem, Kool, and
22 Newport built their franchise with younger adult

1 smokers using a low menthol product strategy.
2 However, as smokers acclimate to menthol, their
3 demand for menthol increases over time. Responsive
4 brands whose strategy is to maximize franchise value,
5 invariably increase menthol levels over time. That
6 was a quote from a document in 1986.

7 We look to see if there is any information
8 on perceptions of younger smokers in the documents;
9 and from a published review of publicly available
10 industry document, one document showed knowledge of
11 the appeal of lower menthol cigarettes, specifically
12 to younger smokers. The want for less menthol does,
13 indeed, skew younger adults. That was from 1978.

14 A little more information on adult
15 perceptions -- sorry, this should be younger adult
16 perceptions. So from post review of publicly
17 available industry documents, one document showed
18 interest in the smoking patterns of Black youth, and
19 in strategies to enhance the position of menthols in
20 this population.

21 The quote is, in order to gain a foothold
22 in this young Black menthol market, we have to offer

1 them a cigarette that they want, and what they want
2 is a high delivery cigarette. That's from 1982.

3 Looking to see what information there was
4 in recent changes in menthol products that would be
5 relevant for this talk on marketing and consumer
6 perceptions. The literature documented from industry
7 documents, documents a number of changes in menthol
8 products over the past decade. So the literature
9 noted that there had been an introduction of new
10 menthol brands that had lower menthol levels than the
11 other brands on the market. It gave Salem Black and
12 Marlboro Mild as examples of that.

13 I noted that there were some brands that
14 were already in existence that reduced the amount of
15 menthol that was in the cigarette, and it cited
16 Newport and Kool as examples of that. Noted that
17 other brands had increased their menthol levels; it
18 gave Marlboro Menthol as an example of that
19 particular change.

20 Then the quotation from the review of the
21 industry documents concluded, we found evidence that
22 the tobacco industry introduced new menthol brands to

1 gain market share, particularly, among adolescents
2 and young adults.

3 So returning to the topics of interest that
4 we started this presentation with. So the first --
5 and again, the appropriate caveats of there not being
6 an abundance of this literature, and the appropriate
7 caveats with the literature that does exist, the
8 verse is that, research studies and reviews of the
9 publicly available industry documents suggest that
10 menthol cigarettes may be perceived to be safer
11 choices.

12 We saw that marketing campaigns and
13 perceptions stress similar themes, and that the
14 campaigns have focused on Black smokers. To give you
15 an example of what I mean by stress similar themes,
16 returning to the notion that we started with, a
17 perception of soothing. You know, we saw that this
18 was a perception that individuals have, and we also
19 saw that that was part of a marketing campaign that
20 had existed at one point and time.

21 And then, finally, we also see that tobacco
22 industry documents differentiate the preferences of

1 younger smokers with those of experienced smokers.
2 And we saw that there have been a number of changes
3 in cigarette menthol content over the past decade.
4 Clarifying questions?

5 DR. SAMET: Greg.

6 DR. LAUTERBACH: That was very, very nice.

7 What do we know about the consumer group's
8 focus on retail products, and how reproducible they
9 are from session to session, or different parts of
10 the country? Any studies been done on that, not
11 just on cigarettes, but other consumer goods?

12 DR. RISING: So asking about the
13 methodology of focus groups generally, and kind of
14 what we know about how reproducible the information
15 is?

16 DR. LAUTERBACH: Right.

17 DR. RISING: So it's a good question.
18 Certainly, was not included as part of a focus of
19 this topic here. So I would kind of be hazarding a
20 guess if I talked about it. Certainly, there are
21 ways to conduct focus groups well to gain some
22 useful information, and there are ways to do them

1 less well; but beyond that, I will hold off.

2 DR. SAMET: Okay. Ursula.

3 DR. BAUER: I asked in the earlier session
4 when we were looking at the prevalence data that
5 seemed to show an up tick in use of menthol
6 cigarettes among adolescent smokers, in particular,
7 whether there had been an influx of sort of new
8 menthol options on the market. And you seem to be
9 suggesting from your review that, indeed, there were
10 not only new menthol products introduced in the same
11 time period that coincides with that up tick; but
12 that, specifically, there were lower menthol
13 products; sort of a more introductory product.

14 DR. RISING: Certainly, there were some
15 new products that were introduced. As to exactly
16 what the numbers were, you know, I don't want --
17 certainly, from the review of the literature I can't
18 say there were ten more products in 2008 than there
19 were in 2000. Certainly, there were some new ones
20 introduced, and they were introduced at a lower
21 menthol level than some of the existing ones.
22 Beyond that, there wasn't information in the

1 literature that kind of aggregated the numbers or
2 the amount of sales or anything along those lines.

3 DR. SAMET: Greg.

4 DR. CONNOLLY: Thank you. Very good
5 presentation.

6 I was intrigued by -- you presented data
7 on cognitive beliefs to messages of safety. They
8 seemed fairly low. Am I correct in saying that?
9 That people when asked directly, do you believe this
10 is a safer product, the score was relatively low?

11 DR. RISING: Low meaning they weren't able
12 to make a decision, or --

13 DR. CONNOLLY: Well, they seemed to be on
14 the -- responding -- a cognitive belief in safety
15 seemed to be not as high as when you asked questions
16 of the perception of soothing, smoothness. Am I
17 correct in saying that? That people were perceiving
18 the effect of menthol to be higher than the
19 cognitive belief and safety.

20 DR. RISING: Yes. So you know, given kind
21 of the number of studies, I think it's difficult to
22 make kind of comparisons between those things. You

1 know, kind of the one study, you know, that we
2 talked about that had the soothing and smooth, you
3 know, was kind of one study of 200 smokers; and then
4 kind of the other safety ones were, you know, some
5 focus groups. So trying to compare those relative
6 to each other is --

7 DR. CONNOLLY: Well, I just saw -- I think
8 more research in that area would be very helpful for
9 the Committee, because their seem to be, just even
10 in those few studies, differences.

11 The second question is, did you look at
12 commercial data sets to look at specific brand
13 sales -- let's say, for Newport, Kool -- over
14 periods of time? One brand increase in market share
15 versus -- over another brand from a commercial data
16 source.

17 DR. RISING: So the only data sources that
18 we used for this were kind of the ones that were
19 identified in the original NCI literature, and then
20 the supplemental one afterwards. So if there was
21 data from else were, we didn't include it.

22 DR. CONNOLLY: Just saying for the

1 Committee, commercial data sources. Did you look at
2 commercial data sources on advertising to see if
3 there was increase in expenditures for menthol
4 advertising over the past ten years versus
5 nonmenthol advertising.

6 DR. RISING: Yes, one of the reviews
7 discussed magazine advertising a little bit, but
8 otherwise there wasn't any kind of other inclusion
9 on data on the amount of advertising in the
10 literature.

11 DR. CONNOLLY: I think that that would be
12 important if it is available.

13 Did you look at data -- when you
14 referenced -- when you represent a promotion, do
15 that include the variety of promotions, or was it
16 more focused on price discounting, did you know from
17 the research?

18 DR. RISING: So the one thing on promotion
19 offerings, I would have to look back at how they
20 phrased their questions; and how they defined what a
21 promotional offering was. I would need to look at
22 that again.

1 DR. CONNOLLY: Okay. Well, again, for the
2 Committee, I think it would be helpful to look at
3 the issue of price discounting in variations were
4 price discounting may occur; geographically,
5 ethnically, by brand. But I think the presentation
6 was a very, very nice presentation. Thank you.

7 DR. RISING: Thank you.

8 DR. SAMET: Actually, I think, Josh, just
9 to clarify, your review was entirely based on the
10 NCI bibliography, is that correct; or did you have
11 other sources?

12 DR. RISING: Right. So it's that
13 bibliography; and then, you know, in January of this
14 year we then did another search with the same terms
15 to identify subsequently published studies.

16 DR. SAMET: These are all published
17 studies, and not any other primary data sources,
18 just to be clear. I think that gets to Greg's
19 question.

20 John, do you have a clarification?

21 DR. LAUTERBACH: Any of these public
22 references that you used cover store brands or

1 nonadvertised brands?

2 DR. RISING: I'm sorry, so the studies?

3 DR. LAUTERBACH: Yes, the studies.

4 DR. RISING: So did they -- I mean -- I
5 guess I'm not quite sure what your question is.

6 DR. LAUTERBACH: For example, there is
7 numerous brands of cigarettes today and some of them
8 are heavily advertised, and some of them are store
9 brands, some are very much generic brands, some are
10 regional brands. Is there any information we have
11 on those versus the major brands in terms of the
12 marketing perception?

13 DR. RISING: So in terms of kind of
14 people's general perceptions the study asked, you
15 know, menthols compared to nonmenthol. So for more
16 regional brands depends on whether they were
17 considered as a menthol cigarette versus nonmenthol.
18 Then, the more specific advertising, certainly the
19 ads where you were comparing, you know, "People" to
20 other magazines, those would be brands with national
21 advertising. The studies that looked, for example,
22 at the point-of-sale advertising, you know, in urban

1 areas could potentially include some of the regional
2 or local brands.

3 DR. SAMET: Okay. Jack.

4 DR. HENNINGFIELD: I guess what was
5 amazing to me is how little public information we
6 seem to have on the many aspects of consumer
7 perceptions that a marketer would need to do their
8 marketing, to guide decision making on advertising.
9 And I'm wondering about other potential data
10 sources; and maybe mention this do -- is the Federal
11 Trade Commission -- do they have data that you are
12 able to tap into? Is that a potential data source
13 that we should be thinking about tapping into?

14 DR. RISING: I think it is a potential
15 source. I don't know the answer to that one way or
16 the other. You know, certainly, we have been
17 building strong relationships with Federal Trade
18 Commission like with other federal agencies, so I'm
19 sure that's something we could explore.

20 DR. HENNINGFIELD: And the kinds of things
21 I am thinking of -- some of it go back to my
22 favorite issue, dose, and how much is in there and

1 what drives changes over time. So you talked about
2 changes over time, and I'm curious as to any data
3 that you saw that -- any information that would have
4 guided those changes, assuming they are not
5 grounded.

6 DR. RISING: Changes in the marketing
7 themes and messages?

8 DR. HENNINGFIELD: Well, not only the
9 marketing themes, but changes in menthol dosing
10 levels. The lower dose brands that came out in
11 2000, 2003. Any inkling as to why the dose brands
12 happened?

13 DR. RISING: Certainly, there is, you
14 know, nothing that -- no causal associations that
15 kind of exist out there. You know, kind of the one
16 review that discussed these, you know, had some
17 opinions in the review as to what was causing some
18 of the changes; and kind of the increase -- or
19 introduction of some of the new brands; but no other
20 studies that really looked at that question.

21 DR. HENNINGFIELD: Just a couple more of
22 these kind of little probed. The interaction of

1 perception with exposure -- you discussed at the
2 beginning where do perceptions come from? Are they
3 created? Are they a result of exposure?

4 We know that from the light cigarettes
5 experience people were told they were light, but
6 then they would smoke the cigarette and they would
7 feel light. So the exposure would reinforce the
8 perception -- I'm over simplifying. Any evidence
9 for that that you saw here, or -- in other words,
10 how exposure interacts with what people are told?

11 DR. RISING: It's a good question. And
12 you know, in general, I would say the literature was
13 pretty silent on that issue. You know, difficult
14 question to study in general, and we didn't find any
15 evidence that really got at that, I don't think.

16 DR. HENNINGFIELD: And the last one, what
17 were you looking for that you didn't find? You went
18 into this probably with some of these same questions
19 that I'm raising and more. And I guess what were
20 you looking for that you didn't find? And can you
21 think of any other place other than the tobacco
22 industry itself where we might get the information?

1 DR. RISING: I mean, you know, I didn't go
2 into this with a particular agenda or sort of things
3 that I was necessarily looking for. We had kind of
4 topic interest areas that we thought were
5 interesting to explore. Then we saw kind of what
6 the literature had to say about those particular
7 topic areas.

8 So to the extent that we were able to
9 answer some of those questions, then we found some
10 of the information we were looking for. In the
11 sense that we weren't able to answer some of those
12 topics of interest in the beginning, then, we aren't
13 able to find that.

14 DR. HENNINGFIELD: Thank you.

15 DR. SAMET: Neal, way down there.

16 DR. BENOWITZ: Couple questions. One is,
17 when you were talking about different -- my sense
18 you were talking about different levels of menthol
19 within a brand. You say Newport may have high and
20 low menthol. Is there a common perception among
21 smokers that one variety of Newport is a stronger
22 menthol brand than another? Is that something that

1 is common knowledge among smokers?

2 DR. RISING: So there wasn't any
3 literature that we identified that specifically
4 answered that. There were certainly discussion in
5 some of the documents that kind of explored this
6 particular issue, that this was the case. And there
7 may have been a quote or two exploring that a little
8 bit; but there certainly wasn't any kind of evidence
9 in the literature of surveys of people saying, yes,
10 I want a strong menthol brand. That's why I smoke
11 this brand. So certainly, no; no strong evidence on
12 that.

13 DR. BENOWITZ: Kind of a second question,
14 you talked about differences in menthol content; but
15 as you heard this morning the menthol delivery is
16 determined also by ventilation. Are there any data
17 anywhere about menthol delivery by standard smoking
18 machine tests?

19 DR. RISING: Certainly nothing that I have
20 seen.

21 DR. SAMET: Mark.

22 DR. CLANTON: I have a question for you

1 about perceptions that comes from the frontiers of
2 pediatric and adolescent primary care.

3 Anecdotally, one of the few negative
4 things that an adolescent smoker will admit is the
5 perception that they have bad breath. In other
6 words, they enjoy all the positive aspects of
7 smoking, but they will admit that that's an issue;
8 and will often take a hard candy or a lozenges that
9 contains either a peppermint or a menthol.

10 Are there any surveys, or is there any
11 data that tells us about the perception of
12 adolescents who smoke mentholated cigarettes as it
13 relates to some positive aspect to their breath?

14 DR. RISING: Again, didn't see anything on
15 that. In doing this, I looked back over a number of
16 different ads for menthol cigarettes over the
17 decades. You know, there are definitely some ads
18 here and there that would talk about the beneficial
19 impact on breath specifically; but no more hard data
20 than that.

21 DR. SAMET: Okay. Dorothy.

22 DR. HATSUKAMI: Related to what Jack was

1 saying, I think it would be encouraging to take a
2 look to see if the lower dose menthol cigarettes was
3 higher among the adolescent population. I think it
4 would be fascinating if there is a data set that we
5 can find to determine that.

6 One of the charges that we have is to take
7 a look at what the impact of menthol cigarettes is
8 among users, as well as nonusers. I am kind of
9 curious in the studies that you had described in
10 terms of adult perception of -- of menthol
11 cigarettes. The third study that you talked about
12 the focus to be, was that among nonusers or was that
13 among users of cigarettes?

14 DR. RISING: The study that -- there were
15 16 focus groups or so that included many different
16 adults.

17 DR. HATSUKAMI: Yes.

18 DR. RISING: So my recollection, that was
19 all, among individuals who were using tobacco
20 products of some variety, not necessarily
21 cigarettes, but some tobacco products.

22 DR. HATSUKAMI: Okay. So there really

1 hasn't been any studies that you know of that have
2 looked at nonusers and their perceptions of menthol
3 cigarettes?

4 DR. RISING: No.

5 DR. HATSUKAMI: Okay.

6 DR. SAMET: Ursula.

7 DR. BAUER: I am having some difficulty
8 interpreting some of the information that we have
9 gotten here today, because we don't know the full
10 community context, if you will. So, for example,
11 you said smokers of menthol cigarettes are much more
12 likely to use promotions than smokers of nonmenthol
13 cigarettes. Is that because there are more
14 promotional offers associated with menthol
15 cigarettes? Or there are the same number of offers,
16 but menthol smokers are just more likely to take
17 advantage of them?

18 And how, as a Committee, do we kind of get
19 some of that more community, contextual background
20 so that we can assess some of the information that
21 we are hearing? And I have a second question too.

22 DR. RISING: So yeah, good question.

1 So first -- so the study that had that
2 finding -- with that finding was -- did not control
3 for other factors that we know are also important in
4 determining the use of promotional products. So I
5 wouldn't necessarily say that -- you know, that we
6 definitely know that menthol smokers are more likely
7 to use promotional products.

8 There was also -- there was information on
9 that study or in the published literature as to, are
10 there more promotional offerings for menthol
11 products? Are there fewer? Are they, you know,
12 more focused in one geographic area, or in one
13 population? That data wasn't out there.

14 DR. BAUER: The second question is that we
15 hear that with smokeless products there is sort of a
16 marketing transition that the new user is brought
17 through, you know, sort of an introductory product
18 that's much more mild, and then they progress up to
19 the stronger and stronger product. Is there a
20 similar perception with regard to menthol
21 cigarettes, especially around some of these products
22 that were introduced in 2000 and 2003, the low

1 menthol. So smokers would understand that they --
2 or they would have a desire for a stronger menthol
3 cigarette, and they would sort of graduate up over
4 time. Is anything in the industry documents that
5 talks about that?

6 DR. RISING: So it is kind of a nice segue
7 to the next talk on menthol and initiation of
8 smoking. I think we will address some, likely not
9 all, of your questions during that talk.

10 DR. SAMET: Okay. Other questions? Go
11 ahead, sorry.

12 DR. NEZ HENDERSON: One striking finding
13 is that overall there is more white smokers who use
14 menthol cigarettes as shown from this morning's
15 discussion -- or this morning's presentation. That
16 there is more ads seen in Africa American
17 communities and magazines. Does the literature or
18 maybe the tobacco industry documents show
19 anything -- why there is that discrepancy?

20 DR. RISING: It didn't address that. You
21 know, certainly, we know that there are more Whites
22 smokers; though, proportionally, you know, Blacks

1 use menthol much more than nonmenthol products.

2 Nothing else as to why this particular advertising
3 focus aside from that.

4 DR. SAMET: Okay. This is a question, but
5 it's really not. Just in reference to your
6 presentation, it would appear that most of the
7 studies were somewhere in the last century. I mean,
8 approximately what proportion of the data that you
9 presented to us was collected, you know, in the last
10 ten years?

11 DR. RISING: Yes. I have to go back and
12 count, but certainly the majority.

13 DR. HENNINGFIELD: A follow-up on a couple
14 of my earlier questions. Is there anything that
15 would give you clues about what guided the changes
16 in product that kept the marketing. So the
17 marketing is based around a product. A product is
18 presumably built for marketing to serve in these.
19 So we have both brand extensions. We have the 2000,
20 2003 brands. Did you see anything that would --
21 assuming that's not a random process, making a new
22 brand or a brand line, did you find anything that

1 gives us a clue as to where those ideas are coming
2 from? What's guiding the brand development and
3 brand line extensions?

4 DR. RISING: Yes, so there certainly
5 wasn't kind of a lot in the literature on that. You
6 know, there is the one study that had most of the
7 quotations used here, and also outlined the change
8 in menthol cigarettes. Kind of talked about, you
9 know, the fact that there are two different groups
10 of menthol smokers; you know, kind of those who like
11 light, and those who kind of like more menthol. And
12 the article posited that some of the changes could
13 be related to that. Other than kind of that one
14 article, there wasn't anything else in the
15 literature that really explored that area.

16 DR. HENNINGFIELD: Thank you.

17 DR. SAMET: Okay. I think what we will do
18 then, Josh, is move on to your next presentation,
19 which would be the presentation entitled "Menthol
20 Cigarettes and Smoking Initiation."

21 DR. RISING: Great. Now, we will turn our
22 attention to the menthol cigarettes and the role, if

1 any, in the initiation of smoking.

2 The topics of interest for this talk
3 include when does the initiation of smoking occur?
4 When do people start smoking? Does this differ for
5 menthol cigarettes, and does the timing of
6 initiation vary by subgroups? Again, specifically
7 looking at menthol cigarettes.

8 We will look at how prevalent is the use
9 of menthol cigarettes among beginner smokers. So in
10 this talk beginner smokers are those who have been
11 smoking for less than a year, and that's how it
12 appears in the literature on this subject. And does
13 that vary by age, or by race/ethnicity?

14 We will also be looking at what the
15 literature says about the relationship between the
16 early use of menthol cigarettes and subsequent
17 nicotine dependence. We will look at what data is
18 out there about switching behaviors between menthol
19 and nonmenthol cigarette products. Then we will
20 look to see what, if anything, tobacco company
21 documents say about the role of menthol and the
22 initiation of smoking.

1 So the appropriate caveat for this one is
2 that you can see that on this talk we're going to be
3 drawing information and studies from eight different
4 articles that are out there. So, again, kind of not
5 a lot of data that is going to be present for this
6 particular presentation.

7 So the first graph that kind of came up
8 earlier about the initiation of smoking. So this
9 graph, kind of from the 1990's, explores when
10 individuals start smoking. So these are people who
11 are aged 30 to 39, and who are asked questions about
12 when they started their smoking behaviors. They are
13 asked two questions: When was the age you first
14 tried a cigarette? That's the blue line here.

15 Then what was the age where you began
16 smoking daily?

17 For example, kind of -- can't really see
18 the pointer -- so you can see -- so if you follow-up
19 the line from age 18, you can see that at age 18
20 somewhere between -- somewhere around 90 percent of
21 people who were smokers had begun their -- had tried
22 their first cigarette; and about 70 percent had

1 begun smoking daily by age 18. Then when you get to
2 age 24, over 90 percent of the individuals had begun
3 smoking daily by that particular age.

4 So when we're exploring the topic of
5 initiation and trying to understand initiation
6 better, we're really most interested in individuals
7 who are younger, really younger than 24, as that's
8 when the vast majority of initiation of smoking
9 occurs.

10 There is another study by Trinidad in 2004
11 that explored some of the same questions. When was
12 the age of regular smoking onset? So this was a
13 tobacco use supplement to the current population
14 survey. This question was asked of individuals who
15 were age 26 to 50; and it asked them when they began
16 started smoking. Again, you can see that the vast
17 majority of smoking onset occurs at age 21 or
18 younger.

19 This particular slide also demonstrates a
20 little bit of racial and ethnic variation as to when
21 the age of initiation occurs. Again, this is for
22 all cigarettes broadly, not specifically for menthol

1 cigarettes.

2 So according to this study, you know, you
3 can see that Asian and Pacific Islanders begin
4 smoking later than do some other racial and ethnic
5 groups; and that African Americans also start
6 smoking slightly later than do some other racial and
7 ethnic groups.

8 So now look to see what the literature
9 says about menthol cigarettes use by new youth
10 smokers. Again, youth defined as under age 18.

11 So the first study from the National Youth
12 and Tobacco Survey in 2002. This was a survey of
13 36,000 students. And asked of current smokers
14 whether they smoking menthol cigarettes or
15 nonmenthol cigarettes. Then broke these into new
16 smokers -- so people who have been smoking less than
17 a year; and experienced smokers -- those that have
18 been smoking for more than a year.

19 When it looked at middle school students,
20 it found that about 62 percent of new middle school
21 smokers smoked menthol; and about 53 percent of
22 experienced middle school smokers smoked menthols;

1 and they found this difference was statistically
2 significant.

3 They also looked at high school students,
4 and asked kind of the same question, and they found
5 a slight difference that was not statistically
6 significant. That was 46 percent of new high school
7 smokers smoking menthols; and 42 percent of
8 experienced high school smokers smoking menthols.
9 Again, cross sectional study, so difficult to know
10 whether this was a cohort effect, or whether this
11 was individuals transitioning from menthol
12 cigarettes to nonmenthol cigarettes.

13 We're now going to be looking at the
14 National Survey on Drug Use and Health, the same
15 data source that was used for the presentation
16 earlier today. Again, this is asking -- this is
17 looking at new smokers, the blue line, versus
18 experienced smokers, that's the red line -- or the
19 green line. Those are people who have been smoking
20 for more than one year, and ask these groups, do you
21 smoke menthols or do you smoke nonmenthol
22 cigarettes?

1 And you can see that for most of the years
2 of the survey 2004 to 2007, the new smokers were
3 more likely to be smoking menthols than were
4 experienced smokers. You can see there is a slight
5 kind of change in that graph in 2008; and we're
6 going to need some more data points to understand if
7 that is a one year aberration, or if that is a
8 reversal or change in the trend that had been
9 going on.

10 We're now going to turn our attention from
11 youth to menthol cigarettes use by new young adult
12 smokers. Similarly, the data from the 2004, 2008
13 National Survey on Drug Use and Health found that
14 new smokers, age 18 to 25 -- so the young adult
15 group -- were more likely to prefer menthol
16 cigarettes; 40 percent versus 36 percent. This
17 particular publication did not mention whether or
18 not that difference was statistically significant.

19 Moving on to the age of initiation, when
20 people start smoking, and whether menthol cigarettes
21 play a role in that. There were two studies that
22 were identified in the literature that had data

1 relevant to this question.

2 So the first was the COMMIT trial,
3 published in 1995; and this Trial asked
4 retrospective questions as to when current smokers
5 began smoking, and also collected data as to whether
6 they smoked menthol or nonmenthol cigarettes at the
7 time of the survey. The study didn't find any
8 difference in age initiation between those who smoke
9 menthol cigarettes and those who smoke nonmenthol
10 cigarettes.

11 One other study, the CARDIA trial
12 published by Pletcher in 2006. Again, this asked
13 retrospective questions. There were about 1500
14 enrollees in this study, about age 25. And asked
15 them to recall when they had started smoking. And
16 again, divided these into current menthol smokers
17 and current nonmenthol smokers. And this also found
18 no difference in age and initiation between those
19 who preferred menthol cigarettes and those who
20 preferred nonmenthol cigarettes, again, at the time
21 the study was conducted. So it did not ask, was
22 your first cigarette a menthol cigarette or a

1 nonmenthol cigarette.

2 Moving on to early menthol cigarette use
3 and subsequent nicotine dependence. What, if
4 anything, is in the literature on this particular
5 topic. There is one study that we identified in the
6 literature in this area. So this was a longitudinal
7 study of seventh graders that was conducted. It was
8 a study of a total of 679 seventh graders, and
9 followed them for 30 months. Of these 679 seventh
10 graders, 237 of them reported that had inhaled a
11 cigarette either before the study or during the
12 course of the study.

13 Of the 237 -- this, again, shows some of
14 the difficulty with data collection in this area --
15 about half of them could report if the first
16 cigarette was a menthol cigarette or a nonmenthol
17 cigarette.

18 They kind of assessed whether there had
19 been a difference in reaction. How did that
20 cigarette make you feel, you know, kind of right
21 afterwards? Good effects, bad effects of that first
22 cigarette. They didn't find have any difference in

1 reported reaction to the first cigarette between
2 those who reported that it was a menthol, and those
3 who reported that it was a nonmenthol.

4 Then kind of they used a nicotine
5 dependence scale towards the end of the survey to
6 try to assess how dependent any of the individuals
7 were. They did not find that there was any
8 difference between the group that reported their
9 first cigarette was a menthol, and those who
10 reported that their first cigarette was a
11 nonmenthol. Again, very small study, and only about
12 100 and change individuals who could report whether
13 it was a menthol or not.

14 Now, we're going to look to see what is in
15 the literature on switching that individuals do
16 between menthol and nonmenthol cigarettes. One
17 study followed current adults smokers within the
18 Kaiser Permanente system, for a mean of about four
19 and a half years, between 1979 and 1986. This
20 publication looked at approximately 1700 black
21 smokers who were followed as part of this cohort.
22 Found that about 14 percent during the study period

1 switched from a nonmenthol cigarette to a menthol
2 cigarette. Then found that about three and a half
3 percent went the other direction, were smoking
4 menthols, but then switched and were smoking
5 nonmenthol cigarettes.

6 Another study, in contrast, found that
7 there was really no difference between these two.
8 Again, this was the Pletcher study that we saw
9 earlier. During the course of the follow up, which
10 was about 15 years in duration, they found that 12
11 percent of the participants switched from menthols
12 to nonmenthols; but pretty evenly balanced between
13 the 11 percent who switched from nonmenthol
14 cigarettes to menthol cigarettes during the course
15 of the 15 year study.

16 So looking to see what was published from
17 publicly available tobacco industry documents about
18 menthol and initiation. As we look -- and there is
19 one published review that explored this issue; and
20 this review had one quotation from tobacco industry
21 documents as to how menthol cigarettes could help
22 new smokers overcome negative reactions. First time

1 smoker reaction is generally negative. Initial
2 negatives can be alleviated with a low level of
3 menthol. That's from a 1986 document.

4 Returning to the topics of interest from
5 the beginning of the talk. So we see and we know
6 that the initiation of established smoking behaviors
7 occurs almost exclusive before the age of 25. We
8 saw that menthol cigarettes are more widely used by
9 beginning youth smokers than by established youth
10 smokers. Though, again, kind of a change in the
11 trends from that one data source in 2008 needs some
12 more exploration.

13 There is really less data on young adult
14 and adult beginning smokers and any preference of
15 menthol cigarettes among that age group. There is
16 limited data, but the data that is there do not
17 suggest that menthol cigarettes are associated with
18 an earlier age of initiation.

19 There is also very limited data on whether
20 the early use of menthol cigarettes is associated
21 with subsequent nicotine dependence. There is
22 inconclusive data from the two studies that we saw

1 on switching patterns between menthol and nonmenthol
2 cigarettes. And then, the published literature
3 documents industry awareness of menthol cigarettes
4 appeal to newer smokers.

5 As we're going to hold off then on the
6 questions --

7 DR. SAMET: Right. So thank you, Josh.
8 What we're going to do is move on to -- if
9 Dr. Hoffman is ready, move on to her first
10 presentation. Then we will take a break after that.

11 So the next presentation will be Menthol
12 Cigarettes and Nicotine Dependence.

13 DR. HOFFMAN: Good afternoon. Thank you
14 for staying with us. Thanks to the members for
15 being apart of the Panel. I know you heard thank
16 you a lot today, and probably will continue to hear
17 it. It's a big job, and we appreciate it.

18 My name is Allison Hoffman. I'm currently
19 at the National Institute on Drug Abuse; but I am on
20 detail at the FDA Center for Tobacco Products.

21 As all the previous presentations today,
22 these presentations are based on literature review

1 done by the NCI. The current topic of menthol
2 cigarettes and nicotine dependence is based on 31
3 articles.

4 The time to first cigarette upon waking is
5 considered a really robust indicator of nicotine
6 dependence. So the shorter the time from the time
7 you wake up until the time you light your cigarette,
8 the more dependent you are considered.

9 One study by Ahijevych and Parsley of 95
10 female smokers found that those who smoked menthol
11 had significantly shorter time to the first
12 cigarette as compared to the nonmenthol smokers.
13 This is significant. Menthol smokers smoked their
14 first cigarettes an average of 19 minutes after
15 waking up; whereas, the nonmenthol smokers made it
16 twice as long, at about 37 minutes after waking up.

17 Night waking to smoke is also considered
18 an indicator of nicotine dependence. In this case
19 the smokers wake up at night and smoke, and then go
20 back to sleep. This is not waking up for the day to
21 smoke.

22 Menthol smokers had a greater incidence of

1 night waking to smoke as compared to nonmenthol
2 smokers. Almost 60 percent of menthol smokers in
3 this study reported waking up at least once to
4 smoke, and then went back to sleep. That compares
5 to about 45 percent of the nonmenthol smokers.

6 Now, the night-waking smokers had
7 significantly shorter time to first cigarette upon
8 waking, which means that even though there had been
9 less time since they last smoked, because they woke
10 up during the night to smoke, they actually smoked
11 much more quickly. So about 70 percent of the night
12 wakers smoked within the first five minutes of
13 waking up; and that compares to about 28 percent of
14 the nonnight-waking smokers.

15 Cigarettes per day is often used as an
16 indicator of nicotine dependence. There haven't
17 been that many studies to date that actually compare
18 menthol smokers versus nonmenthol smokers. There
19 have been many studies that have compared Black
20 smokers with White smokers. Black smokers are much
21 more likely to smoke menthol cigarettes. In many of
22 these studies menthol is not considered as an

1 independent factor.

2 The data are split. Two studies found
3 that there was -- that menthol smokers smoked fewer
4 cigarettes per day as compared to nonmenthol
5 smokers. And two studies failed to find any
6 significant difference in cigarettes per day. So
7 there is no clear relationship between the type of
8 cigarettes that someone smokes, and the cigarettes
9 per day.

10 I should note that there have -- there was
11 a study by Okuyemi, et al. that found that menthol
12 smokers tended to smoke cigarettes with higher
13 nicotine, 1.2 milligram as compared to one. So that
14 might be a possible reason for this.

15 Now, cigarettes per day, there are some
16 issues with it. One of the issues is restrictions
17 on smoking. And so one of the things that's
18 happened over the past ten years is that we have had
19 greater restriction in where people are allowed to
20 smoke. Whether people are allowed to smoke in
21 restaurants, whether they are allowed to smoke in
22 public buildings, whether they are allowed to smoke

1 outside buildings. So there are some issues with
2 using cigarette per day as an indicator of nicotine
3 dependence.

4 The Fagerstrom Test for Nicotine
5 Dependence is an accurate composite of individual
6 questions, including time to first cigarette, as
7 well as cigarettes per day. There have been a
8 couple of studies that have compared the Fagerstrom
9 scores of menthol smokers versus nonmenthol smokers.
10 So the higher the Fagerstrom, the higher the
11 dependence.

12 Menthol smokers were no different than --
13 menthol smokers were no different than nonmenthol
14 smokers when it came to the Fagerstrom score. As I
15 mentioned with the previous slide, cigarettes per
16 day, there are some issues with these questions.
17 Cigarettes per day actually account to 30 percent of
18 the Fagerstrom. So when interpreting Fagerstrom
19 scores, it's important to keep that caveat in mind.

20 Next, we're going to discuss menthol and
21 nicotine dependence in youth. According to two
22 waves of the National Youth Tobacco Survey, which

1 was done for grades six through 12, one study found
2 that teens who regularly smoked menthol cigarettes
3 had a 45 percent greater odds of scoring higher on a
4 nicotine dependence scale for adolescents.

5 Now, this Nicotine Dependence Scale for
6 Adolescents was trying to be sensitive to some of
7 the differences in scheduling of youth versus
8 adults. For example, it differentiated between the
9 first cigarette a day on a weekday, and the first
10 cigarette a day on a weekend. So again, the menthol
11 smoking adolescents were more likely to score higher
12 on this measure of nicotine dependence.

13 There were other symptoms of nicotine
14 dependence that were assessed using the same data
15 set. When compared to youth smokers of nonmenthol
16 cigarettes, smokers of menthol cigarettes were
17 significantly more likely to report needing a
18 cigarette less than an hour after smoking, and also
19 to experience craving after not smoking for a couple
20 of hours.

21 In a 2006 survey that was reported on by
22 Mullenberg and Legge, there were almost 2000

1 secondary school students that were surveyed. When
2 compared to nonmenthol smokers, the menthol smokers
3 were more likely to report smoking more total
4 cigarettes during their lifetime, were more likely
5 to smoke more days per month, were more likely to
6 have a shorter time since their last cigarette, and
7 also more likely to have become a daily smoker.

8 Now, of those students it turns out that
9 the Black menthol smokers had the highest risk in
10 all four of these categories. So they had a -- they
11 tended to smoke more cigarettes in their lifetime,
12 as well as more days per month, shorter time since
13 their last cigarettes, and also more likely to
14 become a daily smoker.

15 In this study by Collins and Moolchan,
16 which was conducted in Baltimore -- it was a smoking
17 cessation study. Smokers that smoked menthol --
18 adolescent smokers that smoked menthol were more
19 likely to smoke earlier in the day upon waking up.
20 This is time to first cigarette. And that parallels
21 with what we found in the adult literature. So as
22 you can see, almost 50 percent of the adolescent

1 menthol smokers smoked within the first five minutes
2 after waking up. That compares to only about 30
3 percent of the nonmenthol cigarettes.

4 With the Fagerstrom, it's also
5 administered to adolescent smokers. In the same
6 study there were no differences in the Fagerstrom
7 score when the adolescent menthol smokers were
8 compared with the nonmenthol smokers. This
9 parallels the finding in adults.

10 Again, as with the caveat with cigarettes
11 per day, Fagerstrom for the adult population. In
12 youth, this may be even more of an issue, as there
13 are restrictions in school, in school activities; it
14 is illegal for them to be smoking. So there are
15 issues when you try to interpret the Fagerstrom and
16 cigarettes per day for adolescents in addition to
17 adults.

18 What is one possible mechanism for the
19 behavioral differences? We're going to discuss
20 briefly one such mechanism is nicotine metabolism.
21 What you see before you is a schematic of how
22 nicotine is metabolized in the body. Basically, how

1 it's broken down and activated by your body.

2 Nicotine is the main addictive component
3 of tobacco. What you see on the top is -- is the
4 metabolic pathway, and this is in the liver. What
5 you see is nicotine is metabolized by an enzyme
6 called 2A6 in the liver, and it is metabolized into
7 something called cotinine. Cotinine is then further
8 metabolized into hydroxycotinine. Now, both
9 nicotine and cotinine are metabolized by the same
10 enzyme, this 2A6 enzyme.

11 The idea is that slower metabolism means
12 that nicotine stays in your body longer. Usually,
13 this is measured with cotinine, because the half
14 life of nicotine is only two to three hours. The
15 half life of cotinine is ten to twelve hours. So
16 cotinine is used as sort of a proxy for nicotine,
17 because it's easier to measure.

18 So what menthol does is it can inhibit 2A6
19 activity, which means that nicotine is active
20 longer. You will notice that glucuronidation, along
21 the lower pathway, is also inhibited.
22 Glucuronidation is considered a minor metabolic

1 pathway for nicotine. However, it may become more
2 important for people who are genetically slow
3 metabolizers with variants of the CYP 2A6.

4 When we look at cotinine levels measured
5 either by absolute levels of cotinine, for example,
6 in urine output, or as a measure of half life, we
7 see sort of a mixed pictures of data. What you see
8 are -- in some studies you see that menthol smokers
9 have reduced nicotine metabolism. In some you see
10 that there are no significant difference; although,
11 in two of those studies it was a trend toward
12 reduced nicotine metabolism. That trend failed to
13 reach significance.

14 Then you have two studies which the
15 menthol smokers were no different in their
16 cotinine -- or in nicotine metabolism as compared to
17 nonmenthol smokers. So it's a mixed bag. But going
18 with this data, what can we hypothesize is the
19 relationship between nicotine metabolism and
20 dependence?

21 Well, one hypothesis is that the people
22 who have higher nicotine levels may be more

1 sensitive to smaller fluctuations of nicotine; and
2 therefore, they may be more susceptible to
3 withdrawal. So they are smoking to alleviate
4 withdrawal symptoms. Some data for this may be time
5 to first cigarette of the day, and night waking to
6 smoke. So when their bodies get below a certain
7 level of nicotine, there is the drive to alleviate
8 the withdrawal.

9 Another hypothesis or the flip side of
10 that is slow metabolizers may actually be less
11 dependent, and more likely to quit. So there is
12 less variation in nicotine, because nicotine is
13 being metabolized more slowly. You don't get large
14 fluctuation. There are some issue around skewing of
15 the sample. So in many cases it is very difficult,
16 for example, to find White male menthol smokers;
17 whereas, you may have an abundance of Black female
18 menthol smokers. So it may be skewed based on
19 race/ethnicity, or by gender.

20 So in summary, there are some behavioral
21 evidence for menthol cigarette smokers being more
22 dependent. This includes time to first cigarette in

1 both adults and in youth. Night waking to smoke --
2 this is in adults; as well as other measures,
3 nicotine dependence. These were some of the youth
4 measures that were discussed.

5 There were two indicators that suggested a
6 lack of evidence that menthol was associated with
7 greater nicotine dependence. That includes the
8 cigarette per day, as well as the Fagerstrom Test
9 for nicotine dependence. Those are in youth and
10 adults. And we discussed one possible mechanism for
11 some of these differences, which are the effects of
12 menthol and nicotine metabolism.

13 So thank you very much. Are their
14 clarifying questions now?

15 DR. SAMET: I think, actually, we will
16 wait until after your next presentation to do
17 clarifying presentations (sic) for Josh's last, and
18 your first two.

19 DR. HOFFMAN: Okay.

20 DR. SAMET: So what we're going to do is
21 take a break until 2:30. So roughly 15 minutes, and
22 we will reconvene then.

1 (Whereupon, a recess was taken.)

2 DR. SAMET: It's 2:30. So let's get
3 started, if everyone would take their seats, please.

4 Okay. So just as a reminder, we're going
5 to go ahead and hear the next presentation by
6 Dr. Hoffman; and then, we're going to have
7 clarifying questions.

8 DR. HOFFMAN: Welcome back from the break.
9 Thank you for sticking with us. I am still Allison
10 Hoffman.

11 My next presentation is going to be on
12 Menthol and Smoking Cessation Behavior. You are
13 going to have major de ja vu. Same bibliography
14 that we've been talking about. This one is based on
15 12 articles.

16 As an overview on what I will be speaking
17 today. What role, if any, does menthol play in
18 smoking cessation and treatment outcomes in adults?
19 What interactions, if any, does menthol have with
20 race/ethnicity in smoking cessation success? And
21 finally, what role, if any, does menthol play in
22 smoking cessation and treatment outcomes in youth?

1 So we will start with adults. There have
2 been several studies that have found no association
3 between menthol smoking and quitting. Among these
4 was a large scale telephone survey of over 13,000
5 people that was conducted in 1998, and again in
6 1993.

7 It was a cross sectional analysis of case
8 control data from a study conducted on more than
9 19,000 current and former cigarette smokers; and
10 there was a cross sectional survey of 480 Black
11 smokers where no difference was found in lifetime
12 quit attempts.

13 In a 2004 study by Okuyemi, there was a
14 cross sectional survey of 480 Black smokers. The
15 menthol smokers had significantly less time since
16 their last quit attempt. Menthol smokers had an
17 average of 12 days since their last quit attempt,
18 versus 24 days with the nonmenthol smokers.

19 There were trends that were not
20 significantly significant for shorter durations of
21 abstinence for the longest ever quit attempt in
22 menthol smokers; and also menthol smokers having the

1 shorter, more recent quit.

2 In this 2003 study by Okuyemi and
3 colleagues, there were 600 Black smokers that
4 participated in a smoking cessation study. They
5 received either placebo or bupropion, which is a
6 pharmacotherapy that is considered efficacious for
7 smoking cessation. Bupropion did increase
8 abstinence at six weeks, and that's what you see
9 circled -- that's not working too well. This is
10 what you see circled.

11 So bupropion was effective at increasing
12 abstinence rates at six weeks. That's the end of
13 treatment for the bupropion. What you notice is
14 that the menthol smokers had significantly poorer
15 outcomes with the bupropion. So you have an
16 interesting interaction between bupropion being
17 efficacious for increasing cessation success;
18 however, it is less efficacious for menthol smokers.

19 In this study by Harris, et al., they were
20 trying to predict cessation success among Black
21 smokers. This had about 535 smokers. Their outcome
22 was seven day abstinence with pharmacotherapy of

1 bupropion. At week seven was the end of treatment.
2 So after seven weeks of treatment, bupropion did
3 significantly increase success in quitting. By
4 doubling it, essentially 41 percent were able to
5 remain quit, as opposed to about 21 percent for
6 those who got the bupropion placebo.

7 With menthol versus nonmenthol smokers, we
8 see the same -- we see a similar story as on a
9 previous slide where menthol smokers had
10 significantly poorer outcomes as compared to
11 nonmenthol smokers. So menthol smokers on average
12 only were abstinence -- only 28 percent of menthol
13 smokers were able to maintain abstinence for the
14 seven days post treatment. Whereas, nonmenthol
15 smokers were able to maintain abstinence at a rate
16 of about 41 percent.

17 There was no treatment by race or
18 ethnicity interaction that was common in this study.

19 In another study by Okuyemi and colleagues
20 in 2007, 755 Black smokers who were light smokers --
21 said that they smoked ten cigarettes or less per
22 day -- were given one of several treatment options.

1 One was placebo or nicotine gum. Another group got
2 nicotine gum. Another group got health education;
3 and another group got motivational interviewing plus
4 counseling.

5 And what you see here is seven day
6 abstinence at 26 weeks post treatment. And the
7 menthol status is in the white lighter bars, and
8 nonmenthol is in the darker bars. What you see is
9 across the board the menthol smokers did more poorly
10 as compared to the menthol smokers (sic). That was
11 significantly so in two of the groups, the nicotine
12 gum group, as well the health education group. So
13 cessation success was reduced by menthol.

14 In a study of female -- female prisoners
15 who smoked. After a ten week intervention that was
16 group psychotherapy plus a nicotine replacement
17 patch, menthol was not associated with quitting
18 success at 12 months follow-up. Again, these were
19 incarcerated women. So there were a couple of
20 caveats. One is that menthol smokers were labeled
21 as menthol based on their smoking while in prison.
22 That may have changed from prior to being

1 incarcerated. So someone who may have smoked
2 menthols prior to being incarcerated, they have
3 switched to nonmenthols while in prison, and vice
4 versa.

5 There were also very uneven sample sizes.
6 For example, there was an extremely small group of
7 Black nonmenthol smokers. The vast majority of
8 Black smokers -- the vast majority of Black smokers
9 were menthol smokers.

10 Next, we're going to discuss ethnic and
11 racial differences in adult smoking cessation. In a
12 secondary data analysis that was done from a
13 multisite randomized trial, which pulled smokers
14 from VA medical centers, as well as pharmacies
15 failed to find any significant differences in
16 abstinence rates when comparing menthol versus
17 nonmenthol smokers. They also were failed to find
18 any race or ethnicity differences in abstinence
19 rates.

20 A second study of over 1500 Black and
21 White smokers also failed to find any difference in
22 quit or relapse rates when looking at menthol versus

1 nonmenthol smokers. They also failed to find any
2 significant ethnic or racial differences in these
3 samples.

4 In this 2009 study by Gandhi, et al., you
5 see a graph illustrating seven day point prevalence
6 abstinence at four weeks post treatment; and it's
7 broken down by race/ethnicity. The first bar is all
8 smokers, broken down by menthol and nonmenthol;
9 followed by menthol versus nonmenthol in White
10 smokers, Black smokers, and Hispanic smokers.

11 What you see is that across the board
12 menthol smokers did more poorly as compared to
13 nonmenthol smokers. So the White smokers, the Black
14 smokers, and the Hispanic smokers who smoked menthol
15 had poorer outcomes as compared to their nonmenthol
16 smoking cohorts.

17 Of all of the groups, the Black menthol
18 smokers did worse when you look at the six month
19 outcome. So there was a significant race by menthol
20 interaction.

21 Using data from the 2005 U.S. National
22 Health Interview Survey, of over 7800 smokers who

1 had made quit attempts, overall, menthol smokers
2 were less likely to be former smokers as compared to
3 nonmenthol smokers. So if you look at former
4 smokers, menthol smokers certainly had about a 57
5 percent chance of being a former smoker, as compared
6 to the nonmenthol smokers who had a 61 percent
7 chance. This is a significant difference.

8 When looking at ethnic or racial
9 differences, Black and Hispanic menthol smokers were
10 significantly less likely to be former smokers as
11 compared to the nonmenthol smoking counterparts.
12 Black menthol smokers had about a 44 percent chance
13 of being former smokers, as compared to their
14 nonmenthol group at 62 percent.

15 A similar pattern is seen with Hispanic
16 smokers. Hispanic menthol smokers only had a
17 48 percent likelihood of being a former smoker, as
18 compared to 61 percent for their nonmenthol smoking
19 counterparts.

20 There were no differences between White
21 menthol and nonmenthol smokers. Both of these
22 groups had a 61, 62 percent likelihood of being

1 former smokers.

2 What about menthol cessation and youth?

3 There was no information on quitting success that
4 compared menthol to nonmenthol youth smokers. The
5 closest we could come was a study that looked at the
6 National Youth Tobacco Survey. Of over 3,000 teen
7 smokers in 2002, this study found that adolescent
8 menthol smokers were significantly less likely to be
9 seriously thinking about quitting. However, the
10 good news is that those who did try to quit were
11 significantly more likely to have sought help in
12 quitting. This includes going to school programs,
13 going to internet cessation sites, calling the quit
14 line, or participating in other cessation group
15 activities.

16 In summary, there were several studies
17 that found no association between adult menthol use
18 and cessation. This includes a national survey of
19 self-report, a local and regional survey with
20 self-report, a longitudinal study with self-report;
21 and there was a clinical study, as well as a
22 secondary data analysis of large scales randomized

1 intervention study.

2 However, there were also several studies
3 that found that adult menthol smokers have lower
4 levels of successful quitting as compared to
5 nonmenthol smokers. This included clinical studies
6 of both moderate to heavy smokers that smoked at
7 least ten cigarettes per day, as well as light
8 smokers who smoked less than ten cigarettes per day.

9 It also showed that efficacious treatment,
10 such as bupropion, nicotine replacement gum, as well
11 as some counseling were less efficacious in menthol
12 smokers, as compared to nonmenthol smokers.

13 Another study that found that adult
14 menthol smokers had lower likelihood of being former
15 smokers was the national survey, the NHIS.

16 There may be an interaction between
17 ethnicity and race, and menthol. For example, there
18 were two studies that found worse outcomes for adult
19 Black and Hispanic menthol smokers. They were less
20 likely to remain abstinent; and also less likely to
21 quit. It was inconclusive for adult menthol
22 smokers.

1 I think with that we go to clarifying
2 questions.

3 DR. SAMET: Right. So we're going to have
4 clarifying questions for the last three
5 preparations. Josh, you might want to be available
6 as well. I almost feel like saying, somebody who
7 doesn't want to comment, raise your hand. We're
8 going to start with Mark -- Melanie.

9 DR. WAKEFIELD: My question is for Josh;
10 and it relates to your presentation on initiation.
11 And I just was focusing on the slide which looked at
12 menthol cigarette use over time by youth smokers
13 aged 12 to 21. Just that remarkable sudden change
14 between 2007, 2008, which could just be sampling
15 variation. But that is exactly the kind of thing
16 that points to the need for us to have better access
17 to data on pricing, marketing, and so forth; and to
18 try interpret some of these trends.

19 For example, I know that, you know, there
20 is scanner data available that have been used by
21 various people to look at price discounting over
22 time, and so forth. That's the kind of thing that

1 FDA maybe should consider getting access to.

2 DR. SAMET: Response, Josh.

3 DR. RISING: Yes. In general, there was
4 not a wealth of information that we were able to
5 incorporate into the presentation. So any other
6 source of information, we will definitely add to
7 that.

8 DR. WAKEFIELD: I think, just as a
9 follow-up, the presentation on marketing and the
10 presentation on initiation were characterized by
11 having little information available. And I mean, I
12 think it's just really important to remind ourselves
13 that there is huge literature on the relationship
14 between tobacco advertising and promotion, and
15 tobacco and consumption, especially in relation to
16 how it's causally related to youth up take. So I do
17 think we need to sort of bear that in mind. It is
18 not really a question; I suppose a comment.

19 DR. SAMET: Okay. Jack.

20 DR. HENNINGFIELD: Thank you. There is
21 three areas that you have covered, initiation,
22 dependence, and cessation; and I have a question

1 that's really the same for all of them.

2 In all of these -- each of these areas
3 each of you show one or more studies that show no,
4 what I will call, adverse effects, like increased
5 initiation. And one or more studies that showed the
6 increased adverse affect. And I'm trying to get a
7 sense -- I approach this not thinking what's right
8 or what's wrong; they are documented. So I'm trying
9 to get a sense of what information you also had that
10 you didn't have time that would bear on that?

11 So Dr. Rising, on the switching, in the
12 Kaiser study found four times -- people were four
13 times more likely to switch to menthol than the
14 other way around. Depending on the extent of that,
15 if that's at the expense of cessation, then that's a
16 serious public health concern. But the other study
17 show no affect.

18 So is there any information that gives us
19 an idea of what is happening in the population, how
20 frequently people switch; and if switching is at the
21 expense of cessation?

22 DR. RISING: Yes. So very good questions.

1 In general, not tons of information on that. Kind
2 of other caveats I would give with that information
3 is, you know, so that study data was from 1979 to
4 1986. So how relevant that is to what's going on
5 with adults smoking menthol cigarettes today, you
6 know, definitely kind of an open question. You
7 know, we know that -- yeah, so open question. And,
8 certainly, we need some more information on that.

9 As to other kind of evidence, you know,
10 we're kind of comparing the two. You know, I think
11 it's very difficult to try to make comparisons as to
12 this, you know, is a better study than the others.
13 Compared to some other studies that we reviewed, you
14 know, certainly both of those were relatively robust
15 in terms of descent period of time for follow-up,
16 pretty good sample size, you know, certainly
17 compared to some of the other data that we have that
18 were dozens of, you know, individuals. Those were
19 both thousands of people who were being followed.
20 They were overall better quality of studies than
21 some others.

22 DR. HENNINGFIELD: That was impressive.

1 This is -- I kind of throw out this because there
2 will be others that will be presenting other sources
3 of information; but this will be an area that I
4 think we're going to need -- there has got to be
5 information. We're going to have to find where it
6 is.

7 Similarly, Dr. Hoffman on initiation there
8 was evidence -- and I forget which ones now -- one
9 or more of the increased risk for dependence
10 development; and others where it was not as clear.

11 So my question is the same -- and I have
12 the same question related to cessation. And if you
13 look at your summary at the end, you know, there is
14 some studies that show delayed cessation. Others
15 that show no effect. And obviously, these are
16 pretty public health concerns, because if you smoke
17 longer, that's harmful.

18 DR. HOFFMAN: Right. Well, in many cases
19 if you are comparing a group of menthol smokers to
20 nonmenthol smokers, you are pulling from a sample of
21 convenience. They are very uneven. Like I said,
22 it's very unusual to find, you know, White male

1 menthol smokers. So what you might have is a skew.
2 Many of the studies use Black smokers, for example.
3 Very high -- relative preference for menthol
4 cigarettes.

5 And so what you have is sample size -- you
6 know, groups that aren't very even. Some of the
7 groups fairly small. In many of these cases you can
8 have, you know, 500 people included, but 400 of them
9 may be menthol smokers. You know, so that's -- so
10 not only do you have sort of a gender skew, you
11 could have a racial/ethnic skew.

12 You know, in terms of treatment, most
13 studies don't separate out menthol as an independent
14 factor, so we just don't know. The two studies that
15 I mentioned look at bupropion. I think those were
16 the only two that looked at bupropion. There was
17 one that looked at sort of a combination of -- there
18 was one that looked at, you know, behavioral
19 treatments plus pharmacotherapy; and there was one
20 that just looked at just pharmacotherapy. They are
21 so -- there aren't that many. So it's very
22 difficult to draw conclusions.

1 Each one may use different treatment
2 regimens. Some may treat for six weeks. Some may
3 treat for seven. Some may give abstinence rates at
4 12 month follow up; some may give abstinence rates
5 at end of treatment. It is very difficult to draw
6 direct comparisons as to which one might be a
7 stronger study, except by falling back on what do
8 your sample -- what does your sample look like.

9 DR. HENNINGFIELD: You may have answered
10 my last question, but let me clarify. Because I'm
11 looking at if menthol has the effect on some
12 population of increased initiation, dependence, or
13 delaying cessation that is an -- an adverse effect,
14 is one way of looking at it. So I'm trying to
15 figure out what is the overall population effect;
16 and also, is there subpopulation?

17 For example, the way you presented it, it
18 appeared that the transition to dependence was
19 particularly strong in the younger African American
20 sample. The apparent impediment to cessation
21 appeared particularly strong in an African American
22 population. So one of the things I'm trying to

1 figure out is, is -- did I get that right? And if
2 so, maybe we have an effect where the main AE,
3 adverse effect, is in certain populations.

4 DR. HOFFMAN: Yes.

5 DR. HENNINGFIELD: So anything that you
6 have that bear on that -- because if it's not there,
7 then, maybe that's another thing that we have got to
8 try to get from the industry, or other surveys from
9 other sources.

10 DR. HOFFMAN: I think that's an excellent
11 point. When it comes to particular subpopulations
12 of -- subpopulations, you have the most on Black
13 smokers. There is very little on Hispanic smokers,
14 for example; and that may be an important population
15 to look at, as well as other populations that aren't
16 currently represented in these.

17 But I think you hit the nail on the head.
18 I think that we need to look at different
19 populations both in their evidence seeking
20 dependence, as well as their response to cessation.
21 I don't think that you can look at all menthol
22 smokers as one group. I think the way you are

1 really going to find differences is by splitting
2 among gender lines, among racial/ethnic lines; find
3 out which population you are looking at, and then
4 looking at how you can tailor treatment to them.

5 DR. HENNINGFIELD: This is very helpful.

6 Thank you.

7 DR. SAMET: I'm going to take Chair's
8 prerogative. I think somewhere back -- about three
9 questions back in Jack's series -- this would be
10 another comment disguised as a question. In this
11 series of presentations, up to including these Manza
12 studies that are rather small and characterized as
13 statistically significant or not -- and I guess that
14 I would ask that you consider more informative ways
15 to express the findings up to and including, if
16 appropriate, the possibility of summarizing
17 quantitatively across studies.

18 DR. HOFFMAN: I think it's very difficult
19 to summarize quantitatively across studies. There
20 aren't that many there.

21 DR. RISING: I think the other thing about
22 that is, I think we tried to point out when there

1 were particular methodological issues that were
2 associated with some of the studies. I think we did
3 try to discuss a little bit some of the limitations.

4 DR. SAMET: I appreciate that. I think
5 maybe we will have some additional discussion
6 tomorrow about the best way to present findings
7 beyond significant, nonsignificant I think. Next,
8 Neal.

9 DR. BENOWITZ: Two questions. The first
10 one is like Jack's, but specific -- the two large
11 studies, the Hyland, Muscat study I found no
12 difference. Can those be reanalyzed specifically by
13 race? Because the race menthol interaction, I
14 think, is very provocative. And it is quite
15 possible, since most menthol smokers in the general
16 population are Whites, that you could have missed
17 that effect if you are looking at 13 or 15,000
18 people as representative of the population. I'm
19 wondering if those data sets could be reexamined in
20 terms of looking at African Americans.

21 DR. HOFFMAN: I don't see why they
22 wouldn't be able to do that; but I'm not familiar

1 with their particular data set, how it's coded, and
2 how it's classified. It would seem to me they
3 should be able to do that.

4 DR. BENOWITZ: Okay. The second question
5 is, in the Pletcher study one of the really
6 interesting findings, I thought, was that there was
7 an effect of menthol on relapse. That was a study
8 that followed -- which had multiple assessments over
9 time. It wasn't the bias of memory that actually
10 assess people at different times.

11 Quitting was not different, but relapse
12 was different in menthol; which has the same effect.
13 This means that fewer people are quitting
14 permanently. So to the other studies -- can we look
15 at relapse in other databases?

16 DR. HOFFMAN: I would think that you
17 could. I think one of the -- take a step back. One
18 of the issues of snapshots of whether you quit in
19 the last six months, for example, has to do with,
20 you know, how many times someone has quit. So what
21 we saw with the menthol smokers in one of the
22 studies, it was only, you know, 12 days since their

1 last quit attempt, versus 24.

2 So if they are making more quit attempts
3 and you happen to catch them in the middle of one of
4 those quit attempts, that might be classified
5 differently than if you had taken the same subjects
6 and asked them one week later. But I think that --
7 that -- the points you are bringing up are well
8 taken.

9 DR. SAMET: Ursula.

10 DR. BAUER: So we've heard some fairly
11 equivocal data, I think, all day in terms of the
12 conclusions that we can draw. I think we heard this
13 morning that there is potentially more advertising
14 directed at menthol smokers, potentially more use of
15 promotion; which could mean, I suppose, that there
16 are more promotions available to menthol smokers.
17 Menthol smokers might have more -- lower incomes;
18 and potentially might be more dependent smokers. So
19 all of those things, of course, influence quit
20 success and potential for relapse.

21 Is there a way to control -- have the
22 studies -- can the studies control for some of

1 those things so that we can understand what's
2 menthol -- what's the effect of menthol itself
3 versus what's the combination of factors that are
4 kind of wrapped up in a menthol smoker?

5 DR. RISING: So it seems like, to rephrase
6 the question you are asking me, what's really the
7 overall net impact of menthol in cigarettes. Is
8 that what you are trying to get at?

9 DR. BAUER: How do you explain that with
10 some of the studies that we're looking at?

11 DR. RISING: Yes. So they are very good
12 questions. I certainly don't have the answer to
13 that. I think to a large degree it's up the
14 Committee to try to synthesize a lot of this
15 information and come to some conclusions based on
16 that.

17 DR. BAUER: Just a follow-up to a comment,
18 Dr. Hoffman, that you just made. I think we learned
19 this morning that, in fact, there are more White
20 male menthol smokers than there are Black male
21 menthol smokers. So why would it be so hard to find
22 the White male menthol smokers?

1 DR. HOFFMAN: I think if you are looking
2 at an overall general population it wouldn't be.
3 The studies that we're including possibly they are
4 samples of convenience. You know, the prisoner
5 samples or the adolescents that come into the
6 cessation clinics.

7 It just so happens that when there is an
8 uneven distribution of menthol by nonmenthol, I
9 can't think of a single study in the bibliography
10 that I looked at that didn't skew towards -- in the
11 same direction I just mentioned, so away from White
12 men menthol smokers towards Black -- especially
13 black female smokers. Just the way that the studies
14 have -- you know, how the cards have fallen.

15 DR. SAMET: Dr. Clark.

16 DR. CLARK: Thank you for the
17 presentations. When you look at menthol smokers
18 being treated with bupropion, is there a possibility
19 of menthol interacting with the bupropion?

20 DR. HOFFMAN: I guess there is; however,
21 the studies that have been done that have
22 specifically tried to look at racial or ethnic

1 differences in the program have failed to find
2 differences. Also, if you look at the studies that
3 look at other kinds of medication, for example, the
4 nicotine replacement gum, which is also
5 pharmacotherapy therapy, you get differences across
6 racial and ethnic populations.

7 Does that answer your question?

8 DR. CLARK: No, actually it doesn't. I
9 think the Committee will have to address that
10 question. It does raise the question -- since the
11 previous speaker pointed out that menthol does
12 interact with metabolism of nicotine, it might
13 interact with metabolism with other substances, not
14 just nicotine. The question is, does it interact
15 with common medications? And bupropion question is
16 present.

17 In part, because, as you pointed out,
18 these convenient samples look at convenience
19 population, it's probably harder to find African
20 American populations that don't use menthol than it
21 is to find African American populations that do. So
22 there may be a difference in the population of the

1 people that present.

2 Two issues in terms of interaction; one,
3 the medication effect. So that would raise a
4 question -- hypertensive medication and other
5 medication. If there is no interaction there is
6 none; but that issue has already been addressed in
7 part by menthol's effect on nicotine metabolism.

8 DR. SAMET: Greg.

9 DR. CONNOLLY: Thank you.

10 Josh, you know, I'm intrigued also, as
11 Melanie is, with the decline in the SAMHSA data, the
12 NSDUH data from 2007 to 2008. I have two questions
13 to that. One, did you look at, again, brand use?
14 Did you factor out a dedicated menthol brand use
15 over that time period to see if there is a variation
16 between one brand than another? That may relate to
17 issues of marketing. It may relate to issues of
18 content, both in the broad and in the smoke. It may
19 relate to other issues.

20 The second question is -- so that's one.
21 The second question is, when will the 2009 data be
22 available? Will that be available for the Committee

1 prior to completion of this activity?

2 DR. RISING: Let's see, so the first
3 one -- so we did not look at brand specific
4 information. We kind of looked, again, at the
5 aggregate, you know, do smoke menthol or nonmenthol?
6 We certainly could try to return to the data and
7 look at it in that way.

8 And the second, when it's going to be
9 available; I do not know that offhand. There may
10 well be some other people who do.

11 DR. CLARK: What survey?

12 DR. RISING: The survey that the 2004,
13 2008 data was based on.

14 DR. CLARK: The 2009 survey will be
15 available in September; that's when it's disclosed.
16 The raw data will be available a couple months
17 later. So this year we will be doing roll out in
18 September, and then the THS will be available
19 subsequent.

20 DR. CONNOLLY: Thank you.

21 And then, Allison, just a few questions.
22 I have to say I was, quite frankly, impressed with

1 the studies that looked at use of medications and
2 relapse. And Dr. Clark, I don't know, maybe we
3 should put menthol in bupropion, I don't know.

4 But this goes to Josh's point. We're new;
5 we're taking a first cut at this. I think you are
6 doing an excellent job. Everyone should be
7 congratulated for work they have done.

8 Ultimately, the Committee has to weigh
9 this science. I think John was saying, let's make
10 it objective as possible. I think if we had a
11 standard format for comparing, you know, and
12 describing what we think are, you know, stronger
13 studies versus weaker studies, that would make the
14 work of the Committee easier. I heard a comment,
15 well, that's the Committee's job. I sort of got
16 very worried when I heard that. So that's just sort
17 of a comment.

18 But what goes into that, because I realize
19 FDA now is going to require reporting of additives
20 to tobacco products under the statute. There has
21 been regulations promulgated, and there will be
22 reporting deadlines. But what this conversation

1 today is speaking to you can almost describe as post
2 marketing surveillance activity of drugs. We have
3 been looking at epidemiology, behavioral studies
4 independently of the manufacturer.

5 I think it would be important to know
6 better in the reporting activities of the FDA unit
7 the characterization of menthol, the levels of --
8 particularly by brand, both in the broad and in
9 smoke. Then we would better handle the
10 characterization of the product itself. And I don't
11 see that as your job. I think your job has been --
12 you have done a very good job in looking at the
13 behavioral and the epidemiology use. I think that's
14 something that should be considered.

15 Then, Allison, I think your presentation,
16 again, I think on addiction was excellent. You
17 focused, you know, very heavily on behavioral and
18 some epidemiological studies, and then metabolism of
19 nicotine. More and more literature is looking at
20 the issue of chemosensory effects, particularly of
21 nicotine on the head and neck receptors. And I was
22 curious if you looked at any data of the

1 relationship between nicotine effect on the head and
2 neck receptors, specifically the trigeminal
3 receptors in menthol. Is menthol affecting those
4 same receptors? Is there competition?

5 So again, I'm trying to go from the
6 characterization of the product to the clinical
7 effects of the product, to the post market
8 surveillance of the product. And I listened very
9 carefully yesterday to the role of the Committee.
10 So I'm trying to look at this as any other committee
11 would look at data presented by a drug manufacturer.
12 And I would be curious about the chemosensory
13 effects; and have you looked at that, the reaction
14 of nicotine and maybe the related action of menthol.

15 DR. HOFFMAN: In terms of the bibliography
16 that we were working with, we were trying to focus
17 primarily not on the articles that characterized
18 menthol just independent -- as independent chemical
19 entity, but as it interacted with the tobacco smoke.

20 There were articles that looked at the
21 chemosensory effects of menthol. From what I can
22 recall -- and I would have to go back and check --

1 those were not in conjunction with any kind of
2 nicotine and tobacco smoke. Because it was menthol
3 as an independent entity, it was not included in
4 this. Does that make sense?

5 DR. CONNOLLY: It does. And maybe this we
6 will discuss tomorrow. My impression and
7 understanding is that there is an increasing body of
8 literature that speaks to the chemosensory effects
9 of nicotine on head and neck receptors. I am just
10 curious if, one, you have looked at that at NIDA.

11 And then, number two, is there a
12 relationship between those chemosensory effects with
13 nicotine and with menthol on those same receptors?
14 We had a discussion this morning of thermal
15 receptors. I think there are other receptors that
16 could be interacted with that are -- that I'm just
17 curious if you have looked at that at NIDA.

18 DR. SAMET: Greg, I think, actually this
19 question, and your ultimate question, probably are
20 for tomorrow questions. I think you are sort of
21 calling for other data beyond the scope of what was
22 presented. I think we moved beyond clarifying. I

1 think hold those thoughts for tomorrow. I think I
2 will move on to Dorothy.

3 DR. HATSUKAMI: My comments are similar to
4 what Jack and Neal had brought up. In the study --
5 Dr. Hoffman, in the study on dependence measures and
6 the effects of menthol on those measures, did they
7 control for the confounding effects of race? I
8 don't think you really -- I know that some studies
9 did take a look at that -- take a look at race
10 and -- racial/ethnic differences; but in the other
11 studies did they control for?

12 DR. HOFFMAN: So could you just repeat --
13 because they controlled for various demographic
14 factors, which included that. They also controlled
15 for smoking behaviors. So -- number of cigarettes
16 per day. In many cases, the amount of cigarette
17 that was smoked. So I think that would be included
18 in the demographic factors that they were
19 controlling for. I am not sure that was your
20 question.

21 DR. HATSUKAMI: Yes, I was wondering if
22 they controlled for racial/ethnic differences?

1 DR. HOFFMAN: In many cases they did.

2 DR. HATSUKAMI: They did or did not?

3 DR. HOFFMAN: They tired to, yes.

4 DR. HATSUKAMI: All right. Another
5 question I have is, are there animals -- did you run
6 across any animal studies that looked at the effect
7 of menthol on the acquisition, extinction, or
8 reinstatement of nicotine self-administration if you
9 combine menthol with nicotine versus nicotine alone?

10 DR. HOFFMAN: I did not come across any of
11 those articles in the bibliography.

12 DR. HATSUKAMI: And then, Dr. Rising, I
13 have a question for you. Are there any survey datas
14 that might be available -- survey data that might be
15 available to examine individuals that might
16 experiment with menthol versus nonmenthol cigarettes
17 that will eventually go onto daily smoking? See if
18 there is any differences in terms of percent that go
19 onto daily smoking.

20 DR. RISING: Yes, in general, that was not
21 kind of assessed. You know, there was one
22 longitudinal study of seventh graders that followed

1 them for about 30 months or so. So again, very
2 small sample size. That was the only one that sort
3 of had any longitudinal components to see about the
4 impact of menthol. Certainly, the vast majority of
5 the data we have is really cross-sectional data. So
6 it would be difficult to drive those longitudinal
7 trends.

8 DR. SAMET: Okay. Mark.

9 DR. CLANTON: Both of you present studies
10 that show differences or might even be called
11 equivocal. We had other studies presented in the
12 same way. I want to offer up to the Committee, we
13 may want to be very careful about calling studies
14 that show different results "equivocal." The reason
15 may have to do with genetics of biology. So the
16 cytochrome or the mitochondrial enzyme system that
17 metabolizes drugs, we know there are genetic
18 differences person to person within a race, and
19 certainly between races. So nicotine metabolism may
20 be different depending upon how your cytochrome
21 enzymes are being expressed.

22 On the bupropion example, which I found

1 really curious -- bupropion is less effective in
2 African Americans smoking menthol. I wasn't clear
3 why that happened. So it may be either cytochrome
4 differences creating different metabolisms of the
5 drug bupropion as well.

6 So we may need to be really careful about
7 simply calling something equivalent when, in fact,
8 there may be some clear genetic reasons for why the
9 drugs are metabolized differently producing
10 different results.

11 DR. HOFFMAN: May I comment on that. I
12 think that you are bringing up a very important
13 point, and it's definitely well taken.

14 Most of the studies that looked at racial
15 and ethnic differences really only looked at White
16 versus Black. You know, the few studies that looked
17 at -- for example, Hispanic smokers with the
18 bupropion found that everybody across the board had
19 poor outcomes if they smoked menthol.

20 Now, I mention that the six month
21 follow-up for that study, rather than six weeks,
22 which was on the graph, where every ethnic and

1 racial menthol smokers did more poorly, the Black
2 smokers that smoked menthol did significantly worse.
3 However, there was a trend for the Hispanic menthol
4 smokers as well that failed to reach significance.
5 So it's a point that's very well taken, and it is
6 critical for interpreting somebody's data.

7 DR. SAMET: Patricia.

8 DR. NEZ HENDERSON: This is just a
9 follow-up question actually to Mark, and in terms of
10 genetic variation among nicotine metabolism, among
11 different race groups, whether or not any of the
12 studies that are being done right now are looking at
13 that.

14 DR. HOFFMAN: In terms of what was in the
15 bibliography, there were very, very few that looked
16 at that in relationship to menthol. So there were
17 studies that looked at genigrations (phonetic) in
18 metabolisms, but we only included it if it was
19 related to menthol.

20 DR. SAMET: Okay. Anyone else with
21 comments?

22 Okay. Dr. Hoffman, move on to your last

1 presentation.

2 DR. HOFFMAN: Okay. This is my third, and
3 you will be happy, final presentation of the day.
4 This presentation will be on the Possible Health
5 Effects of Cigarette Mentholation.

6 This presentation is based on 65 articles
7 that was pulled from the bibliography of literature
8 research in January.

9 We're going to be covering quite a bit.
10 We are going to start with introduction to menthol;
11 biomarkers of tobacco smoke exposure; toxicity and
12 cellular effects; respiration; cardiovascular
13 function; allergic reactions and inflammation;
14 tobacco-related disease, as well as some discussion
15 before the summary.

16 So introduction to menthol. This is going
17 to be a very brief introduction. We have already
18 discussed that menthol is found naturally in
19 peppermint and cornmint oils. It is a saturated
20 cyclic monoterpinoid alcohol. I put this up here --
21 if there are any chemists in the audience, I put it
22 out there for you. These are four different ways of

1 showing you the chemical structure of menthol.

2 Next, I'm going to talk about some of the
3 biomarkers of tobacco smoke exposure. By far, the
4 most common biomarker is cotinine, which I mentioned
5 earlier is used to measure nicotine. Since we
6 already discussed that in the talk on nicotine
7 dependence, that is not going to be included in this
8 talk. Instead, we're going to start by talking
9 about carbon monoxide.

10 When someone inhales tobacco smoke, there
11 is an increase in carbon monoxide. That can be
12 measured either with sort of a breathalyzer, exhaled
13 carbon monoxide; or it can be measured in an increase
14 in carboxyhemoglobin. So what I have here compares
15 menthol smokers with nonmenthol smokers. So both of
16 them have increases in carbon monoxide. It is just
17 done relative to each other, if that makes sense.

18 So we have one study by Ahijevych that
19 actually found that relevant to nonmenthol smokers,
20 menthol smokers actually had a decreased carbon
21 monoxide. This was actually a women only study; and
22 this was only true for the Black menthol smokers in

1 the studies -- only true for the black women in the
2 study.

3 There were several that found no
4 difference between menthol smokers and nonmenthol
5 smokers; and there were three that found that
6 menthol smokers had increased markers of carbon
7 monoxide as compared to nonmenthol smokers.

8 However, you will notice that one study is
9 listed twice. It is listed both in the no effect
10 column, as well as the increased carbon monoxide
11 column. That's because in the increased carbon
12 monoxide column, they found a borderline significant
13 difference in carboxyhemoglobin. However, the same
14 study failed to find a significant difference in an
15 exhaled carbon monoxide. So the same study, two
16 different measures, two different findings.

17 Why are the data kind of inconsistent?
18 Well, there are some potential physiological
19 variables. The mucous layers and mucosal cold nerve
20 endings can make a difference. Differences in how
21 the cigarette burns. So menthol in mainstream smoke
22 may be reduced depending on how the cigarette is

1 burning, the pyrolysis. There could be other
2 chemicals present in the smoke that can affect
3 carbon monoxide.

4 Tobacco specific nitrosamines are known
5 carcinogens. The two that we are going to be
6 discussing today are NNAL and NNK. Both are present
7 in tobacco smoke.

8 Menthol inhibited metabolism of NNAL in
9 human microsomes in vitro. So this was basically in
10 a glass tube of microsomes, and you added menthol
11 once -- after they had been treated with NNAL. And
12 the menthol inhibited metabolism of NNAL; which
13 means the NNAL was essentially staying around
14 longer.

15 Now, when menthol was administered to
16 NNK-treated rats, there was an increase in NNAL
17 metabolites, which suggest the exact opposite. It
18 suggest that in this hole NNAL model nicotine
19 actually enhanced metabolism. So you have the in
20 vitro finding, and you have the in vivo finding.

21 The big question is, what does it do in
22 people? What I have here is a comparison of two

1 studies that ask the question, does menthol inhibit
2 metabolism of NNAL in smokers? You have one study
3 that said no, they do not inhibit metabolism of
4 NNAL; and one that said yes. Again, both are in
5 human subjects; but there were some significant
6 metrological differences.

7 In one study these were heavy smokers that
8 smoked at least 15 cigarettes per day. These
9 subjects smoked as desired, just their normal
10 smoking behavior. They were smoking light
11 cigarettes, which were defined by the author as
12 seven to 15 milligrams of tar.

13 In the second study you had a greater
14 variation in the number of cigarettes per day.
15 Smokers just had to smoke at least five cigarettes
16 per day. The smokers had overnight abstinence of
17 both food and smoking; and that may influence enzyme
18 activity. The cigarettes just had to be
19 classifiable according to the FTC menthol status.
20 So they, you know, could be light; could be not
21 light. They just had to be classifiable as menthol
22 versus nonmenthol. So you see that both of these

1 studies came up with two different outcomes.

2 What about toxicity and the cellular
3 effects of menthol? In an animal study with
4 nose-inhalation of tobacco smoke from tobacco smoke
5 either with or without menthol. So basically smoked
6 computer menthol cigarette or smoked from a
7 nonmenthol cigarette.

8 Exposure to either cigarettes produced
9 body weight in these rats; produced
10 histopathological changes, such as epithelial
11 hyperplasia and/or squamous metaplasia in the nasal
12 passages, trachea and larynx, or lungs and bronchi.
13 There was also olfactory epithelial degeneration.

14 In fact, the only difference between the
15 rats that inhaled menthol smoke versus nonmenthol
16 smoke was that those that inhaled nonmenthol smoke
17 actually had a higher incident of nasal discharge.

18 Turning now our attention to cell membrane
19 permeability. Tobacco smoke alters cell membranes.
20 Whether it is menthol tobacco smoke or nonmenthol
21 tobacco smoke. What we're looking at now is whether
22 or not there is a difference between the menthol

1 versus nonmenthol tobacco smoke.

2 In a study by Alakayak and Knall in 2008,
3 they found that the transepithelial electrical
4 resistance between human bronchial epithelial cells
5 was reduced by tobacco smoke. So usually the cells
6 are very close together. If there is a loosening of
7 the gap junction between the cells, that indicates
8 cellular irritation; sort of integrity is lost
9 between that. There was no difference between
10 menthol versus nonmenthol smoke.

11 Continuing with cell membrane
12 permeability. In a study that looked at porcine
13 esophageal tissue, which was bathed in a solution
14 containing both menthol and NNK -- that's one of our
15 tobacco specific nitrosamines or carcinogens that we
16 talked about earlier.

17 With the menthol there was markedly lower
18 permeation of NNK. There was an increased tissue
19 reservoir formation of the NNK. The result is that
20 significantly more NNK bound within the esophageal
21 mucosa; and it possibly increased the cell exposure
22 to NNK.

1 Now, the authors of the study suggested
2 that this may increase the likelihood of cancer of
3 the esophagus. However, you know, there is
4 extremely limited evidence for that statement.
5 First, this is a single in vitro animal study. It
6 is not an in vivo study. It is a non-human study;
7 and as we will discuss later in the talk, the
8 epidemiological studies are inconclusive.

9 What about menthol's effects on cell
10 proliferation and cell death, cell toxicity?
11 Menthol is toxic in vitro biologic models in normal
12 tissue. In cancer cell cultures with a variety of
13 cancer cell lines, menthol both dose and
14 time-dependently inhibits cell proliferation and/or
15 induces cell death.

16 However, there is -- menthol does not
17 appear to enhance the toxicity that is already
18 produced by tobacco smoke. So even though these
19 things sound bad, they are just as bad as nonmenthol
20 tobacco smoke.

21 Now, we turn our attention to menthol and
22 respiration. In a published article on the publicly

1 available industry documents, there was an early
2 tobacco study -- industry study that reported that
3 mentholation of cigarettes appeared to exert an
4 adverse affect on respiratory function.

5 However, most studies have failed to find
6 any effects of menthol on respiration. This
7 includes breathing patterns and nasal resistance.
8 Dr. Lawrence touched upon this, this morning in her
9 presentation.

10 Although inhaled menthol has been
11 associated with reduced ratings of respiratory
12 discomfort, there is no physiological basis for
13 that. There is no change in nasal resistance, for
14 example. So there is a dichotomy between the
15 sensation of something and a physiological basis for
16 a change in that.

17 What about menthol and cardiovascular
18 function. In the CARDIA study they compared menthol
19 to nonmenthol smokers. This is a long scale
20 longitudinal study that we have been discussing in
21 our previous presentation. Menthol smokers do not
22 have significant differences in terms of coronary

1 calcification, or in the reduced pulmonary function.
2 This means that tobacco smoke was harmful all the
3 way around. The menthol did not make it more
4 harmful when you are looking at both of the
5 outcomes.

6 In a rapid smoking study, they found only
7 a single ethnic or racial difference. Black menthol
8 smokers had lower increases in heart rate as
9 compared to black nonmenthol smokers. So when
10 people smoke cigarettes there is an increase in
11 heart rate. In this study by Caskey, in this rapid
12 smoking study, Black menthol smokers experienced a
13 greater increase of heart rate as compared to Black
14 nonmenthol smokers.

15 So there was a four percent increase in
16 the nonmenthol smokers compared to a 12 -- I am
17 sorry, there was a -- yeah, four percent increase in
18 menthol as compared to a 12 percent increase in the
19 menthol smokers -- nonmenthol smokers; I apologize.
20 Third one, get it right.

21 Continuing with cardiovascular function.
22 In a small within-subject laboratory study which

1 used denicotinized cigarettes -- test cigarettes;
2 these were either menthol or nonmenthol cigarettes
3 that had the nicotine removed. I find it helpful to
4 think of it as sort of how coffee can be
5 decaffeinated. I think that might be helpful when
6 you are visualizing it. So these are cigarettes.
7 The nicotine has been removed.

8 People are smoking either menthol or
9 nonmenthol cigarettes in a laboratory, and you are
10 looking at cardiovascular outcomes. So menthol
11 smokers had greater increases in heart rate in
12 response to both kinds of cigarettes. Now, the
13 nicotine has been taken out. Nicotine is the
14 stimulant drug in the cigarette.

15 So because the menthol smokers had
16 increases in heart rate following either the
17 denicotinized menthol cigarettes or the
18 denicotinized nonmenthol cigarettes, the thinking is
19 this is some kind of smoker difference. There is
20 not a difference between the menthol, nonmenthol
21 test cigarettes. This is -- has something to do
22 with the group of smokers -- the menthol smokers.

1 There were three cross-over laboratory
2 studies by Ciftci and colleagues, which looked at
3 the acute effects on two test cigarettes. So if
4 someone smoked either two menthol cigarettes or two
5 nonmenthol cigarettes in a cross-over study, and
6 several cardiovascular outcomes were investigated.

7 There appear to be no difference on
8 measures of coronary flow reserves comparing the two
9 cigarettes. There appear to be worse ventricular
10 diastolic function after smoking the menthol
11 cigarettes. After smoking the menthol cigarettes
12 there was also a greater increase in heart rate.
13 You are looking at an increase of 101 beats per
14 minute as compared to 83 beats per minute.

15 Following the menthol cigarettes, there
16 was a greater increase in systolic blood pressure.
17 There were also -- there was also greater stiffness
18 of the carotid arteries, given as a stiffness index.
19 So these are both menthol and nonmenthol smokers
20 that are smoking these test cigarettes. Following
21 the menthol cigarettes you get some significant --
22 significantly different outcomes in cardiovascular

1 outcomes, and these are considered poor outcomes.

2 Next, we're going to talk about
3 menthol-induced allergic reactions and inflammation.
4 There have been a few studies that have discussed
5 sensitivity following menthol cigarettes. We are
6 not discussing general allergic reactions to
7 menthol. This is limited only to the reactions
8 following menthol cigarettes.

9 And a 1951 study, a earlier study -- case
10 study of a woman with nonthrombocytopenic purpura;
11 itchy rash, very uncomfortable. She got this
12 purpura following smoking menthol cigarettes. Her
13 physician then recommended she stop smoking the
14 menthol cigarettes. She did. The purpura was
15 eliminated.

16 Then the physician said, hey, try smoking
17 the menthol cigarettes again; let see what happens.
18 Well, the purpura returned. However, following the
19 cessation of using menthol cigarettes, the purpura
20 was alleviated and didn't come back. So, it's
21 always been an interesting case study, because there
22 was an actual challenge in the middle of that.

1 In another case series it describes three
2 young women who came -- who had symptoms of acute
3 eosinophilic pneumonia that was associated with the
4 initiation of smoking menthol cigarettes.

5 Next, we're going to turn our attention to
6 menthol and tobacco-related disease. We're going to
7 start with some animal studies. First of all, there
8 is no evidence that menthol by itself causes cancer.
9 But it may affect cancers that have been induced by
10 other agents. We are going to go over some examples
11 of this in the next series of slides.

12 First, in rats that had cancer induced in
13 their large bowel and duodenum, menthol did not
14 significantly alter that cancer. So if you look at
15 the table, you will see that the number of tumors
16 per rat, as well as the percent of rats with tumors
17 was unchanged, whether or not the rat had been
18 administered oral menthol or not. So menthol did
19 not affect the incident of cancer in this induced
20 rat model.

21 In another rat model, this one of mammary
22 carcinogenesis, orally administered menthol

1 inhibited tumor formation and actually increased
2 tumor latency, sort of chemopreventive. So if you
3 look at the table what you see, as compared to
4 control, the animals that were orally administered
5 menthol had a fewer number of tumors per rat; and
6 there was also a longer latency before the tumors
7 appeared.

8 In a study by Gaworski and colleagues, in
9 that study cigarette smoke condensate that was made
10 from either menthol cigarettes or nonmenthol
11 cigarettes that was painted on mouse skin -- the
12 cigarette smoke condensate that was made from
13 menthol cigarettes did not significantly alter tumor
14 formation, latency or multiplicity of the tumors as
15 compared to that -- the condensate made from
16 nonmenthol cigarettes. This was a SENCAR mouse skin
17 painting bioassays with TPA induced tumors.

18 Note that this -- unlike the previous
19 animal studies where menthol was administered as a
20 separate chemical; in this case you are looking at a
21 tobacco smoke condensate. So the smoking of menthol
22 cigarettes also contained the combination of

1 flavors, which included menthol.

2 Next, we are going to turn our attention
3 to human studies. To date, there have been both
4 case control studies, as well as surveys that have
5 not shown that menthol alters smokers' likelihood of
6 developing several kinds of cancers, including
7 cancer of the lung, as well as non-lung smoking
8 related cancers. There has also been no difference
9 in cardiovascular disease or coronary heart disease.
10 However, it has been suggested that there might be a
11 menthol by gender by disease interaction.

12 So in two case control studies that have
13 actually looked for a menthol by gender, by disease
14 interaction, they failed to find any significant
15 differences. However, in one case control study,
16 male menthol smokers had a modestly increased risk
17 of lung cancer. This was an odd's ratio of 1.45,
18 and it was statistically significant.

19 In another case control study, the authors
20 suggested an increased risk for male menthol smokers
21 and lung cancer; however, this was not statistically
22 significant. In addition, this was only in male

1 menthol smokers that had been smoking 32 or more
2 pack years. So these are heavy smokers.

3 In a case control study looking at
4 pharyngeal cancer, the authors suggested that male
5 menthol smokers may have a modestly increased risk
6 of pharyngeal cancer. This was not statistically
7 significant.

8 In the last case control study, the
9 authors again suggested that female menthol smokers
10 had a modestly increased risk for esophageal cancer.
11 Again, this was not statistically significant.

12 So discussion time. In a published
13 analysis of publicly available tobacco industry
14 documents, it was stated that Botanicals and
15 additives, including menthol, can reduce, mask, or
16 prevent smokers' awareness of adverse symptoms
17 caused by smoking.

18 One hypothesis is that smokers of menthol
19 cigarettes may not be able to perceive changes in
20 health because of this masking. This could mean
21 that menthol smokers may be less likely to seek
22 treatment for ailments. It could be that even if

1 they do seek treatment, there is a delay in seeking
2 treatment; and this may lead to poor medical
3 prognoses. During the delays themselves menthol
4 smokers will continue to smoke, which may itself
5 exacerbate the illness due to extended exposure to
6 carcinogens and to the smoke particulate.

7 In summary. The data on biomarkers, such
8 as carbon monoxide and tobacco specific
9 nitrosamines, are inconclusive. Menthol is a
10 biologically active compound that may damage or kill
11 cells; but menthol does not appear to alter the
12 cytotoxic effects of tobacco smoke.

13 Menthol reduces feelings of respiratory
14 discomfort, but there are no corresponding
15 physiologic effects.

16 The data regarding the effects of menthol
17 and the cardiovascular effects of cigarette smoke
18 are inconclusive.

19 The data regarding menthol and cancer
20 suggest a possible menthol by gender by disease
21 interaction; very subjective. And menthol added to
22 tobacco has been known to produce allergic reactions

1 in rare cases.

2 Yep, that's it. Clarifying questions
3 time.

4 DR. SAMET: Okay. We are up to clarifying
5 questions. Let's see, we are quickly generating a
6 list. Jack won the sweepstakes.

7 DR. HENNINGFIELD: Couple of quick things.
8 Did you see any evidence that you did not talk about
9 of significant health benefit in humans attributable
10 to menthol addiction to cigarettes?

11 DR. HOFFMAN: You mean menthol smokers
12 versus nonmenthol smokers?

13 DR. HENNINGFIELD: Well, anything --
14 again, you looked at a lot of information.

15 DR. HOFFMAN: Right. Not talking about
16 menthol as a separate chemical, just the health
17 effects of --

18 DR. HENNINGFIELD: Yes, in cigarettes.

19 DR. HOFFMAN: To my knowledge, I did not
20 come across any of those. There have been
21 several -- there have been many studies that have
22 examined outcomes in Black smokers versus White

1 smokers and found different outcomes, usually worse
2 outcomes. But those studies did not look at menthol
3 as an independent factor.

4 DR. HENNINGFIELD: And related to that was
5 where there were differences in potential adverse
6 health affects -- again, I'm looking at population.
7 Because I think -- I think it's -- when we just say
8 well, this study showed this; this showed that in a
9 different population; then that's of no effect. I
10 don't think that's the right way to look at it. If
11 there is an affect in one population, then, that's a
12 serious concern.

13 So were the effects that you were
14 seeing -- and you know, it looked like there were
15 not strong abrupt adverse affects. It looked like
16 there were potential contribution of this or that.
17 Were they population specific?

18 DR. HOFFMAN: They were case control
19 studies.

20 DR. HENNINGFIELD: Okay. And the last
21 one -- this is more just to -- this is much comment,
22 but I think it's important. The focus of this

1 presentation was possible health effects; and I
2 think it would just -- for everyone, I think it's
3 important to understand that you can have direct
4 health effects, but if menthol contributes, as you
5 showed in at least some populations, to initiation,
6 dependence, persistence, cessation; then, that's a
7 mechanism by which it's contributing to cancer,
8 heart disease, and so forth in at least certain
9 populations. You just covered all this from
10 initiation through. Is that your sense?

11 DR. HOFFMAN: Yes.

12 DR. HENNINGFIELD: Thank you.

13 DR. HOFFMAN: And I think the
14 discussion --

15 DR. HENNINGFIELD: You covered a lot of
16 ground in three talks.

17 DR. HOFFMAN: I think the discussion like
18 sort of goes to your point where if there is some
19 sort of masking of illness, you know, that could
20 then have a direct impact on, you know, treatment
21 outcomes, for example. Point well taken.

22 DR. SAMET: Greg.

1 DR. CONNOLLY: Boy, Allison, you did quite
2 a bit of work. I am just sort of sitting back
3 amazed.

4 We know that smoke, you know, is a complex
5 aerosol. I think we know that nicotine -- I am
6 sorry -- that menthol doesn't fall -- it's going to
7 pretty much come into that aerosol. I am curious,
8 did you look at any studies on particle size?
9 Again, this is a characterization issue of the
10 product and deposition; and related to that would be
11 on inflammation.

12 So the question is, did you look at issues
13 of particle size of menthol, deposition, and then
14 potential inflammation?

15 DR. HOFFMAN: I would have to go back and
16 check the bibliography to see if anything like that
17 was in it. Off the top of my head, I don't recall
18 seeing anything like that; but I can do back and
19 check for you.

20 DR. SAMET: Actually, Greg, if I could
21 clarify your question, maybe ask again. Are you
22 asking the question, is menthol particle found -- in

1 other words in itself, not in the form of
2 particulate matter? And we know the aerosol size
3 distribution in general. So I don't know if this is
4 known.

5 DR. HECK: I am aware, Greg, of a report
6 from the CDC group with Battelle; and it's been
7 presented, but not published that I'm aware of where
8 they looked at particle size, but that was in an
9 age -- an ETS -- well, an age, size, stream smoke
10 chamber. It was really not relevant. It was
11 represented as -- actually, I talked to the author,
12 because it was a little confusion on what was
13 presented relative to what was done. It turned out
14 that the particle size data came from a different
15 experiment.

16 DR. SAMET: Okay. I'm not sure we have
17 got this clarified. We can tuck it away as an
18 additional issue.

19 DR. CONNOLLY: I think, again, it appears
20 to be an area that would be worth investigating.

21 DR. SAMET: Neal.

22 DR. BENOWITZ: There is an important paper

1 dealing with racial differences and lung cancer by
2 Haiman in 2005 New England Journal of Medicine,
3 which has a very interesting dose-response curve.
4 Basically, what it shows is that the risk of lung
5 cancer in African Americans is three times as high
6 as Whites in people smoking relatively few
7 cigarettes per day, like under 15. When you go up
8 to 30 cigarettes per day, the risk is very similar.

9 So one of the questions, I think,
10 regarding menthol is the risk of menthol
11 particularly in lower cigarette consumption levels.
12 If menthol, for example, allows or masks the
13 harshness, or allows people to inhale more deeply,
14 that's more likely to be important than people who
15 are smoking three cigarettes per day and taking in
16 more smoke per cigarettes.

17 A number of researchers have shown that
18 the fewer smokes you take in per day, the more smoke
19 you take in per cigarette. So I think the issue of
20 the health effects of menthol need to be looked at
21 not just in total numbers, but by cigarettes per
22 day. I think that might be a place where an action

1 can occur. So if you are doing more work in this
2 area, I think that would be very important to look
3 at.

4 DR. HOFFMAN: I agree. I think it would
5 be. I think -- but you also have -- one of the big
6 problems that we had where you have were studies
7 that look at racial/ethnic differences, but menthol
8 isn't evaluated independently. So you know, it
9 could be a mitigating factor, but the data don't
10 actually show us that.

11 DR. SAMET: Dan.

12 DR. HECK: Yes, with regard to the
13 epidemiology we did hear mention of numerous --
14 well, at least of the positive suggestion that have
15 been seen in literature. Was there a reason that
16 the paper of Edsall and colleagues from Margaret
17 Spitz's group at M.D. Anderson, which was a lung
18 cancer risk model specific for African Americans not
19 included in that?

20 DR. HOFFMAN: That's actually going to be
21 included in the White paper. Just to let people
22 know what that study -- basically, the argument is

1 that because the statistical modeling for lung
2 cancer outcomes was made using White smokers, it
3 might not be appropriate to use that statistical
4 model on Black smokers. In a nut shell that's what
5 it is. You know, it's difficult to include that in
6 this presentation, but it is included in the White
7 paper.

8 DR. HECK: The paper also concluded that
9 menthol isn't near as protective, in their words,
10 relative to nonmenthol cigarettes. I think that's
11 an important point for a balance consideration of
12 all the literature. As well as there were several
13 other comparisons in these various epi studies that
14 are listed that also had at least point estimates of
15 risk below 1.0; and we haven't seen those in this
16 summary. The data, I think, would be useful for all
17 of us to consider those in the mix.

18 DR. SAMET: Okay. Patricia.

19 DR. NEZ HENDERSON: I was wondering if
20 there was any literature on the effects of menthol
21 and secondhand smoke, and the impact that it has on
22 children.

1 DR. HOFFMAN: That was not included in
2 this particular bibliography.

3 DR. SAMET: Okay. Other clarifying
4 questions? Yes, John.

5 DR. LAUTERBACH: Going to the -- I believe
6 it is the Ciftci study back on -- at least page 11
7 of the slides. Is there anything we know about the
8 composition of those menthol cigarettes versus the
9 nonmenthol because of those differences?

10 DR. HOFFMAN: Which?

11 DR. LAUTERBACH: Slide 21.

12 DR. HOFFMAN: Slide 21; I was on 12.

13 So your question is, what were they made
14 up of?

15 DR. LAUTERBACH: Yes.

16 DR. HOFFMAN: In the study they basically
17 said that there were two types of cigarettes -- a
18 menthol cigarette and a nonmenthol cigarette. I can
19 go back and check for more detail.

20 DR. HECK: I can help with that answer.
21 This was a study from Turkey. So I assume they were
22 regional Turkey cigarettes.

1 DR. SAMET: Okay. Other questions?

2 I have just a minor one on slide eight
3 where you mentioned the possibility that somehow
4 other chemicals might effect carbon monoxide, I
5 guess, uptake. Was that speculation on the part of
6 the authors? I'm not sure I know how that would
7 happen.

8 DR. HOFFMAN: That was speculation on the
9 part of Rabinoff. Just as a potential -- you know,
10 as a possible variable.

11 DR. SAMET: Okay. Okay. Thank you.

12 Let's see, are there other -- other
13 questions -- clarifying questions?

14 The group is exhausted, at least with
15 clarifying questions. Greg.

16 DR. CONNOLLY: My comment is, I want to
17 thank you, Jon, for keeping us in time, and moving
18 us along so swiftly.

19 DR. SAMET: Thanks, Greg. We will see how
20 the rest of this goes.

21 So I think -- so I guess we can adjourn;
22 or we can look at questions in relationship to our

1 discussion -- quick consultation.

2 Okay. I think I have got it. We can't
3 talk about the questions on this paper that are
4 called "questions to the Committee;" but should we
5 want to formulate other questions we can; or
6 alternatively we can wait until tomorrow.

7 If you look at tomorrow's agenda we will
8 reconvene. We will have sort of a recapping of
9 today. We have our public comment period, and then
10 we begin the Committee discussion, which, I think,
11 is where we really have an opportunity to have a
12 free and -- relatively unfettered discussion of how
13 we're going to approach our task.

14 So right now, I guess, we can have a
15 somewhat fettered discussion of questions we might
16 address. So if anybody has a clarifying question on
17 what I just said, ask it. Greg.

18 DR. CONNOLLY: Jon, I just thank you for
19 being excellent in maintaining time and moving us
20 along. An awful lot of data was presented today. I
21 think, you know, sometimes to think about what was
22 presented, and to take a peek at some of the Power

1 Points that were presented, you know, may help in
2 the process -- and this is my opinion; it probably
3 also reflects a little bit of tiredness. So I'm
4 just putting that forth.

5 DR. SAMET: Okay. I think that was a
6 proposal for adjournment, if I can read between the
7 lines.

8 You know, I actually think that we may
9 be -- we may be done. This may be, perhaps, the
10 last time that we ever end an hour and ten minutes
11 early; but if we're going to get that added back to
12 our lives, let's take it then.

13 Okay. I have to read the statement for
14 adjournment.

15 Okay. Adjourn, right.

16 Committee members, please remember there
17 must be no discussion of the meeting topic this
18 evening either amongst yourselves or with the press,
19 or with any member of the audience. Thank you.

20 And remember that we will reconvene in
21 this room tomorrow morning at 8:30, and take your
22 stuff with you. And I think that's it then; and

1 thanks. And I think we are off and launched.

2 And thanks to FDA for excellent
3 presentations. You have done a lot of work, as
4 everyone has pointed out; and we look forward to
5 getting started tomorrow morning. Thank you.

6 (Whereupon, at 3:49 p.m., the proceedings
7 adjourned.)

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1 CERTIFICATE OF REPORTER

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3 I, Stella R. Christian, A Certified
4 Shorthand Reporter, do hereby certify that I was
5 authorized to and did report in stenotype notes the
6 foregoing proceedings, and that thereafter my
7 stenotype notes were reduced to typewriting under
8 my supervision.

9 I further certify that the transcript of
10 proceedings contains a true and correct transcript
11 of my stenotype notes taken therein to the best of
12 my ability and knowledge.

13 SIGNED this 14th day of April, 2010.

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STELLA R. CHRISTIAN

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